

Research Note

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# Sensitivity analysis of the effective centrifugal pump parameters using the EFAST method

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### KEYWORDS Sensitivity analysis; EFAST method; Centrifugal pumps; Required NPSH.

Abstract. In the present study, effective parameters of centrifugal pumps are investigated using the EFAST Sensitivity Analysis (SA) method. The SA is performed using GMDHtype Artificial Neural Networks (ANN) which are based on validated numerical data of flow field in centrifugal pumps. There are four design variables: leading edge angle of blades on hub section ( $\beta_{1 \text{ hub}}$ ), leading edge angle of blades on shroud section ( $\beta_{1 \text{ shroud}}$ ), trailing edge angle of blades ( $\beta_2$ ), and the stagger angle of blades on mid span ( $\gamma_{\text{mid}}$ ). There are two objective functions: efficiency ( $\eta$ ) and the required NPSH of impeller. The results show that, among design variables,  $\beta_2$  has the highest effect on variations of  $\eta$  (46%) and NPSH (45%). Except  $\beta_2$ ,  $\beta_{1 \text{ hub}}$ , and  $\gamma_{\text{mid}}$  have the highest effect on NPSH (33%) and  $\eta$  (28%), respectively. The effects of all of the design variables on objective functions are shown in the results.

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## 1. Introduction

Centrifugal pumps are a group of turbo machines used industrially in large scales. In recent years, several researchers have investigated different aspects of such pumps. Demeulenaere et al. [1] investigated an optimization process on centrifugal pumps using fine/design 3D environment of Numeca software and genetic algorithms. They tried to increase efficiency and head and decrease the NPSHr at two different flow rates; finally, they showed that the new blade geometry should have more curvature in the camber line definition. Nariman-zadeh et al. [2] presented a multi-objective optimization process on centrifugal pumps and suggested four optimal points for a designer to select. They tried to increase the hydraulic efficiency and head and decrease the input power. They did not use CFD in their simulation and just used the analytical equations for hydraulic efficiency, head and the input power. Safikhani et al. [3] investigated a multiobjective optimization process on centrifugal pumps. Combining CFD, GMDH-type neural networks, and NSGA II algorithm, they presented the Pareto front for centrifugal pumps.

Korakianitis et al. [4] developed specific speed versus specific diameter graphs suitable for the design and optimization of these smaller centrifugal pumps concentrating in dimensions suitable for Ventricular Assist Devices (VADs) and Mechanical Circulatory Support (MCS) devices. A combination of experimental and numerical techniques was used to measure and analyze the performances of 100 optimized pumps designed for this application. The data were presented in the traditional Cordier diagram of non-dimensional specific speed versus specific diameter. Using these data, nine efficient designs were selected to be manufactured and tested in different operating conditions of flow, pressure, and rotational speed. The non-dimensional results presented in this article enable preliminary

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design of centrifugal pumps for VADs and MCS devices. Wang et al. [5] proposed a method to optimize the design of a typical multi-stage centrifugal pump based on Energy Loss Model and Computational Fluid Dynamics (ELM/CFD). Wang et al. [6] improved the efficiency of a centrifugal pump using optimization of a vanned diffuser. The steady simulations were carried out by solving the three-dimensional Reynolds-averaged Navier-Stokes equations with a shear stress transport turbulence model. Finally, the efficiency of the optimal pump increased by 8.65%, compared with the original scheme. The velocity distributions in the diffuser inlet and volute improved and became more uniform. The total pressure in the diffuser and volute of the optimal pump was higher than that of the original pump. Zhao et al. [7] described the shape optimization of a low specific speed centrifugal pump at the design point. Some other researchers have also done some studies on the optimization of different engineering elements [8-13].

In centrifugal pumps, there are many geometrical parameters; through a sensitivity analysis, the effective parameters should be defined. Sensitivity analysis refers to the study of "how uncertainty in model output (numerical and non-numerical) can be classified into different sources of uncertainty in model input factors" [14]. Saltelli et al. [15] classified the sensitivity analysis methods into two groups: local and general. The local sensitivity analysis methods analyze the response of model output(s) by changing one of the parameters and maintaining the other parameters at central values, while the general sensitivity analysis methods investigate the general response of model output(s) (averaged over the variation of all the parameters) by searching a finite (or infinite) region. Although the local sensitivity analysis method is simple to use, it just analyzes one point at a moment; thus, nowadays, the general sensitivity analysis methods are preferred to the local ones.

As was mentioned, sensitivity analysis can specify the sensitive and insensitive parameters of a model. In this regard, Korayem et al. [16] investigated the use of different contact models in the AFM-based manipulation of biological cells in bio-environments. They employed the Sobol method to analyze the sensitivity of the modeling parameters of four contact mechanic models (PT, Hertz, DMT, and JKR). Hertz model is very sensitive to the Young's modulus, and the sensitivity of the adhesion energy in this model is zero (Hertz model disregards the effect of adhesion energy). Contrary to Hertz model, the other three models are highly sensitive to the adhesion energy as well as the elasticity modulus. All the models show little sensitivity to the parameters of particle radius and Poisson's ratio.

Based on our information, no sensitivity analysis research has been carried out so far on centrifugal pumps. Therefore, sensitivity analysis is investigated in the present study using the EFAST method.

#### 2. Defining the design variables

To parameterize the camber line curve, the simple Bezier method is used. Schematically, definition of a simple Bezier method is shown in Figure 1. The design variables in this method are leading edge angle of blades on hub section ( $\beta_{1 \text{ hub}}$ ), leading edge angle of blades on shroud section ( $\beta_{1 \text{ hub}}$ ), trailing edge angle of blades ( $\beta_2$ ), and the stagger angle of blades on mid span ( $\gamma_{\text{mid}}$ ). In the present paper, three sections are defined in the blades: the first section on hub, the second on shroud, and the third on the middle plane of hub and shroud, as shown in Figure 2. It is supposed that  $\beta_2$  is the same in the three defined sections of blade. This problem is mathematically given by:

$$\beta_{2 \text{ hub}} = \beta_{2 \text{ shroud}} = \beta_{2 \text{ midspan}} = \text{Design Variable.}$$
(1)

Moreover,  $\beta_1$  at mid span is equal to the average of  $\beta_1$  at hub and shroud sections:



Figure 1. Blade camber line parameterization using simple Bezier method.



Figure 2. Defining three sections on centrifugal pumps blade.

Design variable	From (deg)	To (deg)
$eta_{1 \  ext{hub}}$	0	30
$\beta_{1 \text{ shroud}}$	60	89
$\beta_2$	40	60
$\gamma$ midspan	30	70

Table 1. Design variables and their range of variations.

Table 2. The operating conditions in the simulations.

Parameter	Value
Number of blades	7
Rotational velocity (rpm)	2900
Mass flow $(kg/s)$	24.7 (BEP)
Outlet static pressure (atm)	3.2

$$\beta_{1\,\mathrm{midspan}} = \frac{\beta_{1\,\mathrm{hub}} + \beta_{1\,\mathrm{shroud}}}{2}.\tag{2}$$

So, there are four independent design variables:  $\beta_{1 \text{ hub}}$ ,  $\beta_{1 \text{ shroud}}$ ,  $\beta_{2}$ , and  $\gamma_{\text{midspan}}$ . In fact,  $\gamma_{\text{midspan}}$  is the average  $\gamma$  of three sections. Design variables and their range of variations are shown in Table 1. The sensitivity analysis in the present paper is performed using the GMDH-type Artificial Neural Network (ANN) models and CFD data, presented in [3].

#### 3. CFD and GMDH-type ANN models

The Sensitivity Analysis (SA) presented in this paper is performed using GMDH-type Artificial Neural Networks (ANN), which are based on validated numerical data of flow field in centrifugal pumps. The details of numerical modeling and GMDH polynomials are presented in [3]. Some operating conditions are shown in Table 2; moreover, a sample of grid generation and pressure contour in numerical simulations are shown in Figures 3 and 4, respectively.

#### 4. Sensitivity analysis methods

An area of general sensitivity analysis methods that has attracted more attention is the variance-based methods. In these methods, the sensitivity index is computed as the share of each parameter in the overall output variance of the model. The general sensitivity analysis methods are implemented in four steps: (1) defining the inputs and the type of distribution of each input, (2) generating the samples for the input values, (3) computing the model's output for each set of input samples, and (4) determining the effect of each input factor on the output [17]. In this section, the variance-based sensitivity analysis methods are reviewed. The variance-based general sensitivity analysis approaches can be used to obtain the first-



Figure 3. A sample of CFD structured grid generation for centrifugal pumps.



**Figure 4.** A sample of pressure contour in CFD simulations of centrifugal pumps.

order and the second-order effects (which include the interaction between other parameters) [18].

The Sobol method [19] is a model-independent general sensitivity analysis method which is based on variance analysis. This method can be used for nonlinear and non-uniform functions and models. For the model defined by function Y = f(X), where Y is the model output and  $X(x_1, x_2, \ldots, x_n)$  is the vector of input parameters, Sobol suggested to decompose function f into summands of increasing dimensionality, where the integral of each term over its own input variables is zero. Sobol showed that, when all the inputs are perpendicular to one another, this resolution is unique, and the output variance of the model (V) is the set of variances of each resolved term [19]:

$$V(Y) = \sum_{i=1}^{n} V_i + \sum_{i \le j \le n}^{n} V_{ij} + \dots + V_{1\dots n}.$$
 (3)

In Eq. (3),  $V_i$  denotes the first-order effect for each input factor  $x_i(V_i = V[E(Y|x_i)])$ , and  $V_{ij}(V_{ij} = V[E(Y|x_i, x_j)] - V_i - V_j)$  to  $V_{1,...,n}$  indicate the interactions between *n* factors. Therefore, the shares allocated to parameters and interactions of parameters can be determined from the total output variance. The sensitivity index is obtained as the ratio of each order's variance to the total variance  $(S_i = V_i/V \text{ denotes the first-order sensitivity index, } S_{ij} = V_{ij}/V \text{ represents the second-order sensitivity index, and so on). The total sensitivity index (i.e., the overall effect of each parameter) is obtained as the summand of all the orders of sensitivity index for that parameter [19]:$ 

$$S_{Ti} = S_i + \sum_{i \neq j} S_{ij} + \cdots .$$
<sup>(4)</sup>

The EFAST method was presented by Cukier et al. [20] and was later improved by Saltelli et al. [21]. Like the Sobol method, this approach is also based on variance and is independent of any assumption of linearity and uniformity between inputs and output(s). Contrary to the Sobol method, which uses multi-dimensional integrals to obtain the total variance and the partial variances, this method converts the multi-dimensional integrals to one-dimensional ones by defining a transfer function and simplifies the procedure for the calculation of sensitivity indexes.

The EFAST method searches the *n*-dimensional space of the input factors (unit hypercube  $K^n$ ) using a search curve defined by a set of parametric equations [21]:

$$x_i = \frac{1}{2} + \frac{1}{\pi} \arcsin\left(\sin\left(\omega_i s + \varphi_i\right)\right),\tag{5}$$

where  $\omega_i (i = 1, 2, ..., n)$  is the frequency related to factor  $x_i$ , s is a variable that changes from  $-\pi$  to  $+\pi$ , and  $\varphi_i$  specifies the starting point of the curve. The output variance of the model is approximated by means of Fourier analysis:

$$V(Y) = \frac{1}{2\pi} \int_{-\pi}^{\pi} f^2(s) ds - \left[\frac{1}{2\pi} \int_{-\pi}^{\pi} f(s) ds\right]^2$$
$$\approx \sum_{j=-\infty}^{\infty} (A_j^2 + B_j^2) - (A_0^2 + B_0^2)$$
$$\approx 2 \sum_{j=1}^{N} (A_j^2 + B_j^2).$$
(6)

In the above relation, the following:

$$f(s) = f(G_1(\sin(\omega_1 s)), G_2(\sin(\omega_2 s)), ..., G_n(\sin(\omega_n s))),$$

and G(s) represent the transfer functions, and  $A_j$  and  $B_j$  are the Fourier coefficients, i.e.:

$$A_{j} = \frac{1}{2\pi} \int_{-\pi}^{\pi} f(s) \cos(js) ds,$$
$$B_{j} = \frac{1}{2\pi} \int_{-\pi}^{\pi} f(s) \sin(js) ds.$$

By calculating the Fourier coefficients for the basic frequency  $(\omega_i)$  and their higher harmonics  $(p\omega_i)$ , the partial first-order input variance  $(x_i)$  can be obtained.

$$V_i = \sum_{p \in Z^0} \left( A_{p\omega_i}^2 + B_{p\omega_i}^2 \right) = 2 \sum_{p=1}^\infty \left( A_{p\omega_i}^2 + B_{p\omega_i}^2 \right).$$
(7)

In addition, similar to the Sobol method, the ratio of the first-order partial variance to total variance is used to compute the main sensitivity index. The total sensitivity index is obtained from Eq. (8) [22]:

$$ST_i = 1 - \frac{V_{-i}}{V}.$$
(8)

Variance  $V_{-i}$  is obtained by changing all the parameters except parameter  $x_i$ .

The Sobol method employs the Monte Carlo integral to obtain each partial variance; in comparison with the EFAST method, it does not use a transfer function, which is why it has low computational efficiency. Algorithm of sensitivity analysis is shown in Figure 5.



Figure 5. Algorithm of sensitivity analysis.

#### 5. Results of sensitivity analysis

The results of sensitivity analysis for efficiency  $(\eta)$  and the Net Positive Suction Head (NPSH) in centrifugal pumps are presented in this section. Employing the EFAST method, the sensitivity of four parameters, i.e., leading edge angle of blades on hub section  $(\beta_{1 \text{ hub}})$ , leading edge angle of blades on shroud section  $(\beta_{1 \text{ shroud}})$ , trailing edge angle of blades  $(\beta_2)$ , and the stagger angle of blades on mid span  $(\gamma_{\text{mid}})$ , have been explored for  $\eta$  and NPSH. Table 1 shows the intervals of changes of the investigated parameters.

Figure 6(a) shows the changes of  $\eta$  with  $\beta_{1 \text{ hub}}$  and indicates that with the increase of this parameter, the  $\eta$  diminishes with a sharp slope. As observed in this figure, at low values of  $\beta_{1 \text{ hub}}$ , sensitivity is smaller, and with the increase of  $\beta_{1 \text{ hub}}$ , the slope of the diagram becomes greater. So, by considering the results that indicate the effect of this parameter on  $\eta$ , the proper values for this parameter can be selected. As shown in Figure 6(b), with the increase in  $\beta_2$ ,  $\eta$  also diminishes with a very sharp slope. Therefore, the first most sensitive parameter is  $\beta_2$ .

The other investigated parameter is  $\beta_{1 \text{ shroud}}$ ; considering a near-zero slope for the diagram showing the changes of  $\eta$  versus  $\beta_{1 \text{ shroud}}$  (Figure 6(c)), this parameter is not considered to be a sensitive parameter for  $\eta$ , and choosing different values for this parameter from its range of changes does not lead to a tangible change in  $\eta$  values. As Figure 6(d) demonstrates, the diagram which shows the changes of  $\eta$  versus  $\gamma_{\rm mid}$  is selected, indicating that with the increase of this parameter, first,  $\eta$  decreases and, then, increases.

The changes of the NPSH with  $\beta_{1 \text{ hub}}$  are shown in Figure 7(a). With the increase of  $\beta_{1 \text{ hub}}$ , NPSH diminishes with a very sharp slope. As is observed in this figure, at low values of  $\beta_{1 \text{ hub}}$ , sensitivity is smaller, and with the increase of  $\beta_{1 \text{ hub}}$ , the slope of the diagram becomes greater. Thus, by considering the results that indicate the effect of this parameter on the NPSH, the proper values for this parameter can be selected. Another sensitive parameter among the parameters is  $\beta_2$ . According to Figure 7(b), with the increase of this parameter, NPSH also increases with a sharp slope.

The other investigated parameter is  $\beta_{1 \text{ shroud}}$ ; considering a near-zero slope for the diagram showing the changes of NPSH versus  $\beta_{1 \text{ shroud}}$  (Figure 7(c)), this parameter is not considered to be a sensitive parameter for the NPSH, and choosing different values for this parameter from its range of changes does not lead to a tangible change in the NPSH values. Another sensitive parameter among the input parameters is  $\gamma_{\text{mid}}$ . According to Figure 7(d), with the increase of this parameter, first, the NPSH also increases and, then, decreases.

Figure 8 indicates more accurate analysis of the results obtained by the EFAST sensitivity analysis method. According to Figure 8, among the aforementioned four parameters, as expected,  $\beta_2$  (with a sensitivity index of 46%),  $\gamma_{\rm mid}$  (with a sensitivity



Figure 6. The changes of  $\eta$  with (a)  $\beta_{1 \text{ hub}}$ , (b)  $\beta_{2}$ , (c)  $\beta_{1 \text{ shroud}}$ , and (d)  $\gamma_{\text{mid}}$  in SA analysis.



Figure 7. The changes of NPSH with (a)  $\beta_{1 \text{ hub}}$ , (b)  $\beta_{2}$ , (c)  $\beta_{1 \text{ shroud}}$ , and (d)  $\gamma_{\text{mid}}$  in SA analysis.



Figure 8. Percent sensitivity of input parameter changes in the  $\eta$  and NPSH.

index of 28%), and  $\beta_{1 \text{ hub}}$  (with a sensitivity index of 21%) are the most significant sensitivity parameters in  $\eta$ . Further, according to Figure 8,  $\beta_2$  (with 45% sensitivity) is the most important parameter; the parameters of  $\beta_{1 \text{ hub}}$  (with 33% sensitivity) and  $\gamma_{\text{mid}}$  (with 22% sensitivity) are, respectively, the other effective parameters in NPSH.

#### 6. Conclusion

The effective parameters of centrifugal pumps were investigated using the EFAST sensitivity analysis method. The SA was performed using GMDH-type ANN based on validated numerical data of flow field in centrifugal pumps. There were four design variables:  $\beta_{1 \text{ hub}}$ ,  $\beta_{1 \text{ shroud}}$ ,  $\beta_{2}$ , and the stagger angle of blades on mid span  $\gamma_{\text{mid}}$ . There were two objective functions:  $\eta$ 

and the required NPSH of impeller. The results show that, among design variables,  $\beta_2$  has the highest effect on variations of  $\eta$  (46%) and NPSH (45%). Except  $\beta_2$ ,  $\beta_1$  hub and  $\gamma_{mid}$  have the highest effect on NPSH (33%) and  $\eta$  (28%), respectively. The effects of all of the design variables on objective functions were shown in the results (Figure 8).

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