

1 **Shared autonomous vehicle with pooled service, a modal shift approach**

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9 **Abstract**

10 Dependence on private cars has led to numerous problems, where AVs could be a potential
11 solution. Pooled service, however, could be a much bigger step towards sustainable transportation.
12 This paper presents a modal shift analysis emphasizing socio-economic, travel characteristics and
13 their interaction for a sample of private car users in Tehran. A stated preference survey was
14 designed in 2021 exclusively for the research purposes, and 491 valid questionnaires were
15 gathered. One of the main contributions is considering the impact of the number of persons in
16 shared autonomous vehicle with pooled service (SAVWPS). Estimation results of discrete choice
17 model reveal that, high-income respondents, owning a personal car and being a man decrease the
18 likelihood of modal shift to SAVWPS. A negative impact is also observed for travel time, travel
19 cost, waiting time and number of persons in SAVWPS. A significant systematic heterogeneity is
20 observed in the interaction effect of travel time and dissatisfied respondents with internet taxis due
21 to the pandemic. Considering this taste variation, a lower travel time in SAVs could increase the
22 modal shift likelihood among these travelers. The findings could help transportation decision-
23 makers identify the factors affecting modal shift to SAVWPS to achieve a more sustainable
24 transportation system.

25 **Keywords:** Modal shift behavior, Pooled service, Ridesharing, Shared autonomous vehicle
26 (SAV), Stated preference.

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28 1. Introduction

29 The increasing rate of car ownership and dependency in metropolises have resulted in
30 numerous problems such as traffic congestion, environmental pollution, higher travel time, an
31 increase in the number of accidents, decreased physical activity, and increased rates of obesity,
32 particularly in developing countries [1-4]. Transport sector accounts for 66% of oil consumption
33 and 20% of carbon production and emissions in the European Union [5, 6]. Private cars are the
34 most preferred transportation mode in many countries, particularly developing ones, accounting
35 for over 43% of all trips in Iran [7]. According to the National Highway Traffic Safety
36 Administration (NHSTA), human error accounts for 90% of car accidents in the United States and
37 92% in Iran [8]. World Health Organization (WHO) reported that road accidents were the third
38 most significant cause of death in Iran in 2019 [9]. Given the challenges associated with the
39 increase in personal car use and the car ownership pattern which is significantly increasing from
40 175 private car per 1000 capita in 2010, and it is estimated to be 679 in 2030 [10], the government
41 and local authorities are seeking sustainable transportation systems to address the above problems
42 [1].

43 With the introduction of autonomous vehicles (AVs) and the rapid advancement in these
44 vehicles over the last few years, many studies showed that issues associated with personal cars
45 will be partly reduced, and AVs will play an essential role in the future transportation system [11].
46 According to previous studies, AVs could reduce air pollution, fuel consumption, human error-
47 related accidents [12, 13]. In the same way, AVs have the capability of changing private car
48 ownership, land use patterns, as well as enhancing network capacity and mobility patterns of
49 vulnerable individuals [14]. Despite these potential advantages, several studies indicate that the
50 high level of comfort and convenience in AVs will increase their use, which is counter to
51 developing a sustainable transportation system. Moreover, the high cost of AVs' ownership makes
52 their establishment challenging, particularly in developing countries [15]. Using shared
53 autonomous vehicles (SAVs) that integrate AV technology with shared mobility services, such as
54 car-sharing, ride-sharing, and ride-sourcing, not only could address these issues but other aspects
55 of the transportation system can also be enhanced [16]. From sharing approach views, car-sharing
56 and ride-sharing are the most common approach. In car-sharing, a user requests a car for their daily
57 needs from an origin to a destination, and it can offer round-trips or one-way services [17-20]. In
58 ride-sharing, on the other hand, users share their travel plans with others, and other people with
59 similar origins and destinations join them to share their trips [21-23].

60 According to previous findings, SAVs will eventually replace many outdated and costly
61 transportation modes [24, 25]. Potential benefits of SAVs show a 50% reduction in traffic volume
62 [1, 26, 27], a 40-50% reduction in travel costs compared to traditional car request services [28-31],
63 an 80% reduction in accident rates and a 40% reduction in fatal accidents [29, 32], an 80%
64 reduction in fuel consumption and pollutants compared to personal cars [28, 33, 34], and lower
65 need for parking space [35, 36]. Along with all the potential benefits, some studies have evaluated
66 their imperfections and drawbacks, such as security (due to the use of artificial intelligence in car
67 control), safety, interaction with pedestrians, cyclists, and motorcyclists [37], cleanliness (due to
68 public use), and lack of driver to assist passengers in moving their baggage [11, 38].

69 Considering the acceptance of people and determining their needs are crucial to the
70 successful implementation of any system, this paper aims to determine the explanatory factors
71 such as socio-demographic and travel characteristics of modal shift from private cars to SAVs with

72 pooled service (SAVWPS) among Tehran residents using a discrete choice model. Using stated
73 preference (SP) questionnaire, private car users in Tehran were asked to declare their willingness
74 to shift to SAVWPS based on different experiments, which consist of four three-level attributes,
75 including the number of accompanying persons, travel costs, waiting time, and travel time. After
76 a careful review of the literature, the following research gaps were identified: The majority of
77 previous studies have so far concentrated on AVs, and less attention has been paid to SAVs,
78 particularly SAVWPS, so there is a clear need for more detailed research on SAVWPS.
79 Additionally, most previous studies were conducted in developed countries, and to the best of our
80 knowledge, there is no such study in developing countries. To fill the above research gaps, this
81 paper contributes to the literature by considering the impact of the number of accompanying
82 persons that share their trip on the likelihood of modal shift, which has received less attention in
83 previous studies.

84 This paper is structured as follows: a review of previous studies on SAVs will be discussed
85 in Section 2; survey design and research method will be presented in Methodology section.
86 Estimation results and discussion will be presented in "Data Analysis" section. Finally, the
87 "Conclusions" section provides the paper's conclusion, limitations, and recommendations for
88 future studies.

89 **2. Literature review**

90 This section presents the explanatory factors affecting AV choice. Previous studies have
91 classified the variables affecting the choice of AVs into three categories: 1) socioeconomic
92 characteristics, 2) travel characteristics, and 3) attitudinal factors [11, 14, 24, 39, 40]. It is worth
93 noting that due to the difference in geographical location, culture, income, and consumer
94 innovativeness, contradictory findings have been observed. For example, in terms of
95 socioeconomic characteristics, compared with women in Austin, Asmussen et al. [41] found that
96 men are more likely to buy and use AVs due to their higher income. However, Schoettle and Sivak
97 [42] found a reverse relationship in U.S., U.K., and Australia. In terms of age, Asmussen et al. [41]
98 revealed that older adults (over 64 years old) are more likely to use AVs, but Krueger et al. [43]
99 found that older adults are the least likely respondents to choose and use AVs.

100 Many studies have shown that high-educated people are more inclined to use/ purchase
101 AVs due to their familiarity with new technologies [42, 44, 45]. However, Zmud and Sener [46]
102 found no significant association between education level and willingness to use AVs. Household
103 income is another factor affecting AVs' acceptability or purchase. Many studies have found that
104 household income is a vital factor in using/purchasing AVs [47-49]. However, some studies have
105 found contradictory results [46, 50, 51].

106 Although considerable research has examined the determinants behind the choice of AVs,
107 a limited number of papers have addressed this subject in SAVs, especially SAVWPS. Table (1)
108 summarizes previous studies on SAV choice as an emerging transportation mode. According to
109 previous studies, the most critical explanatory factors in choosing SAVs are their operational
110 features, including travel time, waiting time, travel costs, and willingness to share their trip with
111 others [17, 43]. Many studies found that young, men, highly educated, and high-income
112 respondents are more likely to choose SAVs [52, 53]. However, people who occasionally use
113 transit are also less likely to use SAVs [37, 43].

114 **< Table (1) >**

115

116 Based on the literature, the following topics have received very little attention, which we
117 aim to fill and contribute to the literature by: 1) the majority of studies focus on car-sharing
118 services, and less attention has been paid to SAVWPS; 2) most of the prior studies conducted in
119 developed countries and less attention has been paid to this matter in developing countries; 3)
120 Unlike previous studies, the determinants behind the modal shift behavior have been examined
121 using two utility functions including the factors motivating and prohibiting the modal shift from
122 private cars to SAVWPS.

123 3. Methodology

124 In this section, firstly, we introduce the stated choice experiment that has been used to
125 collect the stated preferences of respondents (Section 3-1). Moreover, the questionnaire design
126 (Section 3-2) and Choice experiment design (Section 3-3) will be presented, and finally, analysis
127 of the collected data and variables used in the modeling will be addressed (Section 3-4). The
128 research flowchart is also presented by Fig. 1.

129

130 < Figure 1. >

131

132 3.1. Choice experiment design

133 In a conjoint survey, a choice set consisting of hypothetical alternatives based on a
134 combination of different attributes of a service or product, presented to respondents and ask their
135 preference about the alternatives [58]. In this research, a stated preference (SP) survey was
136 exclusively designed and administered for determining travelers' preference for a modal shift from
137 private cars to SAVWPS. To collect the SP data, *Epoll*, as an online survey platform with the
138 capability of designing various questions has been used due to the pandemic situation in Iran.

139 3.2. Questionnaire and survey design

140 The questionnaire consisted of 50 questions in three main sections, of which 18 questions
141 were related to SP scenarios. In the beginning, the survey objective, anonymity, and the
142 confidentiality of responses were claimed to respondents. Therefore, respondents were asked to
143 consider their last trip with private car and answer questions on the departure and ending time, in-
144 vehicle time, trip purpose, accident experience during past 5 years, and parking space situation.
145 The logic behind this approach, as also used in most previous studies [43], is that this trip is the
146 most recent trip of the respondents, so fewer invalid or incorrect responses are likely to be given.
147 Also, this would reduce bias in responses to the most frequent trips, which are usually mandatory
148 trips taken on a specific schedule. Thus, we have targeted the last trip (and its characteristics) in
149 order to avoid collecting invalid responses and to collect trips with a variety of purposes. Secondly,
150 due to the absence of SAVs in Tehran, a short video clip along with SAVWPS operation (Fig. 2)
151 have been designed and shown to respondents to better percept this technology's features. As an
152 explanation about the operation of SAVWPS in Figure 1, it should be say that, in section (I),
153 *passenger (A)* registers an SAV request using his/her mobile phone after choosing the origin and
154 destination of his/her trip. The request will be sent to the main server and after some processing in
155 the data center, the best match SAV will be assigned to him/her. In the meanwhile, in section (II),
156 *passenger (B)* also registers an SAV request with a different origin and destination, which the

157 previous processes for *passenger (A)* will be redone. Due to the overlap of the route between
 158 *passenger (A)* and *passenger (B)*, the main server matches their requests and assigns the same SAV
 159 to them. Finally, section (III) presents the optimized route for passengers to be served by the same
 160 SAV. After the introduction of SAVWPS, we have asked the respondents to declare their level of
 161 familiarity with SAVs ranging from “1= not familiar at all” to “4= very familiar and having
 162 comprehensive information”. Then, the SP scenarios were presented to respondents to determine
 163 their willingness to shift to SAVWPS through a dichotomous (yes/no) variable. Finally, socio-
 164 economic along with household characteristics were asked including gender, age, marital status,
 165 education, income, driving experience, household size, and car ownership.

166 Relation (1) proposed by Cochran [59] was used to determine an adequate sample size.

$$n_0 = \frac{pqz^2}{e^2} \tag{1}$$

167 where, n_0 is the (minimum) adequate sample size, z is the standard error at the considered
 168 significance level (1.96 for 5% significance level), e accounts for the acceptable error (5%), p
 169 represents the proportion of the population that has the attribute in question (share of using car in
 170 daily trips which is 43%), and q represents $1-p$. Based on this relation, the minimum sample size
 171 is 377.

172
 173
 174
 175 < Figure 2. >
 176

177 *3.3. Stated preference section*

178 According to travelers’ last trip with private car, we have used stated choice experiment to
 179 determine users’ preferences about the modal shift. Based on four three-level attributes of SAVs
 180 including travel time, travel cost, waiting time, and number of persons inside SAVs, 18 orthogonal
 181 scenarios were designed. The levels of considered attributes are presented in Table 2. It should be
 182 noted that based on previous studies, we have selected several levels for each attribute and in light
 183 of the pilot study results, we have customized the levels in accordance with our context.

184
 185 < Table (2) >

186 Considering time limitations and preventing respondents’ confusion, a fractional factorial
 187 design (FFD) approach was used to design scenarios. Moreover, the choice experiments were
 188 divided into three six-scenario blocks and presented to each respondent randomly, and their
 189 willingness to shift toward SAVWPS was asked (Table 3). Concerning the randomness of
 190 presenting each scenario to respondents, we should mention that the survey platform had the
 191 capability of presenting the scenarios equally and randomly. In order to ensure that all three six-
 192 scenario blocks scenarios have been sufficiently distributed, we continuously monitor the share of
 193 each block. Finally, the distribution of first, second, and third block is 35%, 35%, and 30%,
 194 respectively.

195 < Table (3) >
 196

197 *3.4. Featuring the selected sample*

198 The designed survey was distributed online in Tehran for two months due to the pandemic
199 from August 2021. Through different social media platforms (Telegram, Instagram, Twitter,
200 Facebook, etc.), we have asked administrators of popular channels and groups to place the
201 questionnaire. Using some incentives, we have motivated the members of channels and groups to
202 respond to our survey. Considering the research objectives, private car users were only eligible to
203 respond to the questionnaire. Before the main survey, 30 questionnaires were filled out as a pilot
204 to check the clearness of the questions. After removing the incomplete and invalid responses, 491
205 valid questionnaires were used for modelling purposes. Descriptive analysis of individuals'
206 sociodemographic characteristics (Table 4) shows that 306 (66.56%) respondents were men, while
207 the remaining 164 (33.40%) were women. Approximately half (51.52%) of responses were
208 gathered from persons aged 25 to 34. Due to the fact that this survey was conducted online, our
209 sample is partly limited to respondents who are younger, especially those who have a social media
210 account. In order to reduce the possibility of sampling bias, we have distributed our survey to a
211 variety of groups and channels in order to improve its visibility among respondents. Regarding
212 marital status, 327 respondents (66.59 %) were single, while 164 (33.4%) were married. According
213 to the respondents' educational level, 240 (48.87%) have a master's degree. According to the
214 respondent's car ownership level, 240 (48.87%) persons own at most a car. Regarding the number
215 of driving licenses in the household, 175 (35.63%) responses belong to households with at most
216 one driving license, and 186 (37.88%) respondents have 0 to 5 years of driving experience. Finally,
217 the majority of respondents, 203 (41.34%), stated they are middle-income.

218 **<Table (4) >**

219

220 Stated modal shift behavior of respondents is shown based on their socioeconomic
221 characteristics, familiarity with SAVs, and travel-related attributes (Figure 3). As it can be seen,
222 female have a higher tendency to modal shift which it might be due to their lower income and car
223 ownership level compared with men. Regarding the accident experience, it can be concluded that
224 there is no significant difference between the related cohorts. It is due to the fact that respondents
225 who did not experience any accidents are more confident about their driving skills while people
226 with any experience in accidents are concerned of SAVs' safety. Increasing the mobility is one the
227 potential advantages of SAVs which enhance the mobility of people who do not have a driving
228 license, people with disabilities and elderlies. Hence, considering the driving license status, we can
229 see that respondents without driving license are more inclined to shift to SAVs. Regarding age, it
230 can be seen that people aged less than 24 years are the most inclined group among different age
231 categories to shift to SAVs due to their higher technology awareness. While, people aged more
232 than 45 are the least inclined to modal shift to SAVs. Due to the high car dependency and
233 ownership in Iran, as a developing county, we can see that as the car ownership increases, people
234 are also less likely to shift to SAVs. Furthermore, a similar behavior is also found about income
235 levels which shows that high-income respondents have a lower tendency to shift to SAVs and
236 consist on using their private cars. As an interesting finding, increasing the familiarity with SAVs
237 is accompany with higher likelihood of modal shift to SAVs. It is due to the fact that respondents
238 who have more information about SAVs' operation could better trust in SAVs. Considering the
239 travel-related attributes (i.e. travel time, travel cost, waiting time, and number of person), as each
240 of these factors increases, the likelihood of modal shift to SAVs decreases. In addition, we explore

241 how travel-related attributes influence the modal shift preferences of individuals based on their
242 socioeconomic characteristics, specifically income levels. By comparing the shifting behavior of
243 low-income and high-income respondents (Figure 4), we shed light on the modal shift preference
244 of a specific respondents. Regarding waiting time, respondents generally exhibit reluctance to shift
245 to SAVs as waiting time increases. However, low-income individuals appear less sensitive to this
246 factor, maintaining a consistent preference for SAVs even with extended waiting times. As high-
247 income participants have a higher value of time (VOT), their modal shift preference to SAVs
248 significantly reduces as SAVs' travel time increases. In contrast, low-income individuals remain
249 less sensitive to travel time variations. The importance of travel cost is pronounced among low-
250 income respondents. As SAV travel costs rise, their modal shift preference decreases significantly.
251 In contrast, higher-income individuals prioritize comfort and privacy over cost considerations.
252 Furthermore, as the number of people sharing SAV rides increases, high-income individuals
253 exhibit a substantial decline in modal shift preference, while lower-income respondents are less
254 affected by this factor.

255

256 < Figure 3. >

257

258 < Figure 4. >

259

260 **4. Estimation result**

261 Considering the research objectives, we have determined the most substantial
262 explanatory factors in shifting and not shifting from private cars to SAVWPS. The dependent
263 variable is the likelihood of modal shift from private cars to SAVs. Independent variables
264 include socio-demographic characteristics (such as age, gender, marital status, household car
265 ownership, and driving license) and travel characteristics (such as departure time, travel time,
266 waiting time, travel costs, and number of accompanying person in SAV). The examined factors
267 which are investigated the explanatory factors of modal shift behavior among private car users
268 in Tehran are presented in Table 5.

269 < Table (5) >

270

271 *4.1. Discussion*

272 After calibration of about 200 binary logit models in Nlogit, Table 6 presents the estimated
273 parameters for the best fit model along with significance level, t-test and marginal effect. The t-
274 values show that most estimated coefficients are significant at 95% confidence level. The
275 interaction variables have also been used to investigate the systematic heterogeneity among
276 respondents. Also, to facilitate the successful policymaking and implementation of SAVs, the
277 factors contributing to the modal shift behavior have been estimated separately in two utility
278 functions. The positive and significant coefficient of passenger variable (β : 0.264, p-value: 0.006)
279 indicates the higher likelihood of no modal shift. In other words, if a respondent is a driver, she
280 (he) will be less likely to persist in using her (his) private car and shift to SAVs. One of the reasons

281 for this matter is that drivers can experience a more productive trip by doing other activities than
282 driving, which is in line with previous studies [60]. The negative and significant coefficient for
283 users without car ownership (β : - 0.407, p-value: 0.000) indicates the lower likelihood of no modal
284 shift to SAVs. It can be concluded that the lack of private car ownership will increase the likelihood
285 of modal shift to SAVs. It is due to the fact that in accordance with the findings of Dicianno et al.
286 [14] and Yuen et al. [53], SAVs will increase the mobility of individuals who do not own a private
287 car, elderly, people aged under 18, and disabled which increase the likelihood of choosing AVs
288 and SAVs. Given the high level of car ownership and dependency in Iran, the estimated parameter
289 for INCNPS_1 (β : 0.194, p-value: 0.000) indicates that by increasing the income, even if
290 respondents share their trip with another person in SAVs, their willingness to persist in the current
291 travel mode is increased and the likelihood of modal shift to SAVs is reduced, which is aligned
292 with the findings of Bansal and Kockelman [47], Kyriakidis et al. [48], and Howard and Dai [49].
293 Regarding gender, female respondents (β : - 0.272, p-value: 0.001) are less likely to do not modal
294 shift. Considering the lower level of income and car ownership among women compared with
295 men, they are more inclined to modal shift to SAVs, which is in line with Yuen et al.[53] and
296 Aboutorabi Kashani et al. [61] findings. The positive and significant coefficient of the interactive
297 variable (INC_3TTM) indicates the systematic heterogeneity among middle-income persons in
298 perceiving the impact of private car travel time. It can be concluded that by reducing private car
299 travel time among people with average income (β : 0.743, p-value: 0.026), the likelihood of modal
300 shift to SAVs decreases and this income group are more sensitive to private car travel time.
301 Increasing household car ownership level among respondents who use private parking (β : 0.200,
302 p-value: 0.060) is positively associated with the probability of keeping on using private cars and
303 decreases the likelihood of modal shift to SAVs. High level of car ownership and presence of free
304 parking in Tehran has led to a high dependency upon private cars and a reduction in the willingness
305 to use public transportation [62]. Hence, as an implication for policy and practice, it is
306 recommended to implement some travel demand management policies such as parking
307 management and congestion pricing to reduce private car desirability. Considering the potential
308 benefits of SAVs in reducing accidents due to human errors, respondents who experienced more
309 than two accidents during 5 past years (β : 0.417, p-value: 0.067) are more likely to shift to SAVs.
310 This significant finding is in accordance with Singh [63] results. A two-minute waiting time for
311 SAVs (β : - 0.279, p-value: 0.000) is negatively associated with modal shift to SAVs, which is in
312 line with Lokhandwala and Cai [21] findings. The positive and significant impact of TTSMCOV
313 (β : 17.189, p-value: 0.015) indicates that as the travel time in SAVs decreases, the likelihood of
314 modal shift to SAVs will increase among respondents who are less likely to use internet taxis due
315 to pandemic situation. Hence, reducing SAVs' travel time is recommended by implementing
316 policies such as using dedicated lanes at least during pandemics, which will increase the likelihood
317 of sharing attitudes among these groups. The positive and significant coefficient of PHD_TTSM
318 reveals the systematic heterogeneity among respondents (β : 7.598, p-value: 0.072), showing that
319 respondents with a Ph.D. degree are more sensitive to travel time in SAVs. In other words, these
320 persons are more likely to modal shift from private cars to SAVs if the travel time in SAVs
321 decreases by implementing such recommended policies in previous lines. Finally, the negative and
322 significant estimated parameter for (TCSSIN_3) shows that middle-income individuals (β : -
323 0.139, p-value: 0.000) are more sensitive to SAVs' travel cost. It can be concluded that as the
324 travel cost by SAVs increases, the likelihood of modal shift among middle-income respondents
325 will decrease.

326

< Table (6) >

327 4.2. *Implications for policy and practice*

328 Several implications for policy and practice are presented in this section within the context
329 of some variables, with the aim of increasing the likelihood of modal shift to SAVs.

330 Concerning the significant effect of *NACDR_2* variable, it should be mentioned that it is
331 essential for authorities to build trust among their potential users. It is, therefore, imperative to
332 ensure the safety of this technology. As a result of continuous monitoring of SAVs, constant checks
333 to prevent potential defects, and the presence of cameras and operators inside the vehicle, people's
334 concerns are reduced and trust is formed. Moreover, it is recommended to report the potential
335 benefits of SAVs such as reduction in the number of accidents in all possible media channels, such
336 as social media and mass media. Based on the positive and significant effect of *TTSMCOV*, it is
337 recommended to use enhanced air conditioning systems in SAVs, regular disinfection, and
338 establishing dedicated lanes, at least, during pandemics to decrease SAVs' travel time, which will
339 increase the likelihood of sharing attitudes among these groups.

340 Concerning the impact of *TCSSIN_3* and *PhD_TTSM*, it is recommended that service
341 providers suggest passengers with the option of selecting the preferred number of people to share
342 the trip at various levels of travel cost to better adjust with their income and value of time. Due to
343 the negative impact of *PASSEN* on willingness to shift to SAVs, it can be suggested to increase
344 the awareness of individuals about the potential benefits of SAVs such as the possibility of having
345 more productive and effective trip through conducting other activities rather than driving in SAVs.
346 Considering the positive effect of *CarOwn0* and *NCAR_FP* on the willingness to shift to SAVs, it
347 is recommended to implement travel demand management (TDM) policies [64] to decrease
348 dependence on private cars and encourage car users to use SAVs, such as increasing taxation and
349 related costs of households' additional cars as well as congestion pricing and parking management.

350

351 **5. Conclusions and future suggesting for further studies**

352 As an emerging sustainable transportation system, shared autonomous vehicles (SAVs)
353 have significant potential advantages such as increasing travel convenience, reducing accidents
354 and death rates and reducing pollutant emissions [65, 66]. Besides their numerous benefits, there
355 are many concerns about using this technology. Hence, understanding potential user's motivations
356 and preferences for using these vehicles will be critical to their successful implementation,
357 especially in developing countries due to the capital investment limitation and high level of car
358 ownership and dependency [67]. After reviewing the literature, it was found that there is a further
359 need to examine the factors affecting the modal shift behavior to SAVs with pooled services
360 (SAVWPS), particularly in developing countries because to the best of authors' knowledge, this
361 study is the first study about SAVWPS in Iran and previous studies have paid less attention to this
362 topic. This paper aims to examine the effect of socioeconomic and travel characteristics on modal
363 shift behavior of private car users in Tehran using discrete choice model.

364 Based on a questionnaire designed exclusively for the purpose of this research, 2946 valid
365 observations (491 valid questionnaires) gathered during August–September, 2021. The survey
366 consisted of three main sections including: respondents' last trip characteristics with private car,
367 stated preference scenarios, and demographic characteristics. Respondents' modal shift likelihood

368 was studied based on four three-level attributes of stated preference scenarios including travel
369 time, travel costs, waiting time, and number of people who share their trip inside SAVs.

370 Findings indicate the significant influence of different socioeconomic and travel
371 characteristics as well as their interactions on shifting trips from private cars to SAVWPS. In terms
372 of socioeconomic characteristics, the lack of car ownership and being female are positively
373 associated with the likelihood of modal shift to SAVWPS. Concerning respondents' income, a
374 systematic heterogeneity has been observed that shows by increasing the income, the likelihood of
375 modal shift decreases among individuals who share their travel with another person. Furthermore,
376 middle-income individuals are more sensitive to travel cost of SAVWPS, and as the travel cost
377 increases, the likelihood of modal shift among these individuals will decrease. Regarding travel
378 characteristics in SAVWPS, travel time, travel costs, waiting time, and the number of passenger
379 inside SAVWPS significantly affect the modal shift behavior individually or as an interaction
380 variable with other factors. The findings suggested a reduction in the likelihood of modal shift to
381 SAVWPS when each variable was increased. Furthermore, by reducing SAVWPS' travel time, the
382 probability of modal shift reduces among persons who hold a PhD degree, and who are less likely
383 to use internet taxis due to pandemic situation. Based on the sensitivity analysis using marginal
384 effect, it was concluded that reduction in private car travel time among middle-income persons has
385 the most negative impact on modal shift to SAVWPS. However, reducing SAVWPS' travel time
386 has the most positive impact on modal shift among individuals who are dissatisfied with internet
387 taxis due to COVID-19. As a result, the probability of modal shift from private cars to SAVWPS
388 will increase through the increasing travel cost and travel time of private cars and allowing
389 SAVWPS to be used in dedicated lanes.

390 There are limitations in this study, as well. We have asked respondents' willingness to shift
391 to SAVWPS based on a binary scale (due to the complete lack of SAVs in Iran), where a Likert
392 scale could be a more precise identification of their willingness to shift to SAVWPS. This research
393 was conducted during the pandemic, a post pandemic survey could be another suggestion for
394 further studies to investigate respondents' preferences when ridesharing would be more probable.
395 Systematic heterogeneity of respondents has been investigated in this paper (for example effect of
396 SAV's travel cost and income, education and travel time in SAVs). Random heterogeneity using
397 mixed logit model could also be another topic for identifying and extracting the source of random
398 heterogeneity.

399

400 **Data availability statement:**

401 Data available on request due to privacy/ethical restrictions.

402

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406

407

408 **Conflict of interest disclosure:**

409 Authors have no conflict of interest to declare.

410

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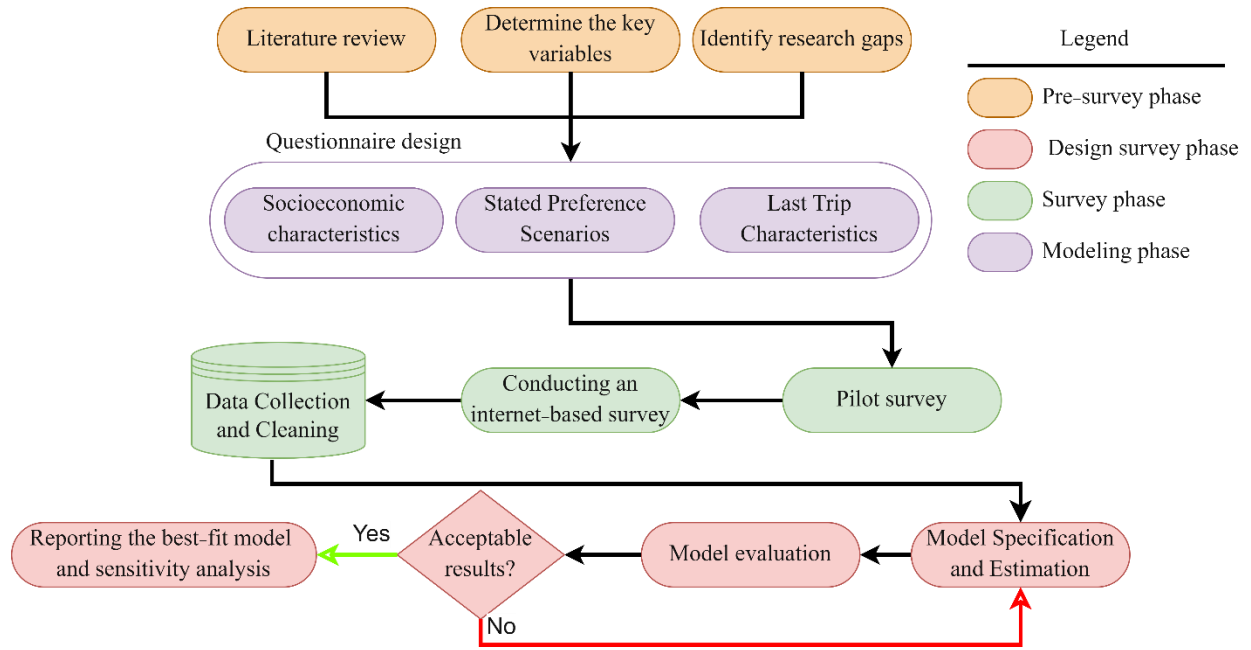
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594 **Figures and Tables**

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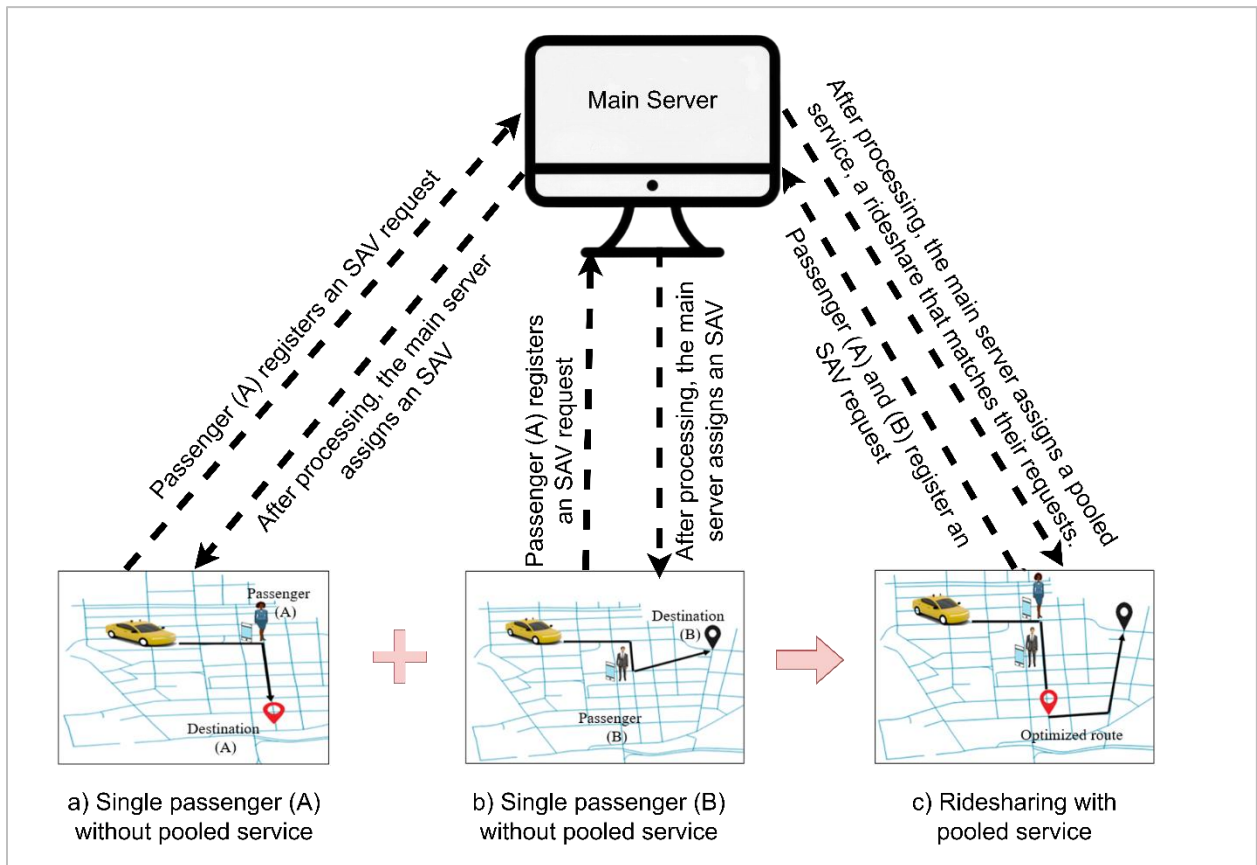


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Figure 1. The research flowchart

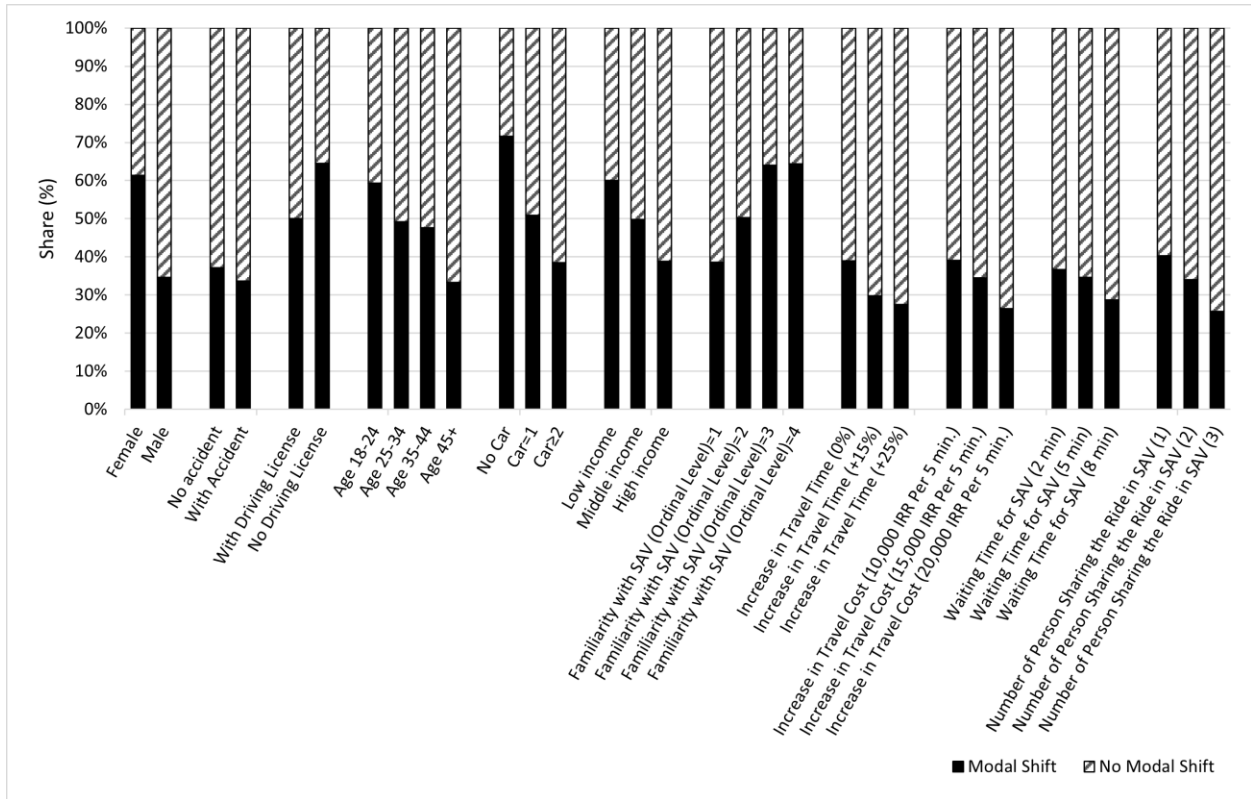
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Figure 2. An example of pooled service operation



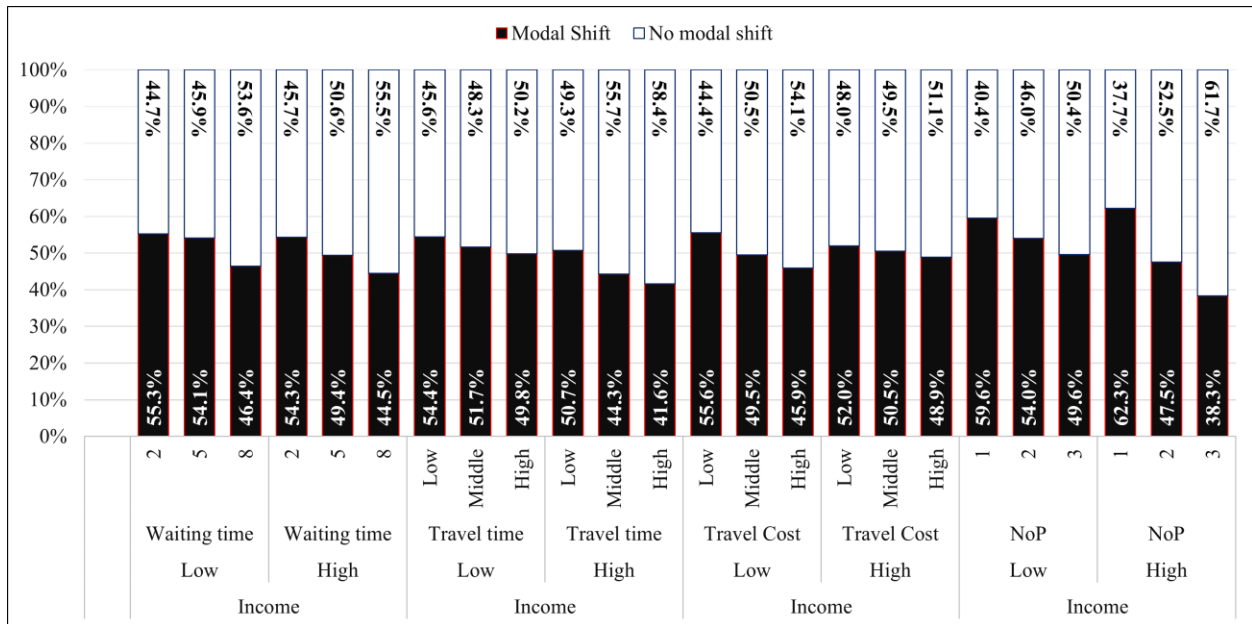
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Figure 3. Some socioeconomic and SAVs travel-related attributes considered in the research scenarios

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Figure 4. Comparing the modal shift preference of low-income and high-income participants based on four main travel-related attributes

611 **Table (1) - A brief review of a selection of researches on users' willingness to choose/use SAVs**

Authors	Variables	Key Findings
Maeng and Cho [54]	Autonomous driving level, pickup and delivery service, liability for accidents, waiting time after booking, monthly household income, fuel cost per month, gender, age, education.	<ul style="list-style-type: none"> • Negative effect of waiting time on the likelihood of choosing SAVs. • Negative influence of service fee (per hour) on the likelihood of choosing SAVs. • Derive different findings based on the interactive effect of socioeconomic characteristics and other variables.
Tian et al. [55]	Travel cost, waiting time, car availability, using laptop, household size education level, gender, age, and income.	<ul style="list-style-type: none"> • Higher willingness of individuals to purchase privately owned AVs rather than using SAVs. • More inclination of respondents to do other activities while using SAVs. • Higher willingness of younger respondents to use SAV.
Wang et al. [56]	Travel distance, travel time, waiting time, travel cost, gender, income, education, age.	<ul style="list-style-type: none"> • Higher willingness of respondents from Dalian to shift from private car to SAV. • Critical role of travel time, waiting time, and travel costs. • Higher willingness of high-educated and high-income respondents from Pakistan to use SAV.
Winter et al. [18]	Travel time, waiting time, travel cost, parking cost, travel time to station, finding a park, gender, income, education, age.	<ul style="list-style-type: none"> • Higher interest of car- and transit-users in using SAVs. • Higher impact of travel time, high-education, and being young on use of SAVs.
Menon et al. [57]	Gender, income, daily travel time, household size, household car ownership, and number of accidents.	<ul style="list-style-type: none"> • Positive effect of high-education, accident experience, and men single-car owners on using SAV.
Krueger et al. [43]	Travel time, waiting time, travel costs, travel time, gender, age, and weekly income.	<ul style="list-style-type: none"> • Younger individuals as the most likely users of SAVs. • The vital impact of travel time, waiting time, and travel cost on choosing SAVs.

613

Table (2) – Levels of attributes SAVs in this research

614

Attributes	levels		
	1	2	3
Travel time change (%)	0	+ 15%	+ 25%
Travel cost (IRR per 5 min)	10,000	15,000	20,000
Waiting time (min)	2	5	8
Number of persons in SAV	1	2	3

615

Table (3) - An example scenario of the stated preference survey

Travel Time (minutes)	Travel Cost (Iranian Rial)	Waiting Time (minutes)	Number of person in SAV	Would you like to modal shift to SAV?	
				Yes	No
1.15×TT	TT/5×20,000	8	2	<input type="checkbox"/>	<input type="checkbox"/>
1.25×TT	TT/5×20,000	5	1	<input type="checkbox"/>	<input type="checkbox"/>
1.25×TT	TT/5×10,000	5	3	<input type="checkbox"/>	<input type="checkbox"/>
1.15×TT	TT/5×15,000	8	3	<input type="checkbox"/>	<input type="checkbox"/>
TT	TT/5×15,000	2	2	<input type="checkbox"/>	<input type="checkbox"/>
TT	TT/5×10,000	2	1	<input type="checkbox"/>	<input type="checkbox"/>

Note: TT stands for travel time

Table (4) - Descriptive analysis results of socio-economic characteristics of the research sample

Variable	Response Category	Frequency		Mean (S.D.)
		Absolute	Relative (%)	
Gender	0: Female	38.00	185	0.62 (0.48)
	1: Male	62.00	306	
Age	0: 18-24	119	24.24	1.09 (0.88)
	1: 25-34	255	51.93	
	2: 35-44	71	14.46	
	3: 45-64	43	8.76	
	4: +64	3	0.61	
Marriage Status	0: Single	327	66.60	0.33 (0.472)
	1: Married	164	33.40	
Education	0: At most diploma	48	9.78	1.57 (0.79)
	1: Bachelor	158	32.18	
	2: Master	240	48.88	
	3: PhD	45	9.16	
Number of cars in household	0	10	2.04	1.65 (0.77)
	1	230	46.84	
	2	173	35.23	
	+3	78	15.89	
Number of driving license in household	0	29	5.91	2.09 (1.14)
	1	146	29.74	
	2	135	27.49	
	3	115	23.42	
	+4	66	13.44	
Driving experience	0: 0-5	186	37.88	1.07 (1.06)
	1: 6-10	154	31.36	
	2: 11-15	80	16.30	
	3: +16	71	14.46	
Income	0: Very low	27	5.50	2.06 (0.93)
	1: Low	98	19.96	
	2: Medium	203	41.34	
	3: High	145	29.53	
	4: Very high	18	3.67	

Table (5) – Definition and descriptive analysis of model variables

Variable type	Symbol	Definition	Min.	Max.	Mean/ Percent
Dummy	PASSEN	If the respondent was a passenger in a car: 1; otherwise: 0	0	1	29.93%
	CarOwn0	Not owning a private car: 1; otherwise: 0	0	1	5.70%
	NPS_1	Sharing the trip with one another passenger: 1; otherwise: 0	0	1	33.33%
	FEMALE	Female: 1; otherwise: 0	0	1	37.67%
	INC_3	Having a middle income: 1; otherwise: 0	0	1	41.34%
	FP	Having a private parking at home: 1; otherwise: 0	0	1	77.18%
	NACR0	Experiencing no accident in past 5 years as a driver: 1; otherwise: 0	0	1	68.83%
	DREXP1	Having 0-5 years of driving experience: 1; otherwise: 0	0	1	37.88%
	NACDR_2	Experiencing more than two accidents in past 5 years as a driver: 1; otherwise: 0	0	1	12.83%
	WTSS_2	Two minutes waiting time for SAV: 1; otherwise: 0	0	1	33.33%
	COVID	Dissatisfy with internet taxis due to COVID: 1; otherwise: 0	0	1	4.48%
Continuous	TTM	$\frac{1}{\text{private car travel time (minute)}}$	0.00 5	0.200	0.02
	HHCAR	Household car ownership	0	4	1.68
	TTSM	$\frac{1}{\text{SAV travel time (minute)}}$	0.00 4	0.200	0.01
	INC	Income level (1: very low; ...; 5: very high)	1	5	3.05
	TCSS	SAV travel cost (IRR)	120, 000	756,0 00	199,070
	PHD	Holding a PhD degree: 1; otherwise: 0	0	1	9.16%

Table (6) - Estimation result and marginal effect of model shift to SAV

Variables	Coef.	t-statistic	M.E.¹
Utility of the alternative: shift to SAV			
Constant	0.140*	1.83	-
Experiencing more than two accidents in past 5 years as a driver	0.417***	3.52	0.099
Two minutes waiting time for SAV	-0.279***	-3.49	-
<u>Dissatisfy with internet taxis due to COVID</u> Travel time of SAV	17.189**	2.42	4.098
SAV travel cost × Bing a middle-income	-0.013***	4.18	-
<u> Holding a PhD</u> Travel time of SAV	7.598*	1.80	1.811
Utility of the alternative: Not shift to SAV			
Being a passenger in a private car	0.264***	2.74	0.063
Not owning any private cars	-0.407***	-3.48	-
Income × Sharing a ride with one another passenger	0.194***	7.80	0.047
Being a female	-0.272***	-3.17	-
<u>Being a middle – income</u> Private car travel time	0.743**	2.22	0.177
Car ownership × having private parking	0.200*	1.88	0.047
Model statistics			
Number of observations	2946		
Log-likelihood at zero (LL(0))	-2042.01		
Log-likelihood at market share (LL(C))	-2041.00		
Log-likelihood at convergence (LL(β))	-1970.36		
<i>Note: ***, **, *: confidence level at 99%, 95% and 90%.</i>			
¹ <i>M.E.: Marginal Effect</i>			

625 **Biography**

626 **Mahsa Aboutorabi Kashani** graduated from Islami Azad University in civil engineering in 2018,
627 and earned an MSc degree in Transportation Planning from Tarbiat Modares University in 2022.
628 Her research interests are discrete choice modeling, behavioral models in transportation, and travel
629 demand management.

630 **Amir Reza Mamdoohi** earned his PhD from Sharif University of Technology with an excellent
631 degree in 2005 and started his career as a faculty member in the Institute for Management and
632 Planning Studies and after four years at Trabiati Modares University where his collaboration
633 resulted in the establishment of Transportation Planning Course at the Masters and PhD degree.
634 He has (co)supervised more than 20 PhD and 130 MS theses, published (in English or Farsi) more
635 than 205 refereed journal papers and more than 125 refereed conference papers. His international
636 collaboration has resulted in signing memorandum of understanding with TU Wien (Austria), TU
637 Berlin (Germany) and IST (Portugal) and action plans including joint international workshops,
638 seminars, theses co-advisorship, projects and papers. He has (co)lead more than 25 industrial or
639 research projects.

640 **Mohammadhossein Abbasi** received his B.Sc. degree in Civil Engineering in 2016 from Ilam
641 University, Ilam, Iran. He also earned his M.Sc. degree in Transportation Planning in 2018 from
642 K. N. Toosi University of Technology, Tehran, Iran. During his M.Sc., he worked on the impact
643 of battery electric buses on the environment, traffic, and economic aspects in Tehran, Iran. He
644 earned his PhD degree from Tarbiat Modares University, Tehran, Iran in 2024. His research
645 focuses on the acceptability of shared autonomous vehicles in Tehran, Iran. His research interests
646 include traffic simulation, behavioral models in transportation, active mobility, and traffic safety.

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