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Influence of masonry panels with openings on the seismic response of reinforced concrete infilled frames

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KEYWORDS Infills; Openings; Plastic hinges; Capacity curves; Nonlinear layered shell. **Abstract.** Due to architectural considerations, openings are required in masonry infill panels. In this study, a pushover analysis is carried out to assess the behavior of RC infilled frames with emphasis on the effect of openings on the infills. The main parameters that will be considered concern the size, location, and aspect ratio of the openings. Three reinforced concrete structures representative of rigid, semi-rigid, and flexible structures are designed according to the Algerian seismic code. The numerical model of the structures consists of frame elements with concentrated plastic hinges at the ends and a nonlinear layered shell for the infills. The results obtained show that the presence of infills can drastically change the overall behavior of the structures by enhancing the strength capacities, but with limited ductility, and the presence of openings can modify the locations and patterns of hinges according to their size, location, and aspect ratio.

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1. Introduction

Reinforced Concrete (RC) frame buildings with masonry infill walls are widely constructed for commercial, industrial, and multi-family residential uses in seismic-prone regions, worldwide, although the masonry infill panels are generally considered as nonstructural components and thus, neglected in assessing the seismic response of reinforced concrete frames. Numerous studies have shown, both experimentally and numerically, that the masonry infill panels can drastically affect the seismic response of reinforced concrete frames and should not be neglected anymore. The existence of the masonry infill panels in a frame

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can increase structural strength and stiffness (relative to a bare frame), but, at the same time, interaction between the infill and the frame on strength, stiffness, ductility, energy dissipation, and modes of failure. Their main conclusion consisted of the contribution of infilled frames containing openings, especially framed openings, which should not be ignored, because they improved the stiffness of masonry infill panel under cyclic lateral loads. Goutam et al. (2008) [35] proposed a reduction factor for effective width of the diagonal strut to calculate its initial lateral stiffness when a central window opening was present. They concluded that the presence of central openings could be considered by reducing the effective width through a reduction factor, $\rho w = 1 - 2.6 \alpha C0$, where C0 is the ratio of the area of opening to the area of the infill; on the other hand, the effect of openings on the initial lateral stiffness of infilled frames should be neglected if the area of openings is less than 5% of the area of the infill panel. The effect of infill on the initial lateral stiffness of infilled frame may be ignored if the area of opening exceeds 40% of the area of the infill panel. Sachin and Hemant (2012) [36] carried out a review of the behavior of masonry infill RC frames with openings under inplane lateral load. They stated that the precedent researchers had tried to find out, experimentally and analytically, the influence of several parameters like size, location, and aspect ratio of openings as well as connections between infill and frame. They revealed that the effect of openings was the subject of a large number of experimental and analytical studies all over the world. The masonry infills with openings provide significant amount of stiffness for frames. The failure modes of masonry infilled frames change drastically due to the presence of openings in the wall. The location and size of openings in the infill walls influence deformability, ductility, and energy dissipation capacity. Ephraim and Nwofor (2015) [37] presented a comparative study concerning the composite behavior of multistory RC frames using the macro-model of onestrut configuration and the finite element micro-model. The effect of openings in the infill was specifically concerned. They concluded that introduction of the shear stress reduction factor enhanced the efficiency of the one-strut model to reproduce the shear strength, lateral stiffness, and seismic demand of infilled frames with openings. Cetisli (2015) [38] analyzed the behavior of partly infilled RC frames, taking into account the dimensions and the location of openings. He undertook a numerical parametric study of infilled RC frames with emphasis on wall dimension and locations of openings. He presented an analytical expression for estimating the reduction stiffness of an equivalent diagonal compression strut. Khan and Saim (2015) [39] performed time history analyses on masonry infilled RC frames and studied the influence of variations of the number of bays, the number of stories, the percentage of opening in the infill wall, the location

of the openings, the type of the openings, the number of openings, the infill strength, and the outer frames strength and thickness on the performance of RC infilled frames. They concluded that the performance of the infilled frames was dependent on the geometric properties of the infill and the frame; on the other hand, with increase in the openings size, the time period, roof displacement, member forces, and interstory drift ratios increased due to the decrease in the lateral stiffness of the structure, whereas infill stresses and base shear force decreased for infilled structures having openings. Maximum infill stresses were found at the corners of the openings, unlike in the fully infilled structures, where the maximum infill stresses were found at the compression corners of the panel.

2. Description of the structures

Three structures representing low-, medium-, and highrise reinforced concrete frame buildings with two, five, and ten stories have been used in this study. These structures are designed according to the Algerian seismic code (RPA 2003) [40], to assess the behavior of RC infilled frames with emphasis on the effect of openings on the infill. The main parameters that will be considered concern the size, location, and aspect ratio of the openings. Four structural configurations with different percentages of openings in the infill have been used, namely, fully infilled, infilled frame with 10% openings, infilled frame with 25% openings, and infilled frame with 50% openings. For location and aspect ratio, six structural configurations with different locations of openings in the infill have been used, namely, central window, left window, right window, central door, left door, and right door, with six structural configurations with different values of aspect ratio. The dimensions of the beams and columns for the three reinforced concrete frames are shown in Figures 1, 2, and 3. The thickness of the infills is equal to 0.25 m, the typical floor to floor height is 3.00 m, the span between axes of two following columns is 4.40 m. and the details for the beams and columns are shown in Table 1. Material properties are assumed to be 25 MPa for the concrete compressive strength and 400 MPa for the yield strength of the longitudinal and transverse reinforcement steels. The material properties adopted in this study are shown in Table 2.

Table 1. Dimensions of the beams and columns.

Building	Beams	Columns	
	$(\mathrm{cm} imes\mathrm{cm})$	$(cm \times cm)$	
Two-story	30×40	30×30	
Five-story	30×40	40×30	
Ten-story	30×40	50×40	



3. Modeling aspects

A two-dimensional model of each structure is created to perform the nonlinear analysis. Beams and columns are modeled as nonlinear frame elements with lumped plasticity at the start and the end of each element. SAP 2000 [41] provides default-hinge properties, and recommends PMM hinges for columns and M3 hinges for beams as described in FEMA-356 [42]. The infill masonry panels are modeled using a nonlinear layered shell element available in SAP 2000. The layered shell allows any number of layers to be defined in the thickness direction, each with an independent location, thickness, behavior, and material. Membrane

1		1 1	
	Compressive	$\begin{array}{c} {\rm Modulus \ of} \\ {\rm elasticity} \\ ({\rm kN/m}^2) \end{array}$	
${f Material}$	$\mathbf{strength}$		
	(kN/m^2)		
Concrete	25000	32000000	
Masonry	1100	1100000	

Table 2. Specification of material properties.

deformation within each layer uses a strain-projection method presented by Hughes (2000) [43]. In-plane displacements are quadratic. The "drilling" degrees of freedom are not used, and they should not be loaded. These rotations normal to the plane of the element are only loosely tied to the rigid-body rotation of the element to prevent instability. For bending, a Mindlin-Reissner formulation is used, which always includes transverse shear deformations. Out-of-plane displacements are quadratic and consistent with the in-plane displacements. The layered shell usually represents full-shell behavior. Unless the layering is fully symmetrical in the thickness direction, membrane and plate behaviors will be coupled. The section is built-up in the thickness direction. Any number of layers is allowed, even a single layer. Layers are located with respect to a reference surface. According to the SAP 2000 manual, this model should only be used when the infill element is completely surrounded by frame or other supporting elements, and the elements should not be meshed.

The anisotropy of masonry will be modeled by 2 different stress strain curves each of which respectively represents vertical and horizontal stresses S22 and S11, and shear stress S12 (Figure 4). The key to this approach is making prediction, as good as possible, of the stress strain curves for each direction. Here, the S11 and S22 curves will have the same behavior. So far, no tests have been done in perpendicular direction due to the fact that bricks are mounted horizontally in a wall. Also, it is very rare or even impossible to apply a horizontal force to masonry and expect to fail in shear rather than in compression. Although no compression tests exist for the perpendicular direction, it is expected that the compression resistance should be higher, because the bricks have a greater percentage and they are stronger than mortar. A rigid full contact connection between frames and infills is adopted.

4. Pushover analysis

The Static Pushover Analysis (SPA) procedure has been presented and developed over the last three decades by numerous researchers [44,45]. The static pushover analysis method is mainly based on the assumption that the response of the structure is controlled by the first mode or by the first few modes of vibration, and that this shape remains constant throughout the elastic and inelastic responses of the structure. To perform a pushover analysis, a pattern of increasing lateral forces needs to be applied to the mass points of the system. The purpose of this is to represent all forces which are produced when the system is subjected to earthquake excitation. By incrementally applying this pattern up to and into the inelastic stage, progressive yielding of the structural elements can be monitored. During the inelastic stage, the system will experience a loss of stiffness and a change in its vibration period. In this study, uniform lateral forces proportional to story masses are used and gravity loads remain constant. The pushover analysis provides a base shear and roof displacement relationship, called capacity curve or pushover curve (Figure 5).



Figure 5. Pushover curve showing the horizontal roof displacement versus the base shear.



Figure 4. A four-node shell element and in-plane stresses.

5. Results and discussions

5.1. Effect of openings on the fundamental period

The fundamental period increases as the size of the infill openings increases, due to the reduction in the lateral stiffness of the structures. For instance, the differences in the fundamental period between the bare frame (100% openings) and the fully infilled frame (0% openings) for the three structures are 27%, 31%, and 37% respectively, for percentages of openings of 10%, 25%, and 50%; the rates of increase in the fundamental period compared to the case of 0% openings are different for each structure, suggesting an influence of the dynamic characteristics of the models. However, the opening size of infill does not have an influence on the participation coefficient (see Table 3).

5.2. Capacity curves

The capacity curves for the three structures are shown in Figures 6, 7, and 8. For Structure 1, the strength capacity of the fully infilled frame is increased by 86.74% compared to the bare frame. With the increase in the percentage of infill openings (from 10% to 50%), the strength capacity of the partially infilled frames is decreased by 7.17%, 45.71%, and 68.52%, respectively. The infill wall enhances the lateral stiffness of the frame; however, the presence of infill openings tends to reduce the lateral stiffness. For Structure 2, the percentage difference in strength capacity between the fully infilled and the infilled frames with 10%, 25%, 50%, and 100% (bare frame) openings is 17.31%, 44.26%, 72.27%, and 83.64%, respectively. For Struc-

Table 3. Fundamental periods and mass contributions forvarious percentages of openings.

Structure	Period	Mass contribution	
Structure	(sec)	(%)	
M1-A	0.29	91.00	
M1-B	0.08	90.77	
M1-C1	0.10	89.66	
M1-C2	0.13	86.65	
M1-C3	0.21	88.66	
M2-A	0.57	83.24	
M2-B	0.18	83.08	
M2-C1	0.23	81.93	
M2-C2	0.30	81.74	
M2-C3	0.50	84.02	
M3-A	1.03	79.81	
M3-B	0.39	76.56	
M3-C1	0.52	76.90	
M3-C2	0.59	78.68	
M3-C3	0.73	79.47	



Figure 6. Capacity curve of Structure 1 with different percentages of infill openings.



Figure 7. Capacity curve of Structure 2 with different percentages of infill openings.



Displacement (m)

Figure 8. Capacity curve of Structure 3 with different percentages of infill openings.

ture 3, the percentage difference in strength capacity between the fully infilled and the infilled frames with 10%, 25%, 50%, and 100% (bare frame) openings is 25.37%, 44.30%, 66.83%, and 81.16%, respectively. Globally, the bare frames exhibit better ductility than infilled frames, which can be explained by the brittle behavior of the masonry infill panels.

5.3. Effect of locations of different openings

For this study, six structural configurations with different locations of openings in the infill have been used: central window, left window, right window, central door, left door, and right door (Figures 9, 10, and 11).

The capacity curves for the three structures are shown in Figures 12, 13, and 14. For Structure 1, moving the opening window to left or right results in an increase in the strength capacity compared to a central window, whereas for door opening, the capacity curve is reduced when the opening is moved toward left or right. For Structure 2, however, the percentages of increase are different for the left and right sides; the capacity of the left window opening is greater than that of the central and right openings, which have approximately the same strength capacity. The strength capacities of the central and left door opening is reduced. In Structure 3, the capacities associated with the left or right door and window opening are either reduced or increased, suggesting an influence of the dynamic characteristics of the models.





Figure 12. Capacity curve of Structure 1 with different locations of window and door in the infill.



Figure 13. Capacity curve of Structure 2 with different locations of window and door in the infill.



Figure 14. Capacity curve of Structure 3 with different locations of window and door in the infill.

5.4. Effect of aspect ratio

To study the effect of aspect ratio, six structural configurations with different values of aspect ratio H_o/B_o (where H_o and B_o are the height and the width of the opening) ranging from 0.25 to 1.33 are considered, as shown in Table 4. In all cases, the area of the opening is kept constant.

The capacity curves for the three structures are shown in Figures 15, 16, and 17. For Structure 1, the strength capacity for an aspect ratio of 0.25 is equal



Figure 15. Capacity curve of Structure 1 with different values of aspect ratio H_o/B_o .



Figure 16. Capacity curve of Structure 2 with different values of aspect ratio H_o/B_o .



Figure 17. Capacity curve of Structure 3 with different values of aspect ratio H_o/B_o .

to 600 kN. The strength capacity is increased by 20%, 34%, 39.71%, 45%, and 53.48% for values of aspect ratio equal to 0.5, 0.75, 0.85, 1.0, and 1.33, respectively. The same trend is observed for Structures 2 and 3. This can be explained by the fact that the masonry infill panels with opening behave like a coupled masonry wall and with increase in the aspect ratio, the masonry wall piers will have a larger stiffness, which enhances the bearing capacity of the structures and a coupling

Table 4. Values of aspect ratio $R = H_o/B_o$.

Cases	1	2	3	4	5	6
$R=H_o/B_o$	R1 = 0.25	R2 = 0.50	R3 = 0.75	R4 = 0.85	R5 = 1.00	R6 = 1.33

masonry thin beam, resulting in low shear stresses.

5.5. Plastic hinges mechanisms

Under gradually increasing loads, some elements may yield sequentially. Consequently, at each event, the structures experience a stiffness change as shown in Figure 18. In the figure, five points labeled A, B, C, D, and E are used to define the force deflection behavior of the hinge, and the three points labeled IO, LS, and CP are used to define the acceptance criteria for the hinge. IO, LS, and CP stand for immediate occupancy, life safety and collapse prevention respectively and are defined par FEMA 356.

The hinging patterns are plotted in Figures 19 and 20. The plastic hinges in the bare frames are spread over the height of the structures, whereas in the infilled frames, the plastic hinges tend to concentrate in the lower levels, especially for solid infills and infills with low percentages of openings. The presence of



Figure 18. Generalized force-deformation relation for elements or components.



Figure 19. Hinges patterns for Structures 1, 2, and 3 with different percentages of openings in the infill.



Figure 20. Hinges patterns for Structures 1, 2, and 3 with different locations of openings in the infill.

openings changes the events at which the plastic hinges patterns occur. For instance, the hinge patterns of infills with 50% openings are different from those of bare frame. Furthermore, the location and aspect ratio of opening influence the failure modes of the infilled frames depending on the dynamic characteristics of the structures.

6. Conclusions

In this paper, the seismic performance of RC frames with and without infills with special emphasis on the effects of openings was studied. The opening size of the infill had a significant influence on the fundamental period. Generally, it increased as the opening size increased, indicating that the decrease in stiffness was more significant than the decrease in mass. Results of pushover analysis showed an increase in initial stiffness and strength capacity of the infilled frame compared to the bare frame, despite the brittle failure modes of the masonry wall. The presence of masonry walls had a significant effect on the collapse mechanism observed. Dynamic characteristics proved to be important factors to consider, since they could notably influence the response parameters. Surprisingly, large-size openings in the infills substantially modified the behavior of infilled frames compared to the bare frame, where it was expected that the two behaviors would be close. The location and aspect ratio of openings were

important parameters that should be considered when designing this type of structures.

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