



Sharif University of Technology
Scientia Iranica
Transactions B: Mechanical Engineering
www.scientiairanica.com



A novel encoding scheme based evolutionary approach for the bi-objective grid patrol routing problem with multiple vehicles

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Received 22 May 2014; received in revised form 22 January 2015; accepted 9 March 2015

KEYWORDS

Patrol routing;
Immune based
algorithm;
Multiple vehicles;
Coverage;
Bi-objective.

Abstract. In this paper, we investigate the Bi-objective Grid Patrol Routing Problem (BGPRP) in which multiple patrol vehicles have to cooperatively patrol several nodes for a given specific time during a certain planning horizon in a grid map. The BGPRP is an NP-hard problem and an extended application of the Periodic Vehicle Routing Problem (PVRP). However, unlike PVRP, in addition to minimizing the total routing distance, the considered BGPRP also aims to maximize the routing coverage of lanes. The BGPRP involves both the combination and permutation of nodes simultaneously. In this paper, an efficient encoding scheme is developed to tackle both the combination and the permutation of nodes simultaneously. Then, we apply an immune based evolutionary approach for solving the BGPRP with the objective of minimizing total routing distance. Finally, an integer programming approach is utilized to maximize the routing coverage of lanes. Numerical results of multiple patrol vehicles in a grid map show the performance of the approach.

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1. Introduction

In Taiwan, residents are usually out of town or go abroad for several days during the Chinese festival. They may ask policemen to patrol their houses for specific times during a certain planning horizon; for example, once or twice among three days. Such a service is called a “festival patrol service” [1]. Practically, the policemen will receive applications of the patrol in advance, and then schedule the patrol routes for

each day. Usually, policemen have two main objectives concerning their routing schedule. The first is to minimize the total routing distance of the patrol, and the second is to maximize the routing coverage of lanes when the first objective is achieved. In our previous paper [1], we studied a similar patrol routing problem. However, only one objective, i.e. minimizing the total routing distance of the patrol, was involved. In this paper, we attempt to investigate the bi-objective patrol routing problem. For simplicity, we assume that the considered lanes are in grid. Thus, the newly introduced bi-objective routing problem in this paper is named the “Bi-objective Grid Patrol Routing Problem” (BGPRP).

As known, the considered BGPRP is similar to the conventional Periodic Vehicle Routing Problem

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(PVRP) [2]. Nowadays, PVRP has attracted much attention and has many applications in practice, including collection of waste [3–5], collection of milk [6], maintenance operation of elevators [7], inventory of supermarket chains [8], product distribution [9], salesman routing for the lottery [10] etc. However, unlike PVRP, in addition to minimizing the total routing distance, the BGPRP also aims to maximize the routing coverage of lanes. Until now, as known, several approaches have been developed to solve the conventional PVRP, including classic heuristics, metaheuristics and mathematical programming based approaches, as follows:

- (a) *Classic heuristics.* Researchers have proposed two-stage or three-stage methods to solve PVRP, e.g. [11–14]. Their methods considered the combination and permutation of nodes separately. In other words, their stage-1 of the approach was usually used to solve the combination problem of nodes, and then stage-2 of the approach was adopted to solve the permutation problem of nodes.
- (b) *Metaheuristics.* Researchers have applied metaheuristics, tabu search, the genetic algorithm, etc. for solving PVRP, including [15–17]. However, their metaheuristics usually converge to local optimums, especially when the problem size is large. Additionally, several metaheuristics are very sensitive to the setting of parameters [18].
- (c) *Mathematical programming based approaches.* Researchers have proposed mathematical programming approaches to solve PVRP, including [19–20]. However, when the problem size is larger, these approaches are time-consuming. Furthermore, Mourgaya and Vanderbeck [20] also reported the poor performance of these approaches.

More details of PVRP and their solving approaches are referred to in the excellent survey paper by Francis et al. [21].

In this paper, we will introduce a new Bi-objective Grid Patrol Routing Problem (BGPRP) and present an efficient encoding scheme which represents the combination and permutation of nodes in clusters simultaneously. Based upon the encoding scheme, we will apply an immune based evolutionary algorithm for solving the considered BGPRP. This paper is organized as follows. In Section 2, we define the notations and assumptions of BGPRP, and then present its brief mathematical formulation. A small example is given to illustrate the BGPRP. In Section 3, we present an efficient encoding scheme to tackle both the combination and the permutation of nodes simultaneously. Using the encoding scheme, an immune based evolutionary algorithm is developed to solve BGPRP for the first objective. Next, we further utilize an integer linear

programming to maximize the routing coverage of lanes for the second objective. Numerical results will be reported and discussed in Section 4, and, finally, conclusions will be summarized in Section 5.

2. The Bi-objective Grid Patrol Routing Problem (BGPRP)

2.1. Notations

T	Planning horizon (days).
n	Number of nodes to be patrolled in the network during period T .
N_i	Set of nodes to be patrolled on the i th day; $1 \leq i \leq T$.
N	Total number of nodes to be patrolled in the network during period T ; $N = N_1 + N_2 + \dots + N_T $.
c_i	Number of patrol vehicles on the i th day; $1 \leq i \leq T$.
C	Number of patrol vehicles during period T ; $C = (c_1, c_2, \dots, c_T)$.
S_{ij}	Sequence of nodes to be patrolled by vehicle j on the i th day. $S_{ij} = (s_{ij0}, s_{ij1}, s_{ij2}, \dots, s_{ijk}, s_{ij0})$. $1 \leq i \leq T$, $k = N_i $, $1 \leq j \leq c_i$ and $S_{ij} \subseteq \{1, 2, \dots, n\}$. Note that $s_{ij0} \equiv$ the start/end node, i.e. the police station in the network.
v_t	Given the number of visits (patrol times) for node t during period T ; $1 \leq v_t \leq T$ and $1 \leq t \leq n$.
v	$v = (v_1, v_2, \dots, v_n)$, where $v_1 + v_2 + \dots + v_n = N$.
$L(S_{ij})$	Minimal routing distance of vehicle j on the i th day based upon S_{ij} ; $1 \leq i \leq T$ and $1 \leq j \leq c_i$.
$d(t_1, t_2)$	Minimal distance between node t_1 and node t_2 ; $t_1 \neq t_2$ and $t_1, t_2 \in \{1, 2, \dots, n\}$.
X_{ijt}	Set of patrolled lanes (segments) from node t to node $t + 1$ for vehicle j on the i th day based upon S_{ij} ; $1 \leq i \leq T$ and $1 \leq j \leq c_i$.

2.2. Assumptions

- (a) The considered lanes form a grid network;
- (b) Each node is patrolled, at most, once per day;
- (c) For day i , vehicle j follows the scheduled nodes of S_{ij} to patrol; $1 \leq i \leq T$ and $1 \leq j \leq c_i$;
- (d) For each day, there is at least one node patrolled for each vehicle. That is, $S_{ij} \neq \emptyset$;
- (e) The first objective of BGPRP is to minimize the summation of routing distance $L(S_{ij})$ and the

node 3 is patrolled three times (once per day). Thus, $L(S_{11}) = 2 + 4 + 2 = 8$, $L(S_{21}) = 2 + 3 + 3 + 2 = 10$ and $L(S_{31}) = 3 + 3 + 2 = 8$ if the length of each grid lane (segment) is one. Thus, the total routing distance for this feasible solution is $8 + 10 + 8 = 26$.

Consider another feasible solution, $S_{11} = (0, 1, 2, 3, 0)$, $S_{21} = (0, 3, 0)$, and $S_{31} = (0, 1, 2, 3, 0)$. Thus, $L(S_{11}) = 2 + 3 + 3 + 2 = 10$, $L(S_{21}) = 2 + 2 = 4$ and $L(S_{31}) = 2 + 3 + 3 + 2 = 10$. The total routing distance for this feasible solution is $10 + 4 + 10 = 24$. Clearly, this feasible solution is superior to the former.

Problem 2. The 2nd objective: Suppose that $S_{11} = (0, 1, 2, 3, 0)$, $S_{21} = (0, 3, 0)$, and $S_{31} = (0, 1, 2, 3, 0)$ are obtained from the first objective; we may further utilize the following arguments and approach to maximize the routing coverage of lanes.

- (a) Since we have to patrol twice from node 0 to node 1 by this solution, i.e., in S_{11} and S_{31} , and there are two possible shortest routes between these two nodes, grid lanes (segments) $x_9, x_{12}, x_{13}, x_{16}$ have to be patrolled (see Figure 1(a)), or:

$$x_9 = x_{12} = x_{13} = x_{16} = 1. \quad (6)$$

Note that $x_k \in \{0, 1\}$ indicates whether the k th lane is selected or not.

- (b) Similarly, we have to patrol twice from node 2 to node 3, and there is only one shortest route between these two nodes. Thus, we have:

$$x_1 = x_2 = x_3 = 1. \quad (7)$$

- (c) Similarly, we have to patrol four times from node 0 to node 3 (or node 3 to node 0), and there are two possible shortest routes between these two nodes. Thus, we have:

$$x_1 = x_4 = x_5 = x_8 = 1. \quad (8)$$

Based upon the above arguments of Eqs. (6)-(8), we have:

$$\begin{aligned} x_1 = x_2 = x_3 = x_4 = x_5 = x_8 = x_9 = x_{12} \\ = x_{13} = x_{16} = 1, \end{aligned} \quad (9)$$

which are depicted in blue in Figure 1(b).

- (d) We have to patrol twice from node 1 to node 2 and there are three possible shortest routes between these two nodes. Thus, based upon Figure 1(b):

- (i) Route 1: If we select route 1, then $x_7 = x_{14} = x_{17} = 1$ (3 new lanes will be patrolled);
- (ii) Route 2: If we select route 2, then $x_7 = x_{10} = 1$ (2 new lanes will be patrolled);

- (iii) Route 3: If we select route 3, then $x_6 = 1$. (1 new lane will be patrolled);
- (iv) Patrol twice from node 1 to node 2:

$$Z_{121} + Z_{122} + Z_{123} = 2, \quad (10)$$

where $Z_{12k} \in \{0, 1\}$ indicates whether the k th route between node 1 and node 2 is selected or not. Thus, by Route 3, we have:

$$Z_{123} \geq x_6, \quad x_6 \quad \text{and} \quad Z_{123} \in \{0, 1\}. \quad (11)$$

That is, if Route 3 is not selected, then lane x_6 will not be patrolled. Similarly, by Relation (1) and Route 2, we have:

$$\begin{aligned} Z_{121} + Z_{122} &\geq x_7, & Z_{122} &\geq x_{10}, \\ Z_{121} &\geq x_{14}, & Z_{121} &\geq x_{17}, \end{aligned} \quad (12)$$

$$Z_{121}, Z_{122}, Z_{123}, x_7, x_{10}, x_{14}, x_{17} \in \{0, 1\}. \quad (13)$$

Finally, based upon Relations (10)-(12), we have to solve Problem 2, as follows, for maximization of the routing coverage of lanes.

$$\text{Max} \quad \left| \bigcup_{ijt} X_{ijt} \right| = x_6 + x_7 + x_{10} + x_{14} + x_{17}, \quad (14)$$

$$\text{s.t.} \quad \text{Relations (10) – (12)}. \quad (15)$$

Solving Relations (14) and (15), we have:

$$\begin{aligned} Z_{121}^* = Z_{123}^* = 1, \quad \text{and} \\ x_6^* = x_7^* = x_{14}^* = x_{17}^* = 1, \end{aligned} \quad (16)$$

which are depicted in red in Figure 1(c). Therefore, by Eqs. (9) and (16), the maximal routing coverage (%) of lanes is computed as $(10+4)/17=0.8235$ or 82.35%.

3. Immune based evolutionary approach

3.1. Steps of the immune algorithm

In this section, we will present an immune based evolutionary approach to obtain the first objective of BGPRP. To shorten this explanation, we refer to Weissman and Cooper [22] and Huang [23] for the details of an immune system and its mechanism.

The main steps of an immune based algorithm are as follows [24,25]:

- Step 1. Generate an initial population of strings (antibodies) randomly;
- Step 2. Evaluate each individual in the current population and calculate the corresponding fitness value for each individual by Relation (1);

- Step 3. Select the best W individuals with the best fitness values;
- Step 4. Clone the best W individuals (antibodies) selected in Step 3. Note that the clone size for each selected individual is an increasing function of the affinity with the antigen;
- Step 5. The set of the clones in Step 4 will suffer the genetic operation process, i.e. crossover and mutation [26];
- Step 6. Calculate the new fitness values of these new individuals (antibodies) from Step 5. Select those individuals who are superior to the individuals in the memory set, and then replace the inferior individuals in the memory set with them. While the memory set is updated, the individuals will be eliminated if their structures are too similar;
- Step 7. Check the stopping criterion of maximum generations. If not, stop, then, go to Step 2. Otherwise, go to the next step;
- Step 8. Stop. The optimal or near optimal solution(s) can be obtained from the memory set.

3.2. The new encoding scheme

Our coding of string is based upon the permutation of $\{1, 2, \dots, n * \max |v_t|\}$, where $\max |v_t|$ is the maximum number of patrols of nodes in the network during period T ; $1 \leq v_t \leq T$. Next, we use the following efficient encoding scheme to convert any permutation of $\{1, 2, \dots, n * \max |v_t|\}$ into a feasible route of nodes over the period, T .

The main steps of the encoding procedure are as follows [1]:

- Step 1. Generate an initial permutation of string P randomly from $\{1, 2, \dots, n * \max |v_t|\}$;
- Step 2. Divide P into n parts equally, and then rank the numbers into R for each part;
- Step 3. Shade the first v_t rank numbers (R) of node t for each part, $t = 1, 2, \dots, n$. Let $w = 0$, $S_{ij} = \phi$, $i = 1, 2, \dots, T$ and $1 \leq j \leq c_i$;
- Step 4. Compute all R_{new} by the following two rules:
- Rule 1: $R_{\text{new}} = 1$, if its corresponding c_R is 1 or R is not shaded;
 - Rule 2: $R_{\text{new}} = k + 1$ if:
 - R is shaded;
 - Its corresponding $c_R > 1$; and
 - Its corresponding $\text{mod}(P, c_R) = k$.
- Step 5. $w = w + 1$. Find t , such that $P(\text{node } t) = w$, and append node t into $S_{R, R_{\text{new}}}$ when its corresponding R is shaded, where $S_{R_{\text{new}}}$ is the sequence of nodes to be visited on the R th day on patrol vehicle R_{new} . Ignore this

Table 1. The encoding example for $v = (2, 2, 3)$, $C = (1, 1, 2)$, and $T = 3$.

Node (i)	1			2			3		
P	3	9	2	5	8	4	7	6	1
R (day)	2	3	1	2	3	1	3	2	1
R_{new} (vehicle)	1	2	1	1	1	1	2	1	1

step when its corresponding R is not shaded. Repeat Step 5 until $w = n * \max |v_t|$. Finally, append the start point and the end point in every S_{ij} .

3.3. Example 2 (BGPRP with multiple patrol vehicles)

Consider the example in Figure 1(a) again. In this example, we assume $n = 3$, $v = (2, 2, 3)$, $T = 3$, and $C = (1, 1, 2)$. For this example, the length of each string of the immune based algorithm is given by $n * \max |v_t| = 3 * \{\max(2, 2, 3)\} = 3 * 3 = 9$.

Step by step details of the encoding procedure are shown below:

- Step 1: Assume that the $P = (3, 9, 2, 5, 8, 4, 7, 6, 1)$ is generated randomly from $\{1, 2, \dots, 9\}$;
- Step 2: Divide P into 3(= n) parts, and then rank the numbers for each part as shown in Table 1. Since there are three numbers in each part, the rank ranges from 1 to 3 in row R (day);
- Step 3: Because $v = (2, 2, 3)$, we shade the first v_t rank numbers of node t , as shown in Table 1; $t = 1, 2, 3$. $w = 0$. $S_{11} = S_{21} = S_{31} = S_{32} = \phi$;
- Step 4: Since $C = (1, 1, 2)$, all R_{new} are computed, as those shown in Table 1;
- Step 5: $w = w + 1 = 1$. $P(\text{node } 3) = 1$, and its rank is shaded, so append 3 to S_{11} ; $S_{11} = (1)$;
- Step 5: $w = w + 1 = 2$. $P(\text{node } 1) = 2$, but, its rank is not shaded, so ignore;
- Step 5: $w = w + 1 = 3$. $P(\text{node } 1) = 3$, and its rank is shaded, so append 1 to S_{21} ; $S_{21} = (1)$;
- Step 5: $w = w + 1 = 4$. $P(\text{node } 2) = 4$, and its rank is not shaded, so ignore;
- Step 5: $w = w + 1 = 5$. $P(\text{node } 2) = 5$, and its rank is shaded, so append 2 to S_{21} ; $S_{21} = (1, 2)$;
- Step 5: $w = w + 1 = 6$. $P(\text{node } 5) = 6$, and its rank is shaded, so append 3 to S_{21} ; $S_{21} = (1, 2, 3)$;
- Step 5: $w = w + 1 = 7$. $P(\text{node } 3) = 7$, and its rank is shaded, so append 3 to S_{32} ; $S_{32} = (3)$;
- Step 5: $w = w + 1 = 8$. $P(\text{node } 2) = 8$, and its rank is shaded, so append 2 to S_{31} ; $S_{31} = (2)$;
- Step 5: $w = w + 1 = 9$. $P(\text{node } 1) = 9$, and its rank is shaded, so append 1 to S_{32} ; $S_{32} = (3, 1)$ and $w = n * \max |v_t| = 9$, stop. Finally,

adding the start point and the end point, we have $S_{11} = (0,1,0)$, $S_{21} = (0,1,2,3,0)$, $S_{31} = (0,2,0)$ and $S_{32} = (0,3,1,0)$ by the permutation $P = (3, 9, 2, 5, 8, 4, 7, 6, 1)$.

Note that if any set of S_{ij} is empty (i.e., it violates the assumption), we may assign a penalty to this solution.

4. Numerical results and discussions

In this section, we test our immune based evolutionary approach for the new BGPRP with multiple patrol vehicles. Consider the 8×8 square grid network in Figure 2. Suppose that $T = 3$ (days) and $v = (3, 2, 3, 1, 2, 3, 2, 3, 3, 1, 2, 1, 2, 3, 2)$, i.e. nodes 4, 10, and 12 have to be patrolled once, nodes 2, 5, 7, 11, 13, and 15 have to be patrolled twice, and the other nodes have to be patrolled three times during three days. In addition, the rectilinear distance between any two adjacent nodes is set to one. In the numerical experiment, we vary the number of patrol vehicles from 1 to 2 for each day, and apply our immune based evolutionary approach for all combinations of patrols vehicle. That is, we test our approach for $C = (1, 1, 1)$, $(1, 1, 2)$, $(1, 2, 2)$, and $(2, 2, 2)$, respectively. For each case, we experiment 500 times using the immune based evolutionary approach and collect all top solutions for the first objective, i.e. the minimization of total routing distance. And then, based upon these top solutions, we further apply the integer programming approach stated in Sections 2.3 and 2.4 for maximizing the routing coverage of lanes for the second objective. All numerical results are computed by Intel-Pentium

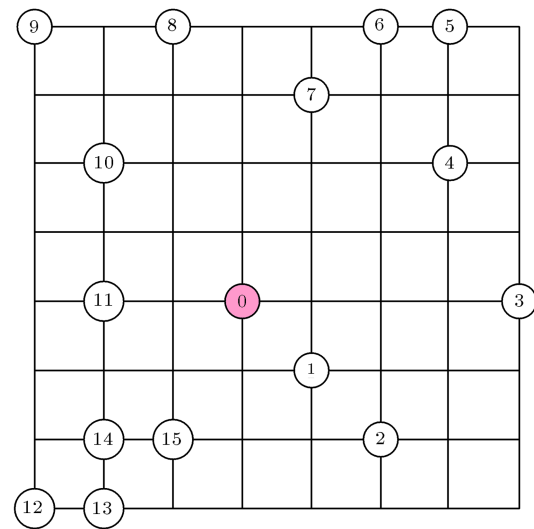


Figure 2. The square network with 8×8 grids (0=the start/end point).

IV 1.86 GHz PC and programs are coded in MATLAB 2006. Numerical results are summarized and reported in Table 2. The grid lanes that must be patrolled in the first objective and the grid lanes selected by the integer programming approach are depicted in green and red, respectively, in Figures 3-6 for various numbers of vehicles. The best results of routing distance and routing coverage for various numbers of vehicles are depicted in Figure 7.

From Table 2 and Figures 3-7, we observe that:

- With the increase in the number of patrol vehicles, the total routing distance (1st objective) increases. For example, the best objective values of total

Table 2. The top-2 solutions of 8×8 BGPRP.

No. of vehicles (C)	1st objective (distance)	2nd objective (coverage)	Top-2 solutions		
			Day 1	Day 2	Day 3
(1,1,1)	96	0.5982	(A) 1,2,3,5,6,7,8,9,10,11,14,15	(A) 1,3,4,5,6,8,9,11,14,13,15	(A) 1,7,6,8,9,12,13,14,2,3
	96	0.5982	(A) 15,14,13,11,10,9,8,6,5,3,2,1	(A) 14,11,9,8,6,7,1,2,3	(A) 15,14,13,12,9,8,7,6,5,4,3,1
(1,1,2)	98	0.6071	(A) 15,14,13,12,9,8,6,7,3,2,1	(A) 15,13,14,11,10,9,8,7,6,5,3,2,1	(A) 11,14,9,8,6,5,4,3 (B) 1
	98	0.5982	(A) 1,2,3,5,6,7,8,9,11,14,15	(A) 1,2,3,4,5,6,8,9,10,11,13,14	(A) 1 (B) 3,6,7,8,9,12,1
(1,2,2)	104	0.6607	(A) 1,2,3,4,5,6,7,8,9,12,13,14,11	(A) 7,6,8,9 (B) 1,3,2,14,13,15	(A) 3,5,6,8,9,10 (B) 11,14,15,1
	104	0.6518	(A) 15,14,13,12,9,8,7,6,5,4,3,1	(A) 8,9,7,6,5,3 (B) 11,14,15,2,1	(A) 10,9,8,6,3,2,1 (B) 11,13,14
(2,2,2)	108	0.6696	(A) 1 (B) 14,9,8,7,6,5,3	(A) 11,9,8,6,5,4,3 (B) 1,2,14,13,15	(A) 11,12,13,14,15 (B) 10,9,8,7,6,3,2,1
	108	0.6607	(A) 15,14,13,12,9,8,6,5,3 (B) 1	(A) 7 (B) 15,14,11,10,9,8,6,5,4,3,2,1	(A) 9,8,6,7,3,2,1 (B) 11,13,14

(1) Based upon 500 experiments of immune based algorithm.

(2) IA parameters: population=300, maximum generations=500, affinity=0.25, crossover=0.96, and mutation=0.01.

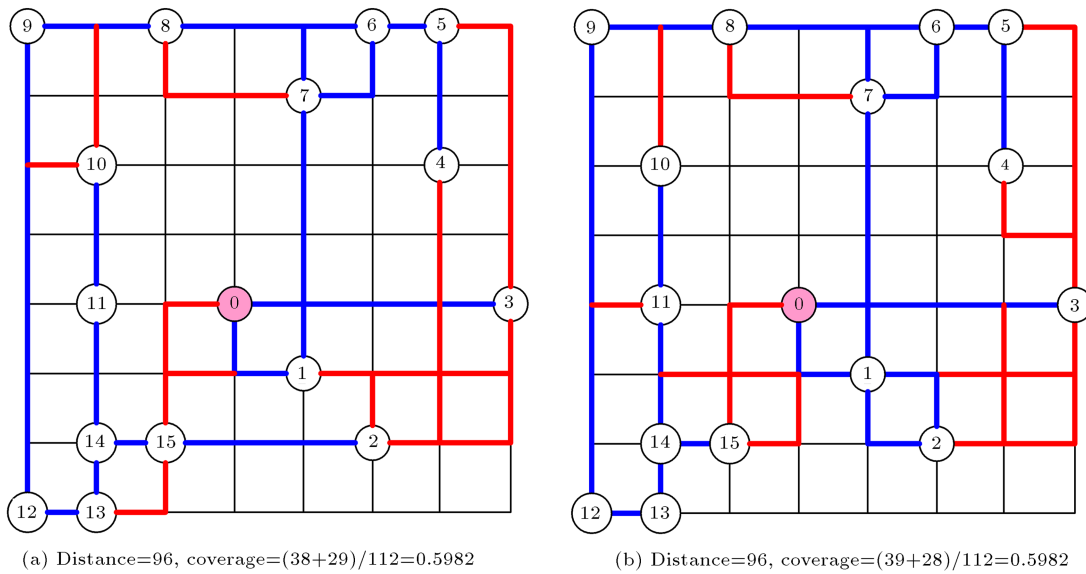


Figure 3. Top-2 solutions of patrolled lanes for $C = (1, 1, 1)$.

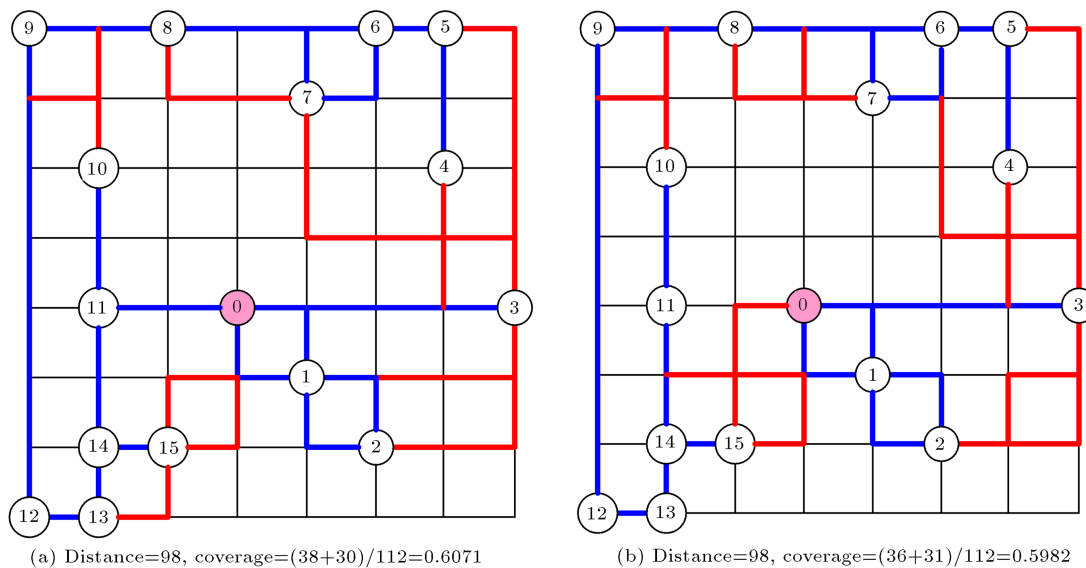


Figure 4. Top-2 solutions of patrolled lanes for $C = (1, 1, 2)$.

routing distance for the cases of patrol vehicles (1,1,1), (1,1,2), (1,2,2) and (2,2,2) are 96, 98, 104 and 108, respectively. This implies that more vehicles will increase the total routing distance.

- (b) With the increase in the number of patrol vehicles, the total routing coverage of lanes (2nd objective) increases. For example, the best 2nd objective values for the cases of patrol vehicles (1,1,1), (1,1,2), (1,2,2) and (2,2,2) are 0.5982, 0.6071, 0.6607, and 0.6696, respectively. This implies that more vehicles will increase the total routing coverage of lanes.
- (c) The effect of an increase in vehicles does not seem to be equally significant for the total routing coverage of lanes, especially when there are few vehicles

or more vehicles. For example, the total routing coverage of lanes only increases from 0.5982 to 0.6071 for cases of patrol vehicles (1,1,1) and (1,1,2), and only increases from 0.6607 to 0.6696 for cases of patrol vehicles (1,2,2) and (2,2,2). However, it increases from 0.6071 to 0.6607 for cases of patrol vehicles (1,1,2) and (1,2,2).

- (d) The applied immune based approach can obtain multiple best solutions for the BGPRP. For example, as shown in Figure 3, the top-2 solutions, with the 1st objective value of 96 and the 2nd objective of coverage rate 0.5982, are different for the case of $C = (1, 1, 1)$. This further implies that the applied immune based approach can obtain multiple optimal solutions for the BGPRP.

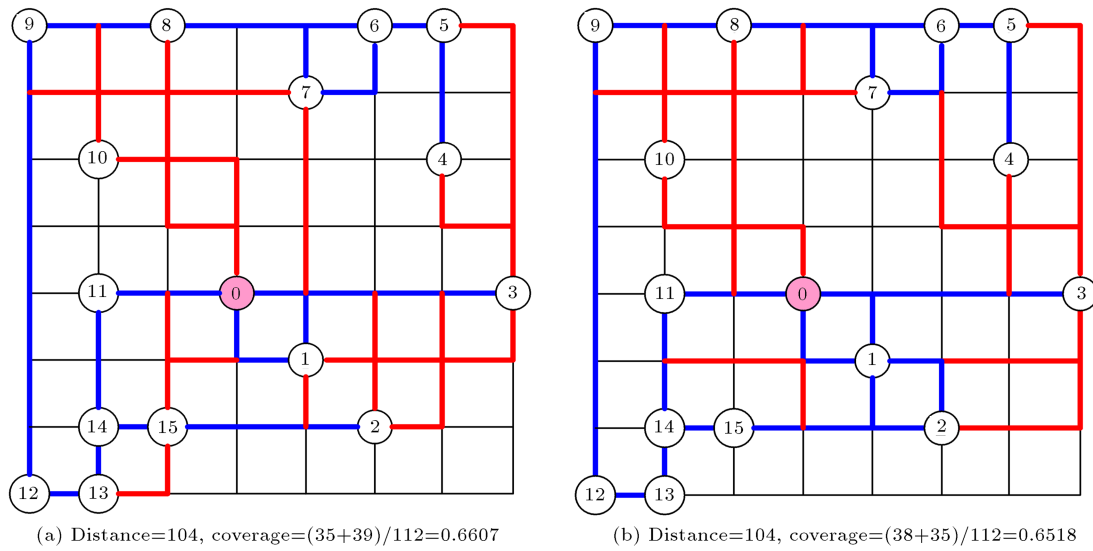


Figure 5. Top-2 solutions of patrolled lanes for $C = (1, 2, 2)$.

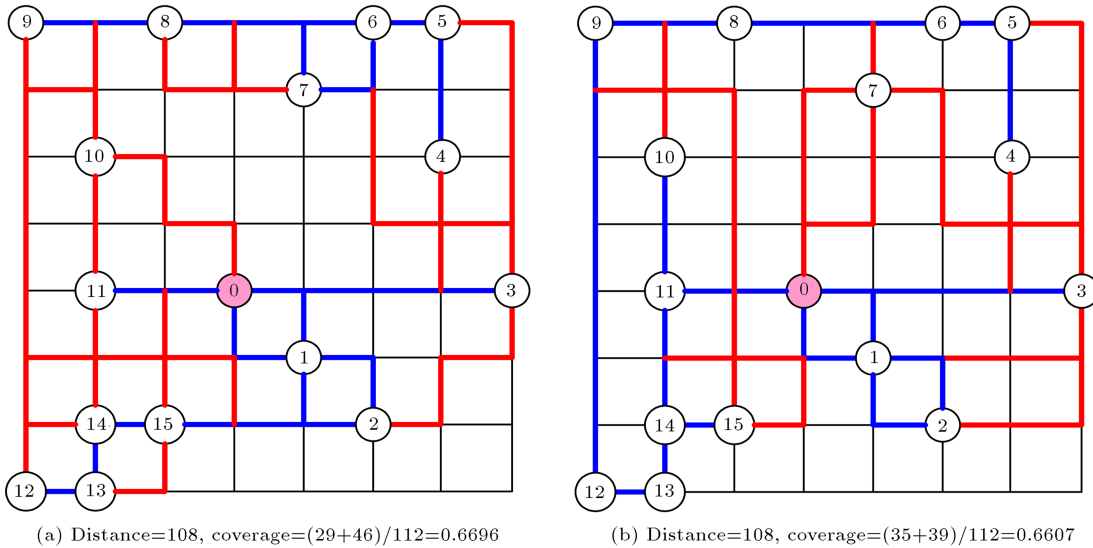


Figure 6. Top-2 solutions of patrolled lanes for $C = (2, 2, 2)$.

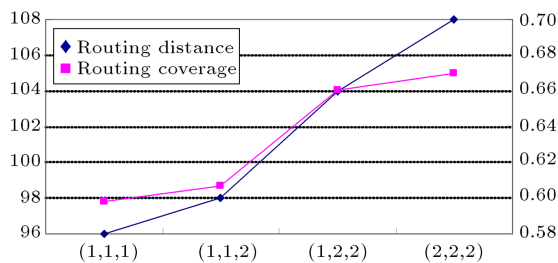


Figure 7. The routing distance and routing coverage for various C .

5. Conclusions

In this paper, we have:

- Investigated a new BGPRP in which several nodes have to be patrolled for a given number of times during a certain planning horizon.

- Presented an efficient encoding scheme to tackle both the combination and the permutation of nodes simultaneously, and then developed an efficient immune based evolutionary approach for solving the BGPRP.
- Shown the numerical results of instances with various numbers of patrol vehicle. Numerical results indicate that with the increase in the number of patrol vehicles, both the total routing distance (1st objective) and the total routing coverage of lanes (2nd objective) increase.

In future research, one may adopt other artificial intelligence approaches in solving Problem 1. Additionally, if possible, one may attempt to combine Problems 1 and 2 and solve the considered bi-objective problem directly.

Acknowledgments

This research is partially supported by the National Science Council, Taiwan, under grant no: NSC 98-2221-E-150-031 and NSC 97-2221-E-150-053-MY3.

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