

On Sequences of Composition Operators

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In this paper, the closed graph theorem is used to demonstrate that every analytic self-map of the unit disc induces a composition operator on a vector-valued Hardy space. Conditions for the convergence of a sequence of composition operators in the weak, strong and uniform operator topologies, in terms of the convergence of the corresponding sequence of inducing maps, are also reported.

INTRODUCTION

If ϕ is a analytic self-map of the open unit disc D , then Littlewood subordination theorem guarantees that without any additional assumptions about the behavior of ϕ , composition transformation C_ϕ , defined by $C_\phi f = f \circ \phi$ for a holomorphic f in D , turns out to be a bounded operator on $H^p(D)$, and is called composition operator induced by ϕ . Detailed study of these operators on scalar-valued Hardy spaces are given in [1-4]. In this paper, an attempt is made to study composition operators on a vector-valued Hardy space.

The paper is organised as follows. The next section is preliminary in nature. In this section, some known as well as unknown facts about vector-valued Hardy spaces are presented. Then, an appeal to the closed graph theorem is made to show that C_ϕ is bounded on $H^p_X(D)$. In the last section, necessary and sufficient conditions on the sequence $\{\phi_n\}$ of analytic self-maps of the unit disc D are given so that the corresponding sequence $\{C_{\phi_n}\}$ of composition operators converges in the weak and strong operator topologies.

PRELIMINARIES

Let D be an open unit disc in the complex plane and $(X, \|\cdot\|_X)$ be a complex Banach space. For $0 < p < \infty$, the vector-valued Hardy space $H^p_X(D)$ consists of all functions $f : D \rightarrow X$ such that $x^* \circ f$ is holomorphic

in D for every $x^* \in X^*$, the dual of X and:

$$\lim_{r \rightarrow 1} 1/2\pi \int_0^{2\pi} \|f(re^{i\theta})\|_X^p d\theta < \infty.$$

$H^p_X(D)$, $1 \leq p < \infty$, is a Banach space with:

$$\|f\|_p^p = \lim_{r \rightarrow 1} 1/2\pi \int_0^{2\pi} \|f(re^{i\theta})\|_X^p d\theta.$$

If $X = C$, $H^p_X(D)$ is simply denoted by $H^p(D)$ and $\|\cdot\|_p$ by $\|\cdot\|$.

It is remarkable to mention here that unlike $H^p(D)$, not every function $f \in H^p_X(D)$ has a radial limit a.e. An example is $X = C_o$, the Banach space of null sequences of complex numbers. Then $f : D \rightarrow C_o$, defined as: $f(z) = \{Z^n\}_{n=0}^\infty$, is in $H^p_{C_o}(X)$. However, $f^*(e^{i\theta}) = \lim_{r \rightarrow 1} f(re^{i\theta}) = \{e^{in\theta}\} \notin C_o$ for any θ . Hence, in order to make $H^p_X(D)$ a proper Banach space, it becomes obligatory to choose X in such a manner that every $f \in H^p_X(D)$ would have a radial limit a.e. As a matter of fact, here the interest lies in the case where $p = 2$ and (X, \langle, \rangle) is a separable Hilbert space. In this case, every function $f \in H^2_X(D)$ has a radial limit $f^*(e^{i\theta})$ a.e. [5, Theorem A, p 89], which for the sake of convenience is simply denoted by $f(e^{i\theta})$, and $H^2_X(D)$ becomes a Hilbert space under the inner product $\langle\langle, \rangle\rangle$ given by $\langle\langle f, g \rangle\rangle = 1/2\pi \int_0^{2\pi} \langle f(e^{i\theta}), g(e^{i\theta}) \rangle d\theta$. For more details about vector-valued analytic functions and Hardy spaces, see [5-7] and for classical Hardy spaces, see [8].

A lemma is formulated which will be used to find kernel functions for $H^2_X(D)$.

Lemma 1

Let $f \in H^2_X(D)$. Then,

$$\|f(z)\|_X \leq \frac{\|f\|_2}{\{1 - |z|^2\}^{1/2}}.$$

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Proof

let $f(z) = \sum_{n=0}^{\infty} a_n z^n \in H_X^2(D)$. Then, $\sum_{n=0}^{\infty} \|a_n\|_X^2 < \infty$ and:

$$\begin{aligned} \|f(z)\|_X &\leq \sum_{n=0}^{\infty} \|a_n\|_X |z|^n \\ &\leq \left\{ \sum_{n=0}^{\infty} \|a_n\|_X^2 \right\}^{1/2} \left\{ \sum_{n=0}^{\infty} |z|^{2n} \right\}^{1/2} \\ &= \frac{\|f\|_2}{\{1 - |z|^2\}^{1/2}}. \blacksquare \end{aligned}$$

Let $N = \{0, 1, 2, \dots\}$ and $\{e_n : n \in N\}$ be an orthonormal basis for X . For $m, n \in N$, $e_{mn} : D \rightarrow X$ is defined as:

$$e_{mn}(z) = z^m e_n \text{ for every } z \in D.$$

Then, clearly, $\{e_{mn} | m, n \in N\}$ is an orthonormal subset of $H_X^2(D)$. Furthermore, if $f \in H_X^2(D)$, then:

$$\begin{aligned} \langle\langle f, e_{mn} \rangle\rangle &= 0 \\ \Rightarrow 1/2\pi \int_0^{2\pi} \langle f(e^{i\theta}), e_{mn}(e^{i\theta}) \rangle d\theta &= 0 \\ \Rightarrow 1/2\pi \int_0^{2\pi} e^{-im\theta} \langle f(e^{i\theta}), e_n \rangle d\theta &= 0. \end{aligned}$$

Since g_n defined by $g_n(z) = \langle f(z), e_n \rangle$ is analytic in D , it is concluded that:

$$\langle f(z), e_n \rangle = 0 \text{ for every } z \in D \text{ and } n \in N.$$

This further implies that $f \equiv 0$. Hence, $\{e_{mn} : m, n \in N\}$ is a basis for $H_X^2(D)$.

For each $z \in D$ and $j \in N$, $E_z^j : H_X^2(D) \rightarrow C$ is defined as follows:

$$E_z^j(f) = \langle f(z), e_j \rangle \text{ for every } f \in H_X^2(D).$$

Then, $E_z^j \in (H_X^2(D))^*$ and so by Riesz representation theorem, there exists $K_z^j \in H_X^2(D)$ such that:

$$E_z^j f = \langle\langle f, K_z^j \rangle\rangle \text{ for every } f \in H_X^2(D).$$

K_z^j is designated as a generalized reproducing kernel or simply a kernel function whenever there is no confusion. The next task is to find these kernel functions.

Theorem 1

For $z \in D$ and $j \in N$, the generalized reproducing kernel K_z^j is given by:

$$K_z^j(w) = \frac{e_j}{1 - \bar{z}w}.$$

Further, $\|K_z^j\|_2^2 = \frac{1}{1 - |z|^2}$.

Proof

By Parseval identity,

$$\begin{aligned} K_z^j(w) &= \sum_{m,n \in N} \langle\langle K_z^j, e_{mn} \rangle\rangle e_{mn}(w) \\ &= \sum_{m,n \in N} \overline{E_z^j(e_{mn})} e_{mn}(w) \\ &= \sum_{m,n \in N} \langle \overline{z^m e_n}, e_j \rangle e_{mn}(w) \\ &= \sum_{m \in N} (\bar{z}w)^m e_j = \frac{e_j}{1 - \bar{z}w}. \end{aligned}$$

and $\|K_z^j\|_2^2 = \frac{1}{1 - |z|^2}$. ■

Proposition 1

The subspace $[K_z^j : (z, j) \in D \times N]$, the span of all generalized reproducing kernel functions, is dense in $H_X^2(D)$.

Proof

Let $f \in H_X^2(D)$ be such that:

$$\begin{aligned} \langle\langle f, K_z^j \rangle\rangle &= 0 \text{ for every } (z, j) \in D \times N, \\ \Rightarrow \langle f(z), e_j \rangle &= 0 \text{ for every } (z, j) \in D \times N \\ \Rightarrow f &\equiv 0 \\ \Rightarrow [K_z^j : (z, j) \in D \times N] &\text{ is dense in } H_X^2(D). \blacksquare \end{aligned}$$

COMPOSITION OPERATORS ON $H_X^2(D)$

Using the bounded linear functionals E_z^j and the closed graph theorem, it shall be shown that every analytic self-mapping ϕ of the unit disc induces a bounded operator on $H_X^2(D)$.

Theorem 2

Let $\phi : D \rightarrow D$ be analytic. Then C_ϕ is bounded.

Proof

By Theorem C of [5], $f \circ \phi \in H_X^2(D)$ for every $f \in H_X^2(D)$. Hence, C_ϕ is a mapping from $H_X^2(D)$ into $H_X^2(D)$. To prove the boundedness of C_ϕ , let $\{(f_n, C_\phi f_n)\}$ be a sequence in the graph of C_ϕ which converges to (f, g) . Then:

$$f_n \rightarrow f \text{ and } C_\phi f_n \rightarrow g,$$

which implies that:

$$E_{\phi(z)}^j f_n \rightarrow E_{\phi(z)}^j f \text{ and } E_z^j C_\phi f_n \rightarrow E_z^j g,$$

i.e., $\langle f_n(\phi(z)), e_j \rangle \rightarrow \langle f(\phi(z)), e_j \rangle$ and $\langle f_n(\phi(z)), e_j \rangle \rightarrow \langle g(z), e_j \rangle$ for every $z \in D$ and for every $j \in N$. Since $\{e_j : j \in N\}$ is a basis for X , it is concluded that $C_\phi f = g$. This shows that the graph of C_ϕ is closed. Hence, by the closed graph theorem, C_ϕ is bounded. ■

SEQUENCES OF COMPOSITION OPERATORS

In this section, conditions are provided on a given sequence of analytic self-maps of the open unit disc, so that the corresponding sequence of composition operators converges in the weak, strong and uniform operator topologies.

The First theorem of this section gives a necessary and sufficient condition for the weak convergence of a sequence of composition operators.

Theorem 3

Let $\{\phi_n\}$ be a sequence of analytic self-maps of the unit disc D and $\phi : D \rightarrow D$ be analytic. Then, the sequence $\{C_{\phi_n}\}$ converges in the weak operator topology to C_ϕ on $H_X^2(D)$ if and only if the sequence $\{\phi_n\}$ converges to ϕ uniformly on D .

Prior to proving this theorem, it is noted here that if C_ϕ is a composition operator on $H_X^2(D)$, then for $(z, j) \in D$, $C_\phi^* K_z^j = K_{\phi(z)}^j$. In fact:

$$\begin{aligned} \langle\langle f, C_\phi^* K_z^j \rangle\rangle &= \langle\langle C_\phi f, K_z^j \rangle\rangle \\ &= \langle C_\phi f(z), e_j \rangle \\ &= \langle\langle f, K_{\phi(z)}^j \rangle\rangle, \text{ for every } f \in H_X^2(D), \end{aligned}$$

which implies that $C_\phi^* K_z^j = K_{\phi(z)}^j$.

Proof

It is first assumed that $\{C_{\phi_n}\}$ converges to C_ϕ weakly. Then $C_{\phi_n} f \rightarrow C_\phi f$ weakly for every $f \in H_X^2(D)$ and so $\lim_n | \langle\langle C_{\phi_n} f, g \rangle\rangle - \langle\langle C_\phi f, g \rangle\rangle | = 0$ for every $f, g \in H_X^2(D)$. In particular, taking $f = e_{1j}$ and $g = K_z^j$, it is obtained that:

$$\begin{aligned} \lim_n | \langle\langle e_{1j}, C_{\phi_n}^* K_z^j \rangle\rangle - \langle\langle e_{1j}, C_\phi^* K_z^j \rangle\rangle | \\ = 0 \text{ for every } z \in D \text{ and } j \in N. \end{aligned}$$

Using the above remark:

$$\lim_n | \langle\langle e_{1j}, K_{\phi_n(z)}^j \rangle\rangle - \langle\langle e_{1j}, K_{\phi(z)}^j \rangle\rangle | = 0,$$

which further implies that:

$$\lim_n |\phi_n(z) - \phi(z)| = 0 \text{ for every } z \in D.$$

Hence, $\{\phi_n\}$ converges to ϕ uniformly on D .

Conversely, suppose $\phi_n \rightarrow \phi$ uniformly on D . Let $f \in H_X^2(D)$. Then $\langle f(\cdot), x \rangle : D \rightarrow \mathbb{C}$ is analytic and so continuous. Therefore, for every $\varepsilon > 0$ there exists $\delta > 0$ such that:

$$| \langle f(z), x \rangle - \langle f(w), x \rangle | < \varepsilon$$

$$\text{whenever } |z - w| < \delta, \text{ for every } x \in X. \quad (1)$$

Also, since $\phi_n \rightarrow \phi$ uniformly on D , there exists a positive integer n_o such that for $n \geq n_o$,

$$|\phi_n(z) - \phi(z)| < \delta \text{ for every } z \in D. \quad (2)$$

From Equations 1 and 2, it is obtained for $n \geq n_o$ that:

$$| \langle f(\phi_n(z)), x \rangle - \langle f(\phi(z)), x \rangle | < \varepsilon$$

for every $z \in D$ and for every $x \in X$.

In particular, taking $x = e_j$, for $n \geq n_o$:

$$| \langle (C_{\phi_n} f)(z), e_j \rangle - \langle (C_\phi f)(z), e_j \rangle | < \varepsilon$$

for every $(z, j) \in D \times N$

$$\text{or } | \langle\langle C_{\phi_n} f, K_z^j \rangle\rangle - \langle\langle C_\phi f, K_z^j \rangle\rangle | < \varepsilon$$

for every $(z, j) \in D \times N$.

This, by Proposition 1, implies that $\{C_{\phi_n}\}$ converges to C_ϕ weakly.

Next, a necessary and sufficient condition is given for strong convergence of a sequence of composition operators.

Theorem 4

The sequence $\{C_{\phi_n}\}$ converges to C_ϕ strongly if, and only if, the corresponding sequence $\{\phi_n\}$ of analytic self-maps of the unit disc converges to ϕ in $(H^2(D), \|\cdot\|_2)$.

Proof

If $\{C_{\phi_n}\}$ converges to C_ϕ strongly, then:

$$\lim_n \|C_{\phi_n} f - C_\phi f\|_2 = 0 \text{ for every } f \in H_X^2(D).$$

In particular, taking $f = e_{1j}$, it is obtained that:

$$\lim_n 1/2\pi \int_0^{2\pi} |\phi_n(e^{i\theta}) - \phi(e^{i\theta})|^2 d\theta = 0,$$

i.e., $\lim_n \|\phi_n - \phi\|_2^2 = 0$.

This implies that $\{\phi_n\}$ converges to ϕ strongly in $(H^2(D), \|\cdot\|_2)$.

The proof of the sufficient part follows from a scalar-valued version of this result simply by replacing $|\cdot|$ by $\|\cdot\|_X$ and using the fact that polynomials with coefficients in X are dense in $H_X^2(D)$ (see [1, Theorem 4.2]). ■

Corollary 1

If $\{\phi_n^*\}$ converges to ϕ^* a.e. on the unit circle ∂D , then the sequence $\{C_{\phi_n}\}$ converges strongly to C_ϕ .

For proof, see [1]. ■

This section is concluded with a necessary condition for the uniform convergence of a sequence of composition operators.

Theorem 5

If $\{C_{\phi_n}\}$ converges to C_ϕ uniformly on $H_X^2(D)$, then $\{\phi_n^j\}$ converges strongly to ϕ^j uniformly in j in $H^2(D)$.

Proof

Suppose $\{C_{\phi_n}\}$ converges to C_ϕ uniformly on $H_X^2(D)$. Then, for any $\varepsilon > 0$, there exists a positive integer n_o such that:

$$\|C_{\phi_n} - C_\phi\| < \varepsilon \text{ for every } n \geq n_o.$$

This implies that for $n \geq n_o$,

$$\|C_{\phi_n} f - C_\phi f\|_2 < \varepsilon$$

$$\text{for every } f \in H_X^2(D) \text{ with } \|f\|_2 \leq 1.$$

In particular, taking $f = e_{jK}$, it is obtained for $n \geq n_o$ that:

$$\|C_{\phi_n} e_{jK} - C_\phi e_{jK}\|_2 < \varepsilon,$$

$$\text{i.e., } \left\{ \frac{1}{2\pi} \int_0^{2\pi} \|e_{jK}(\phi_n(e^{i\theta})) - e_{jK}(\phi(e^{i\theta}))\|_X^2 d\theta \right\}^{1/2} < \varepsilon.$$

This implies that for $n \geq n_o$,

$$\|\phi_n^j - \phi^j\|_2 < \varepsilon \text{ for any } j \in N.$$

Hence, $\{\phi_n^j\}$ converges to ϕ^j uniformly in j . ■

ACKNOWLEDGMENT

The authors wish to thank Professor R.K. Singh for his valuable suggestions and helpful comments.

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