

Bridge backwater estimation: A Comparison between artificial intelligence models and explicit equations

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Abstract

Estimation of bridge backwater has been one of practical challenges in hydraulic engineering for decades. In this study, Genetic Programming (GP) has been applied for estimating bridge backwater for the first time based on the conducted literature review. Furthermore, two new explicit equations are developed for predicting bridge afflux using Genetic Algorithm (GA) and hybrid MHBMO-GRG algorithm. The performances of these models are compared with Artificial Neural Network (ANN) and several explicit equations available in the literature considering both laboratory and field data. Based on five considered performance evaluation criteria, the two new explicit equations outperform the ones available in the literature. Furthermore, GP and ANN achieve the

25 best results in favor of four out of five considered criteria for train and test data,
26 respectively. To be more specific, ANN improves the MSE and R^2 values of the explicit
27 equation developed using GA by 44% and 12% for the test data while GP enhances the
28 corresponding values by 62% and 9% for the train data. Finally, the results demonstrate
29 that not only artificial intelligence models considerably improve bridge afflux estimation
30 than the explicit equations but also the suggested equations significantly improve the
31 accuracy of the available explicit ones.

32 **Keywords:** Hydraulic structures, bridge backwater estimation, Genetic Programming,
33 explicit equation, Artificial Neural Network.

34 **1 Introduction**

35 Bridges are inevitable useful structures across waterways that connect river sides
36 together for transfer purposes. However, they may confine natural space for water
37 flowing in rivers. Particularly, water surface at the upstream of bridges built over rivers
38 may rise more than its normal limit due to the provided confinement during flood event.
39 This increase of water level with respect to normal water depth is invariantly called
40 bridge afflux or backwater.

41 Since bridge backwater has important impacts on flood defense schemes and river
42 planning and management projects, searching for better estimation of this phenomenon
43 has been studied for many decades. These efforts can be classified from different facets.

44 From the type of bridge, they may be grouped as contributions focusing on bridges with
45 either horizontal soffit [1] or arch deck [2-4]. From methodological point of view, some
46 researches applied numerical [5-6], experimental [7-8], and data mining approaches [3,
47 9-11] to predict bridge backwater. Furthermore, it should be noted that most of
48 methods estimating bridge afflux have been basically developed based on field or
49 laboratory datasets. The numerical efforts may be classified into different kinds of
50 methods to predict bridge backwater including (1) energy method [12-14], (2)
51 momentum method [15], (3) WSPRO [16], (4) Yarnell's method [17], (5) HR method [18],
52 and (6) USBPR method [19]. Although some of these numerical models have been
53 implemented in numerical software simulating rivers and hydraulic structures, like ISIS
54 package [20] and HEC-RAS [12-16], the need for calibration, relatively excessive data
55 requirement, and applicable under certain specified assumptions may be counted as
56 their shortcomings for estimating backwater for different types of bridges.

57 Since the main focus of this study is estimating backwater for arched bridges, the
58 relevant contributions are particularly reviewed herein. Among studies conducted for
59 this type of bridge, Biery and Delleur's [2] has been the most well-known one. They
60 investigated backwater analysis for single-span arch bridges and recommended an
61 explicit empirical correlation which attributes bridge afflux to Froude number and
62 opening ratio. Brown [18] not only conducted many experimental for different kinds of
63 bridge constrictions but also collected various bridge backwater field data from 1946 to

64 1983. This contribution yields to HR method, which estimates backwater for arch
65 bridges using normal depth, Froude number, and blockage ratio. Later, this method has
66 been implemented as one of bridge subroutine approaches in the ISIS package program
67 [20]. Although explicit equations have been commonly used for estimating many
68 variables in various water resources applications [21-25], they should be exploited only
69 when their background assumption(s) and valid ranges are applicable. In this regard, the
70 limitations of the available explicit equations for predicting backwater depth include (1)
71 they were not developed by powerful algorithms and (2) their accuracy is not enough to
72 be applied in professional software for analyzing rivers and designing of hydraulic
73 structures. In order to enhance the accuracy of backwater estimation, artificial
74 intelligence (AI) models including radial basis function based neural network (RBNN),
75 multi-layer perceptron (MLP), generalized regression neural networks (GRNN), and
76 adaptive neuro-fuzzy inference system (ANFIS) have been utilized for this purpose using
77 experimental and field databases [3-4, 10-11]. In spite of all these studies, an accurate
78 explicit equation, which takes all involving variables into account and developed by
79 powerful algorithms like GA, has not been proposed based on the current literature.
80 Furthermore, even though several AI models like ANN have been applied to estimate
81 afflux for arched bridges, Genetic programming, which has been successfully used for
82 solving other water-related problems [23, 26-27], has not utilized for this purpose. Since
83 better bridge backwater estimation can yield to more sustainable and reliable schemes

84 for bridge safety during flood events, not only developing new accuracy-improved
85 explicit equations using powerful optimization algorithms but also applying other
86 powerful data mining approaches are still required.

87 In this paper, two new explicit equations are developed for estimating bridge afflux
88 using Genetic Algorithm (GA) and Modified Honey Bee Mating Optimization-Generalized
89 Reduced Gradient (MHBMO-GRG) Algorithm. These algorithms have been successfully
90 utilized for solving various civil and water engineering problems in the literature [21-22,
91 28-30] while it is the first time that they have been applied to estimate bridge
92 backwater depth to the authors' knowledge. Moreover, Genetic Programming (GP) has
93 been used for similar purpose for the first time in the literature. The performances of
94 these three models are compared with those of Artificial Neural Network (ANN) and
95 three other explicit equations available in the literature. The results obtained for the
96 afflux prediction using a reliable database demonstrate that new models improve the
97 estimation.

98 **2 Bridge backwater problems**

99 In order to better illustrate this phenomenon, a schematic situation of bridge backwater
100 is depicted in Figure 1. As shown, water suitably flows along the river and under the
101 bridge with normal depth. However, a rise in water surface level may be caused due to

102 bridge constriction during flood events. In Figure 1, dh denotes bridge backwater, and
103 D_1 and D_3 represent normal flow depth at sections 1 and 3, respectively.

104 According to previous studies [3, 8-10, 31], four parameters have the most significant
105 impacts on bridge backwater. These parameters are (1) the ratio of blockage area of
106 bridge at depth D_1 to flow area at section 1 upstream of bridge (J_1), (2) the ratio of
107 blockage area of bridge at depth D_3 to flow area at section 3 downstream of bridge (
108 J_3), (3) the Froude number at section 3 (F_3), and (4) downstream normal depth (D_3
109). With the aid of these parameters, bridge afflux, i.e., dh , can be evaluated for arched
110 bridge constrictions in rivers. By applying dimensionless analysis, the bridge backwater
111 can be determined using the following function:

$$112 \quad \frac{dh}{D_3} = F(J_1, J_3, F_3) \quad (1)$$

113 where F denotes function.

114 Three empirical formulas for bridge backwater available in the literature include Biery
115 and Delleur [2], multiple linear regressions (MLR) [3], and multiple nonlinear regressions
116 (MLNR) [3]. The corresponding equations are shown in Eq. 2 to Eq. 4, respectively.

$$117 \quad \frac{dh}{D_3} = 0.47 \times \left(\frac{F_3}{1 - J_3} \right)^{2.26} \quad (2)$$

118
$$\frac{dh}{D_3} = 1.62 \times J_1 - 1.54 \times J_3 + 0.429 \times F_3 \quad (3)$$

119
$$\frac{dh}{D_3} = 1.311 \frac{J_1^{1.8} F_3^{1.23}}{J_3^{0.744}} \quad (4)$$

120 Among these explicit equations, Biery and Delleur's [2] equation is the best-known
121 empirical formula for bridge backwater. As shown in Eq. 2, the impact of J_1 is not
122 considered in this equation whereas it should be considered as in the general function
123 shown in Eq. 1. According to Biery and Delleur's [2] formula, bridge backwater increases
124 with the increase of Froude number at downstream section and reduces with the
125 reduction of J_3 . On the other hand, Eq. 3 and Eq. 4 consider all the independent
126 parameters involved in bridge backwater. The main difference between Eq. 3 and Eq. 4
127 is that the former is linear while the latter considers nonlinear relationship between
128 involved parameters.

129 **3 Methods and materials**

130 In this section, first the data considered for bridge backwater is introduced. Second, the
131 proposed empirical models are presented. Finally, different methods utilized for
132 estimating backwater depth in this study are briefly described.

133 **3.1 Bridge backwater database**

134 As it was previously mentioned, most of available methods for backwater estimation
135 have been developed using laboratory and/or field data. Likewise, the data considered
136 in this study comprises both experimental and field data sets. The former data was
137 originally pertinent to Hydraulic Research Wallingford experiments conducted regarding
138 investigations on backwater estimation while the latter includes 66 field data observed
139 between 1946 and 1983 [18]. Moreover, the 202-laboratory data were carried out on
140 two different rectangular flumes incorporating different types of arched bridges. The
141 ranges of J_1 , J_3 , F_3 , and dh/D_3 of these data are summarized in Table 1. Moreover,
142 the variations of dh/D_3 with J_1 , J_3 , and F_3 are depicted in Figure 2. As shown, most
143 data points in this database have F_3 lower than 0.75 and similar J_1 and J_3 values.
144 Also, Figure 2 depicts that the values of dimensionless bridge backwater depth (dh/D_3
145) are lower than 0.78 for most of data. Since this database has been already utilized in
146 several studies [3, 10, 18], it is technically reliable for developing accuracy-improved
147 equations for estimating bridge backwater.

148 **3.2 Proposed models for explicit backwater estimation**

149 According to the presented literature review, explicit equation with high precision is still
150 required for estimating backwater occurred in arched bridges. In this regard, after trying
151 many different functions for determining a qualified formula, a new structure for

152 explicit equation is obtained and proposed for afflux estimation in this study. This
153 model, which has a simple structure, is shown as the followings:

$$154 \quad \frac{dh}{D_3} = \begin{cases} p_1 J_1^{p_2} J_3^{p_3} F_3^{p_4} & \text{for } F_3 < p_9 \\ p_5 J_1^{p_6} J_3^{p_7} F_3^{p_8} & \text{for } F_3 \geq p_9 \end{cases} \quad (5)$$

155 where p_i for $i=1,2,\dots,9$ are the unknown coefficients of the new proposed model.

156 As shown in Eq. 5, the proposed model, which has nine coefficients required to be
157 calibrated, divides the whole dataset into two parts. This division is based on the value
158 of the Froude number of the downstream section (F_3). In each part, bridge backwater
159 may be calculated using a simple nonlinear equation obtained by multiplying all
160 involving parameters. All the coefficients of the new proposed model were optimized
161 based on the field and laboratory database. Unlike Biery and Delleur's [2] model (Eq. 2),
162 the proposed model considers all the involved parameters for estimating bridge
163 backwater.

164 **3.3 Artificial Neural Network**

165 The theory of Artificial Neural Network has been presented in many references and has
166 been applied to various problems in different fields, particularly hydraulic and water
167 resources engineering [27, 32-34]. A typical ANN includes some elements invariantly
168 called neurons grouped in layers. The neurons in the input layer take a vector, which
169 consists of input data. These neurons play the role of transmitting the values to the next

170 layer across connections. Each neuron in a layer is connected to all the neurons of the
171 next layer and not connected among them. The data flow through these connections
172 from one neuron to another is multiplied by weights, which control the strength of a
173 passing signal. In the feed-forward network, the data process continues when the
174 output layer is grasped while the data flows exclusively in one direction. However, the
175 Feed Forward Back Propagation (FFBP) utilizes one or more hidden layers while the
176 neurons in this layer intervene between the external input and the network output in
177 favor of improving the network performance.

178 The data utilized in this study for backwater depth estimation have three input
179 parameters (J_1 , J_3 , and F_3) and one output parameter (dh/D_3). The considered
180 network has input, output, and hidden layers, which consist of three, ten, and one
181 neurons, respectively. The Levenberg Marquardt optimization technique is used to train
182 the FFBP. According to the literature review, ANN has been already applied for
183 estimating bridge backwater while it is also used here for comparison purposes.

184 **3.4 Genetic Algorithm**

185 GA is a zero-order search-based optimization algorithm that mimics the mechanism of
186 natural selection and evolution. This algorithm has been widely used for numerous
187 applications [22]. Three genetic operators, so called crossover, mutation and selection,
188 are commonly utilized in GA to create new combinations of variables in light of

189 producing better solutions. The crossover operator not only exchanges genetic
190 information among selected population members but also combines the information of
191 selected parents to form new strings. This combination is conducted probabilistically
192 using a swapping process. On the other hand, the mutation operator allows and
193 maintains the diversity of genetic information by changing individual population
194 characteristics randomly. Finally, the selection operator, as its name indicates, chooses
195 between solutions.

196 In this study, a population size equal to 300 is adopted. Since the proposed model for
197 bridge backwater has nine unknown coefficients, GA was used to calibrate these nine
198 decision variables.

199 **3.5 Genetic Programming**

200 Genetic Programming, which is a random search heuristic method very similar to GA,
201 operates on parse trees whereas GA considers bit strings. In essence, this technique
202 applies a wide range of variables and functions in a flexible tree-structure base. This
203 inevitably makes GP become a powerful tool in favor of finding existing relations that
204 best fit the relation between the input and output variables of a system.

205 The main steps of GP include initialization, selection, reproduction, and termination.
206 First, it considers an initial population, which consists of randomly generated programs
207 (equations). These programs are basically derived from the random combination of

208 input variables, random numbers and functions. The considered population is subjected
209 to an evolutionary process to find and select individual programs that best describes the
210 relation between the input and output variables. The part of the information between
211 the selected programs is exchanged to create better programs using genetic operators
212 (crossover and mutation). This evolution process is repeated over consecutive
213 generations until symbolic expressions describing the data are reached. Further details
214 on GP may be found in Koza [35] for interested readers. Discipulus [36] software, which
215 has been used for implementing GP in many studies [23, 26-27], was applied in this
216 research.

217 **3.6 The hybrid MHBMO-GRG algorithm**

218 The hybrid MHBMO-GRG algorithm was first suggested by Niazkar and Afzali [28] and
219 has been successfully applied for solving some problems in water engineering field [21-
220 22, 28-30]. This hybrid algorithm comprises search-based and deterministic optimization
221 algorithms used in two consecutive steps.

222 In the first step, a zero-order optimization algorithm, so called Honey bee mating
223 optimization (MHBMO) algorithm, commences the optimization process after evaluating
224 the controlling parameters of the algorithm. Technically, this algorithm inspires from the
225 mating process of honey bees. The basic steps of the MHBMO algorithm includes: (1)
226 starting mating flight, where a queen (best solution) probabilistically selects drones to

227 create broods, (2) making new broods (trial solutions), (3) conducting local search on
228 new broods (trial solutions) by workers, (4) improving workers fitness, and (5) finding
229 the queen for the next generation by comparing the queen and the best brood [37-39].
230 The detail of this algorithm is comprehensively presented in the literature [40-41] for
231 interested readers. In this hybrid method, the MHBMO algorithm precedes the
232 optimization process for several numbers of iterations and results of the last iteration
233 will be used as initial guesses for the next step.

234 In the second step, the problem is implemented in Excel spreadsheet. Afterwards, the
235 GRG algorithm, which is a first-order optimization technique, was utilized for continuing
236 optimization process. This algorithm is one of the features embedded in Excel [42-44].
237 Although the MHBMO algorithm requires evaluation of five controlling algorithm
238 parameters, the GRG algorithm needs a set of initial guesses, which are the results
239 obtained in the last iteration of the first step in this hybrid method. The final optimum
240 results using the GRG algorithm depend on initial guesses. Therefore, the possibility of
241 achieving a local optimum and initial guess requirement are the substantial
242 shortcomings of the MHBMO and GRG algorithms, respectively. However, the MHBMO-
243 GRG hybrid method overcomes these drawbacks. According to the successful
244 experience of applying this hybrid method for solving several problems [21-22, 28-30], it
245 should be mentioned that not only the new hybrid method enhances the applicability of

246 the MHBMO algorithm in finding global optimum values, but also it adequately provides
247 a set of initial guesses for the GRG algorithm.

248 **4 Application and Results**

249 **4.1 Train and test data**

250 First of all, the considered database was randomly divided into the train and test data
251 [21]. Since a generic equation is sought for bridge backwater, both experimental and
252 field data are treated the same in this data-splitting process, as those conducted in the
253 relevant studies [3-4, 10]. As previously mentioned, the utilized database has 202 and
254 66 laboratory and field data, respectively. From the total 268 data, 80% of the total data
255 (161 experimental and 50 field data) were considered for calibrating the coefficients of
256 new formula while the rest (41 experimental and 16 field data) were kept for
257 comparison purpose. The maximum, minimum, and average values of the involving
258 parameters and dh/D_3 for the total, train, and test data are specified in Table 1. As
259 shown, for all parameters, the maximum of the train is larger than the maximum of the
260 test data and the minimum of the train is lower than the minimum of the test data. In
261 other words, the range of the train data is wider than that of the test data, which clearly
262 indicates that a reasonable and adequate data division has been conducted.
263 Furthermore, Table 1 demonstrates that the new proposed model is applicable for
264 downstream Froude number varying between 0.0075 and 1.8089.

265 4.2 Proposed models

266 In this study, two artificial techniques (ANN and GP) and two optimization algorithms
267 (GA and MHBMO-GRG) are calibrated using the train data. To be more specific, the
268 unknown coefficients of the explicit proposed model (Eq. 5) were optimized based on
269 the train data using GA and MHBMO-GRG. On the other hand, ANN and GP estimates
270 bridge backwater without using the proposed model. In this regard, the same objective
271 function are used in the training process for all these models. It is defined as minimizing
272 the root mean square error (RMSE) between the computed and observed ratio of afflux
273 to downstream depth (dh / D_3). The sole constraint of this optimization process was
274 preventing bridge backwater to gain a negative value. The objective function and the
275 sole constraint are shown in Eq. 6 and Eq. 7, respectively.

$$276 \text{ Minimize RMSE} = \sqrt{\frac{\sum_{i=1}^N [(\frac{dh}{D_3})_{i,observed} - (\frac{dh}{D_3})_{i,calculated}]^2}{N}} \quad (6)$$

$$277 \text{ Subjected to } \frac{dh}{D_3} > 0 \quad (7)$$

278 where $(\frac{dh}{D_3})_{i,observed}$ and $(\frac{dh}{D_3})_{i,calculated}$ are the observed and calculated ratio of backwater
279 to downstream water depth for the i^{th} data point and N denotes the total number of
280 training data.

281 As each data point was randomly allocated into either train or test data, the two
282 artificial models (ANN and GP) are trained for the train data and afterwards applied to
283 estimate test data. On the other hand, the two utilized optimization algorithms (GA and
284 MHBMO-GRG algorithm) were applied to the train data to find the optimum values of
285 p_i coefficients. In this regard, the controlling parameters in the MHBMO algorithm and
286 GA were considered as the ones used in Niazkar and Afzali's [21] and Niazkar et al.'s [22]
287 studies, respectively. As a result, the new explicit equations proposed for bridge
288 backwater estimation using GA and MHBMO-GRG algorithm are introduced in Eq. 8 and
289 Eq. 9, respectively. According to Eq. 8, the J_3 parameter has two different impacts on
290 bridge backwater. To be more specific, bridge backwater increases with the decrease of
291 J_3 for $F_3 < 0.2$ while it reduces with the decrease of J_3 for $F_3 \geq 0.2$. Therefore, J_3
292 can have positive or negative influence of bridge backwater based on the value of
293 Froude number at the downstream. Additionally, the higher either J_1 or F_3 becomes,
294 the larger bridge afflux may occur. On the other hand, Eq. 9 obtained by GA indicates
295 that it is applicable for most of the considered data while it indicates that dh/D_3
296 increases with the increase each one of J_1 , J_3 or F_3 .

$$297 \quad \frac{dh}{D_3} = \begin{cases} 2.274J_1^{5.328}J_3^{-0.899}F_3^{0.596} & \text{for } F_3 < 0.2 \\ 5.243J_1^{1.102}J_3^{0.822}F_3^{1.523} & \text{for } F_3 \geq 0.2 \end{cases} \quad (8)$$

$$\frac{dh}{D_3} = \begin{cases} 4.49J_1^{1.39}J_3^{0.514}F_3^{1.421} & \text{for } F_3 < 1.179 \\ 4.946J_1^{1.519}J_3^{-0.267}F_3^{-3.242} & \text{for } F_3 \geq 1.179 \end{cases} \quad (9)$$

298 The confidence limits of GP, ANN, Eq. 8, and Eq. 9 computed for the measured and
 299 calculated dh/D_3 values are depicted in Figure 3. In Figure 3, the ranges of bridge
 300 backwater values calculated by each model are compared for the test data. As shown,
 301 the range of dh/D_3 values predicted by GP has the lowest minimum, average and
 302 maximum values among all the considered methods while the ranges achieved by ANN
 303 and Eq. 9 obtained by GA are very close to each other. Moreover, Figure 3 shows that
 304 the proposed explicit equations achieved by GA and MHBMO-GRG algorithm can be
 305 confidentially used for estimating bridge afflux for dh/D_3 placed within [0.165, 0.258]
 306 and [0.158, 0.252], respectively.

308 4.3 Performance evaluation criteria

309 In order to better compare the performances of different models for afflux estimation,
 310 five performance evaluation criteria are adopted from the literature [3, 10, 45-47].
 311 These criteria include (1) mean square error (MSE), (2) mean absolute error (MAE), (3)
 312 mean Absolute Relative Error (MARE), and (4) average of individual ratios (AIR), and (5)
 313 coefficient of determination (R^2). These criteria are introduced in Eq. 10 to Eq. 14,
 314 respectively as follows:

$$315 \quad \text{MSE} = \frac{1}{N} \sum_{i=1}^N \left[\left(\frac{dh}{D_3} \right)_{i,observed} - \left(\frac{dh}{D_3} \right)_{i,calculated} \right]^2 \quad (10)$$

$$316 \quad \text{MAE} = \frac{1}{N} \sum_{i=1}^N \left| \left(\frac{dh}{D_3} \right)_{i,observed} - \left(\frac{dh}{D_3} \right)_{i,calculated} \right| \quad (11)$$

$$317 \quad \text{MARE} = \frac{1}{N} \sum_{i=1}^N \left| \frac{\left(\frac{dh}{D_3} \right)_{i,observed} - \left(\frac{dh}{D_3} \right)_{i,calculated}}{\left(\frac{dh}{D_3} \right)_{i,observed}} \right| \times 100 \quad (12)$$

$$318 \quad \text{AIR} = \frac{1}{N} \sum_{i=1}^N \left[\frac{\left(\frac{dh}{D_3} \right)_{i,observed}}{\left(\frac{dh}{D_3} \right)_{i,calculated}} \right] \quad (13)$$

$$319 \quad R^2 = \left(\frac{\sum_{i=1}^N \left[\left(\left(\frac{dh}{D_3} \right)_{i,observed} - \frac{\sum_{i=1}^N \left(\frac{dh}{D_3} \right)_{i,observed}}{N} \right) \left(\left(\frac{dh}{D_3} \right)_{i,calculated} - \frac{\sum_{i=1}^N \left(\frac{dh}{D_3} \right)_{i,calculated}}{N} \right) \right]}{\sqrt{\sum_{i=1}^N \left[\left(\left(\frac{dh}{D_3} \right)_{i,observed} - \frac{\sum_{i=1}^N \left(\frac{dh}{D_3} \right)_{i,observed}}{N} \right)^2 \left(\left(\frac{dh}{D_3} \right)_{i,calculated} - \frac{\sum_{i=1}^N \left(\frac{dh}{D_3} \right)_{i,calculated}}{N} \right)^2 \right]}} \right)^2 \quad (14)$$

320 4.4 Comparison results

321 Using these five evaluation criteria, the performance of different models applied for
 322 afflux estimation is compared in Table 2 and Table 3 for the train and test data,
 323 respectively. As shown in Table 2, GP achieved the lowest (best) values of MSE, MAE,
 324 MARE and the largest (best) values of R^2 in comparison with other ones for the train

325 data while ANN obtains the best value for AIR criterion in Table 2. Among explicit
326 equations listed in Table 2, Eq. 9 determined by GA performs the best in favor of MSE,
327 MAE, and R^2 while Eq. 8 developed by the MHBMO-GRG algorithm achieves the best
328 MARE for the train data. According to Table 3, ANN obtains the lowest (best) values for
329 MSE, MAE and the largest (best) value of R^2 while GP results the best MARE for the test
330 data among all considered models. Furthermore, the new explicit equation calibrated
331 using GA outperforms other ones in Table 3 with respect to MSE, MAE, MARE, and R^2
332 criteria. The results shown in Table 2 and Table 3 clearly demonstrate that application of
333 available empirical equation, e.g., Biery and Delleur [2], may bring about significant
334 errors in bridge backwater estimation. However, the new explicit equations may be an
335 adequate alternative as they considerably improve afflux estimation in terms of four out
336 of five considered criteria.

337 Figure 4 and Figure 5 depict measured vs. predicted dh/D_3 values calculated by GP,
338 ANN, Eq. 8, and Eq. 9 for train and test data, respectively. As shown, R^2 values of these
339 models can also be compared using these figures. Based on Figure 4 and Figure 5, GP
340 and ANN achieve the best R^2 values for the train and test data, respectively. Moreover,
341 Figure 6 compares the relative errors calculated by ANN, GP, GA and MHBMO-GRG
342 algorithm for the whole data. As shown, all these four models yield to relative errors
343 close to zero for most of data because most of points depicted in Figure 6 are placed
344 near the horizontal line. According to Figure 6, ANN achieves two considerable negative

345 relative error values whereas other models result to several large positive relative
 346 errors. This indicates that ANN significantly underestimates two data points while others
 347 overestimate bridge backwater for several data points in the considered data base.
 348 Finally, the maximum and minimum relative errors achieves by GA and ANN in Figure 6,
 349 respectively.

350 In order to determine the percentages of bridge afflux calculated within different error
 351 ranges and percentage deviations, the maximum, minimum, and average values of
 352 percentage deviations between the 45-degree line and measured and calculated bridge
 353 backwater are computed using all seven models and listed in Table 4. As shown, the

354 average percentage deviation, i.e.,
$$\left[\frac{\left(\frac{dh}{D_3}\right)_{i,calculated} - \left(\frac{dh}{D_3}\right)_{i,observed}}{\left(\frac{dh}{D_3}\right)_{i,observed}} \right] \times 100,$$
 for the test data

355 using Biery and Delleur's [2] equation is -20.57% while the corresponding value
 356 calculated by the MHBMO-GRG algorithm is -3.81%. Furthermore, the latter one, which
 357 has the lowest average percentage deviation in Table 4, predicted more than 7% and
 358 40% of the corresponding bridge backwater values within $\pm 5\%$ and $\pm 25\%$ error ranges,
 359 respectively. However, Biery and Delleur's [2] formula achieved more than 5% and 35%
 360 of afflux test data within $\pm 5\%$ and $\pm 25\%$ error ranges, respectively. This comparison
 361 also demonstrates that the suggested equations are capable of estimating bridge
 362 backwater values within better accuracy range than the available explicit ones.

363 Furthermore, GP predicts bridge backwater values with the highest percentage within
364 $\pm 5\%$ and $\pm 25\%$ error ranges for the test data.

365 The explicit equations, like those developed by GA or the hybrid MHBMO-GRG algorithm
366 in this study, do not require calibration process and may be applicable to whatever
367 situation that fits within the ranges of the dataset used for its development. Therefore,
368 these empirical equations may be implemented in river engineering software to
369 estimate bridge backwater depth. Furthermore, AI models, like ANN and GP, give a
370 better estimation of bride backwater depth than all the explicit equations while they
371 need a training process using a reliable dataset. However, once they were trained, they
372 could be exploited for scenarios whose parameter ranges place within the
373 corresponding ranges of the training data. Therefore, the explicit equations and AI
374 models has a valid range of application, which may be considered as their limitation or
375 disadvantages. Obviously, the wider the range of the training data is the more situations
376 they can be used for estimation of bridge afflux. As a result, the applied model not only
377 performs much better than the available explicit formulas for estimating bridge afflux
378 values but also they can be alternatively used in practice within its applicable range of
379 validity.

380 **5 Conclusions**

381 Bridge backwater has been always an inevitable challenge for the safety and
382 management of bridge and channels sides particularly during flood events.
383 Consequently, the necessity for more accurate estimation of bridge afflux has provided
384 an active field of research area in the hydraulic structure engineering field. According to
385 the literature, various models have been recommended based on experimental and field
386 data sets for this purpose. Additionally, the literature review reveals lack of availability
387 of accurate explicit equations developed by applying powerful optimization algorithms
388 like GA. Furthermore, even though several AI models have been already applied for
389 predicting afflux for arched bridges, genetic programming is utilized for this purpose for
390 the first time in this study to the authors' knowledge. In this paper, seven models
391 including ANN, GP, GA, and hybrid MHBMO-GRG algorithm and three explicit equations
392 available in the literature are compared for backwater prediction. The two optimization
393 algorithms were used to develop two new accuracy-improved explicit equations.
394 Comparing the performance of these seven models using five evaluation criteria
395 obviously indicates that the new explicit equations outperform the ones available in the
396 literature while GP and ANN perform as the two best models among all the considered
397 ones. To be more specific, GP achieves R^2 value equal to 0.957 and 0.842 for the train
398 and test data, respectively, while ANN obtains 0.922 and 0.887 for the corresponding
399 criterion. Because of this precision improvement, the applied models may be

400 confidentially altered with the current explicit ones available in the literature for
401 backwater estimation within its range of applicability.

402 **Conflict of interest:** None

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523 List of Table captions:

524 Table 1 Comparison of the four statistical criteria for different models
 525 Table 2 Comparison of the performance of different models for the train data
 526 Table 3 Comparison of the performance of different models for the test data
 527 Table 4 Comparison of percentages of error ranges and deviation calculated by different
 528 models for the test data

529 Table 1 Comparison of the four statistical criteria for different models

Parameters	J_1	J_3	F_3	dh/D_3
Total data				
maximum	0.803	0.742	1.809	1.805
minimum	0.064	0.047	0.008	0.002
average	0.452	0.385	0.367	0.253
Train data				
maximum	0.803	0.742	1.809	1.805
minimum	0.064	0.047	0.008	0.002
average	0.455	0.388	0.374	0.261
Test data				
maximum	0.746	0.678	1.021	0.685
minimum	0.099	0.097	0.053	0.008
average	0.440	0.374	0.340	0.223

530

531 Table 2 Comparison of the performance of different models for the train data

Methods	MSE	MAE	MARE	AIR	R^2
a. Explicit equations					
Biery and Delleur [2]	0.0806	0.103	56.6	3.143	0.559
MLR [3]	0.0498	0.1505	183	0.742	0.587
MLNR [3]	0.0806	0.1144	63	1.235	0.494
MHBMO-GRG (this study)	0.0358	0.0778	53.2	3.377	0.717
GA (this study)	0.0131	0.0724	63.4	1.507	0.876
b. Artificial intelligence models					
GP (this study)	0.0049	0.0346	31	2.079	0.957
ANN	0.0083	0.0427	52.3	1.019	0.922

532

533 Table 3 Comparison of the performance of different models for the test data

Methods	MSE	MAE	MARE	AIR	R^2
a. Explicit equations					
Biery and Delleur [2]	0.0140	0.0800	39.9	3.296	0.685
MLR [3]	0.0177	0.1156	123	0.689	0.610
MLNR [3]	0.0138	0.0804	39	1.337	0.669
MHBMO-GRG (this study)	0.0083	0.0637	45.5	4.582	0.782
GA (this study)	0.0077	0.0621	41.1	1.456	0.792
b. Artificial intelligence models					

GP (this study)	0.0066	0.0504	30.6	2.99	0.842
ANN	0.0043	0.0464	32.9	1.034	0.887

534

535 Table 4 Comparison of percentages of error ranges and deviation calculated by different
536 models for the test data

Methods	Percentages of calculated dh/D_3 in error ranges					Percentage deviation		
	$\pm 5\%$ Errors	$\pm 10\%$ Errors	$\pm 15\%$ Errors	$\pm 20\%$ Errors	$\pm 25\%$ Errors	max	min	average
a. Explicit equations								
Biery and Delleur [2]	3	6	9	14	20	66.07	-97.99	-20.57
MLR [3]	4	5	6	7	10	580.62	-44.45	112.55
MLNR [3]	3	5	9	15	21	142.90	-84.57	0.28
MHBMO-GRG (this study)	4	10	18	21	23	219.76	-99.15	-3.81
GA (this study)	3	10	17	23	27	163.15	-86.28	6.34
b. Artificial intelligence models								
GP (this study)	9	17	25	31	33	69.52	-98.21	-17.08
ANN	6	13	19	24	27	139.09	-70.39	12.26

537

538 List of Figure captions:

539 Figure 1 - A schematic illustration of bridge backwater caused by bridge constrictions

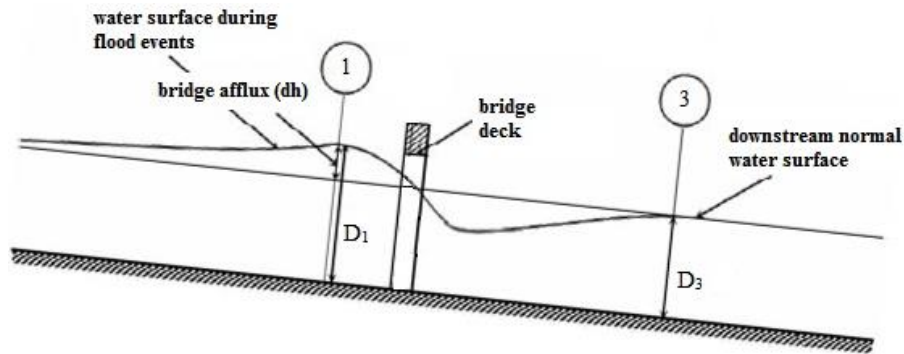
540 Figure 2 - Variation of dh/D_3 with respect to (a) J_1 and F_3 , (b) J_1 and J_3 , and (c)
541 J_3 and F_3

542 Figure 3 - Confidence limits of different models for calculating bridge backwater for test
543 data

544 Figure 4 - Comparison of R^2 values calculated by different models for train data

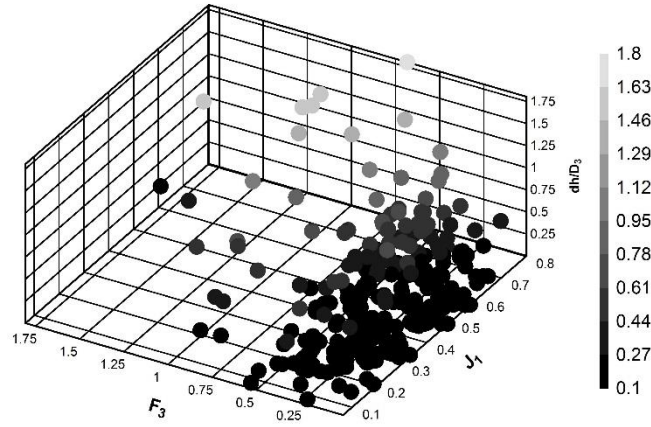
545 Figure 5 - Comparison of R^2 values calculated by different models for test data

546 Figure 6 - Comparison of relative errors calculated by different models

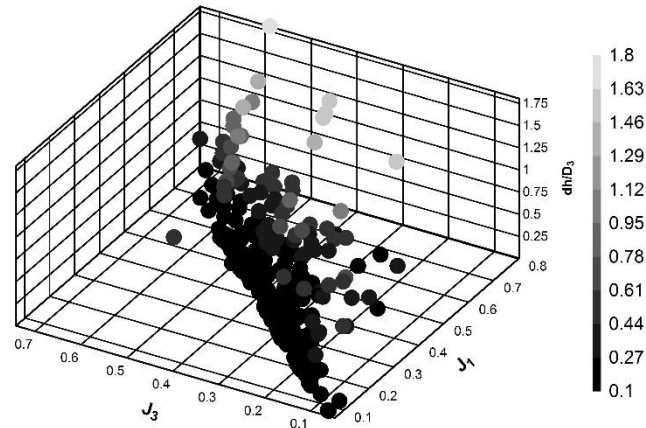


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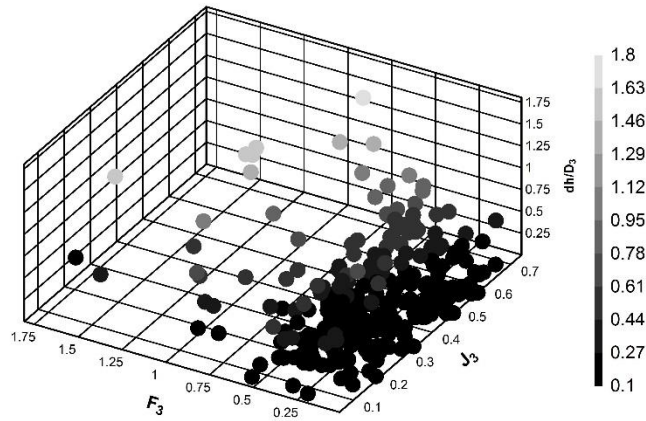
548 Figure 1 - A schematic illustration of bridge backwater caused by bridge constrictions



(a)



(b)



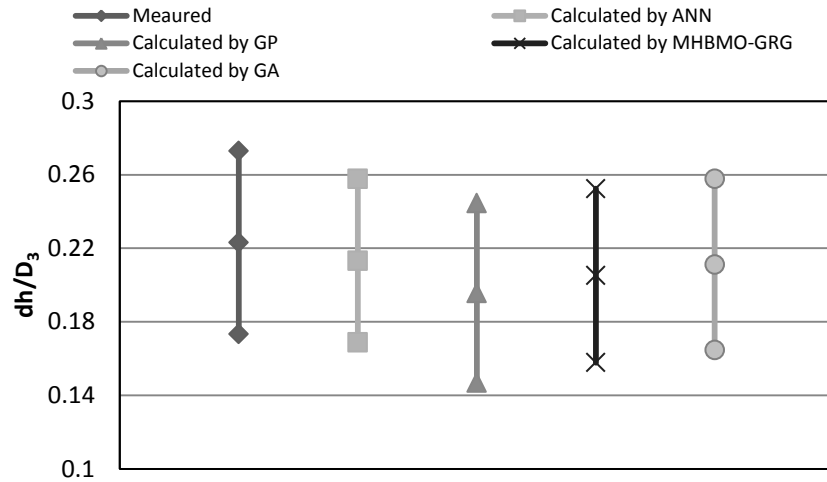
(c)

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550 Figure 2 - Variation of dh/D_3 with respect to (a) J_1 and F_3 , (b) J_1 and J_3 , and (c)

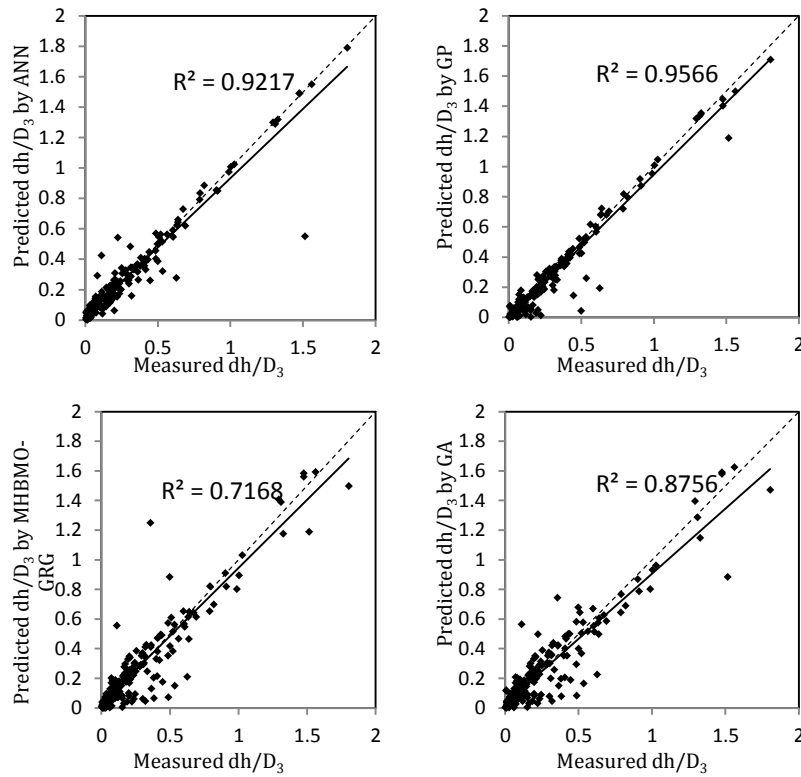
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J_3 and F_3



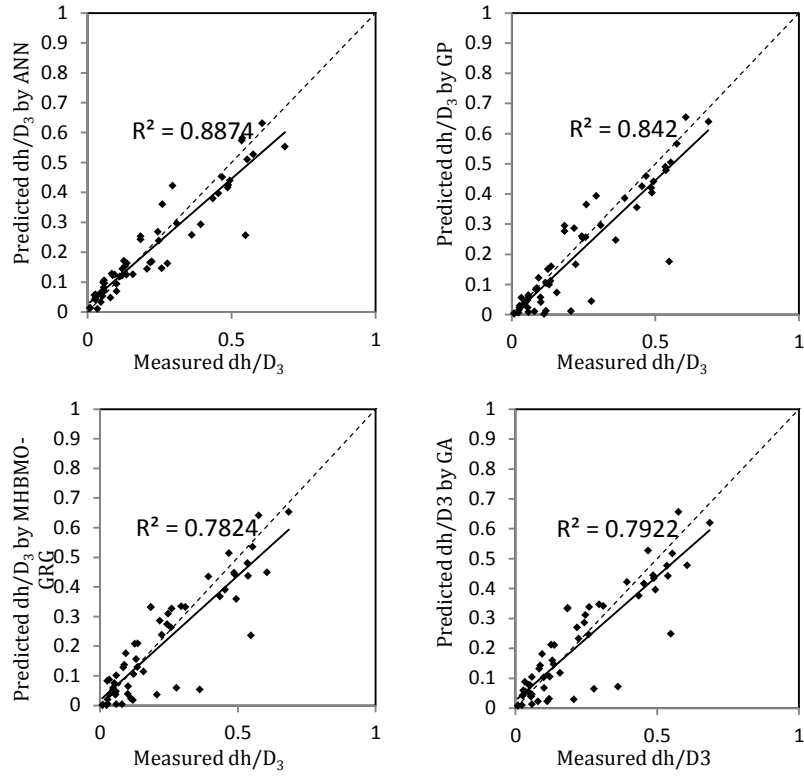
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553 Figure 3 - Confidence limits of different models for calculating bridge backwater for test
554 data



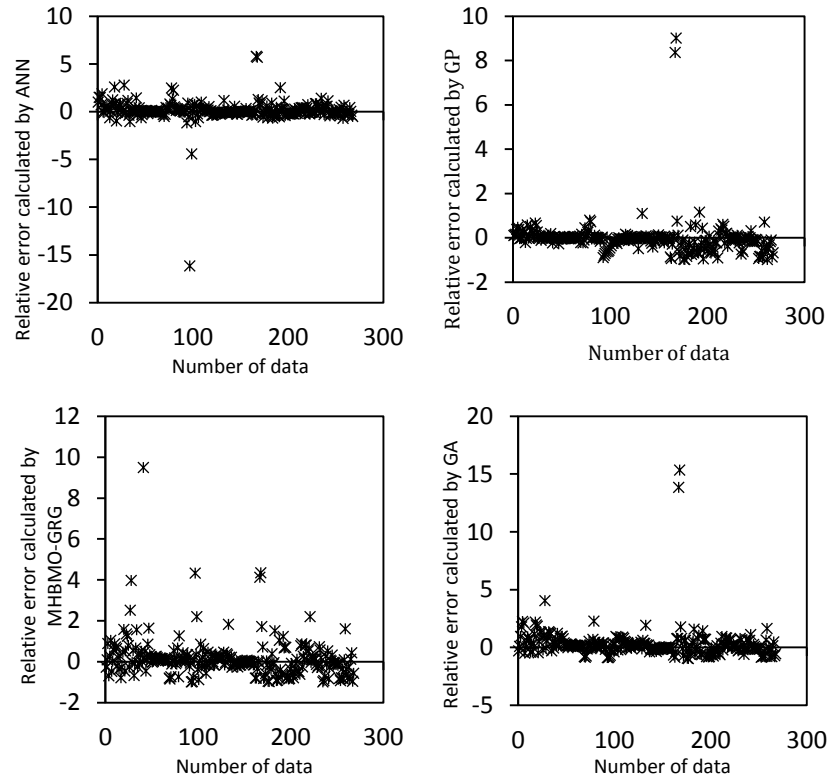
555

Figure 4 - Comparison of R² values calculated by different models for train data



556

Figure 5 - Comparison of R^2 values calculated by different models for test data



557 Figure 6 - Comparison of relative errors calculated by different models

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