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# Numerical characterization of anisotropic damage evolution in iron based materials

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Abstract. A damage plastic constitutive model for metals is proposed in this paper. An anisotropic damage tensor and a damage surface are adopted to describe the degradation of the mechanical properties of metals. The model is developed within the thermodynamic framework and creates an anisotropic damage plastic model with the ability to describe the plastic and damage behavior of iron based materials. According to the principle of strain energy equivalence between the undamaged and damaged materials, the linear elastic constitutive equations for the damaged material expressed a stiffness tensor in the damaged configuration. The damaged material is modeled using the constitutive laws of the undamaged material, in which the stresses in the undamaged configuration are replaced by the stresses in the damaged configuration. To simulate the onset of plastic deformation and damage, yield and damage surfaces are applied and, in accordance with the normality rule, evolution laws for the damage variables are achieved to complete the proposed damage plastic model. Implementation of the model in the form of a practical method, based on the forward Euler integration scheme (modified forward Euler integration with error control) is discussed. Finally, the constitutive response is compared with some experimental results and classical plasticity results for validating the capability of the proposed model. Good agreement between the experimental results and the model is obtained.

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## 1. Introduction

In recent decades, plasticity theories have been developed for materials such as metals and concrete. Plasticity theories have evident advantages over elastic approaches in the modeling hardening and softening characteristics of materials. However, they do not clearly incorporate the damage process due to microdefects (microcracks and microvoids), such as stiffness degradation.

\*. Corresponding author. Tel.: +98 21 66164211; Fax: +98 21 66019799 E-mail addresses: khaloo@sharif.edu (A.R. Khaloo); javanmardi@alum.sharif.edu (M.R. Javanmardi); hasoltani@alum.sharif.edu (H. Azizsoltani) Although classical fracture mechanics models were originally developed for demonstrating material degradations, much effort is still being devoted towards developing new fracture mechanics models. In spite of some successful applications of fracture mechanics, for practical applications, it is difficult to define the location and geometry of the microcracks accurately before they are formed.

Damage models were produced to create an alternative approach towards modeling material degradation based on the thermodynamics of an irreversible process. In damage plasticity models, material nonlinearity may be associated with two different material mechanical processes: plasticity (dislocations along crystal slip planes) and damage mechanics (microvoids, microcracks). These two degradation phenomena are described by the theories of plasticity and continuum damage mechanics. Therefore, a model that accounts for both material deterioration and the dislocations along slip planes is needed. This can be achieved by using a plastic surface and a damage surface.

The concept of damage models was first proposed by Kachanov [1] who considered the isotropic damage model of a one dimensional (scalar) variable, defined as the effective surface density of microdefects per unit volume [2,3]. This phenomenological damage model was introduced upon the assumption that microdefects start from the very beginning of loading, with two stages in the fracturing process. Firstly, the regular development stage of microdefects and, secondly, the accelerated stage of fracturing. Therefore, it notes that "in the presence of an aggressive environment, microdefecting grows, mostly, from the surface of the body." Accordingly, they define the scalar damage variable (effective surface density of microdefects per unit volume) as the ratio of damage surface area to total surface area. This concept is established upon considering the equivalence between the imaginary undamaged configuration of a body and the real damaged configuration. Rabotnov [4] proposed the concept of effective stress in continuum damage mechanics. The scalar damage variable, first proposed by Kachanov, is the simplest case of continuum damage models.

Many researchers used this scalar measure to adequately solve many mechanics problems [4-6]. However, in actuality, it is shown that all materials have anisotropic damage characteristics and, in the most general case of anisotropy, the description of damage needs to be embodied in an eight-order tensor, while the principle of strain equivalence allows the use of fourth-order tensors [7].

Careful consideration of isotropic models leads us to the fact that these models have less strength in comparison to anisotropic damage models. Moreover, in cases of triaxial loading, the differences between isotropic (scalar) models and anisotropic (tensorial) models are much more noticeable. On the other hand, for better characterization of the material damage behavior, such as different microcracks in diverse directions, anisotropic damage should be characterized. In general, a fourth order tensor should be used as a damage state variable in order to capture the true effect of microcracks [6]. Nevertheless, anisotropic damage is complex. Its combination with plasticity and its application to structural analysis are non-straightforward [8-11] and, therefore, it has been avoided by many authors.

In this work, a coupled anisotropic damage and plasticity constitutive model that can be used to predict metal behavior is formulated within the basic framework of thermodynamics.

In this model, using the second law of thermody-

namics, the internal energy of material (current), which is expressed through Helmholtz free energy, is defined. Then, using Helmholtz free energy, useable variables to show the plastic and damage growth of material are introduced. Finally, in the framework of thermodynamics of irreversible processes, thermodynamic conjugate forces are established via state equations using state potential.

In this work, computational aspects concerning numerical implementation and the algorithmic consistent tangent modulus for the constitutive model are presented. Therefore, two nonlinear problems are considered, and the results obtained by the proposed model are compared with corresponding experimental results of specimens to validate and demonstrate the capability of the proposed model.

## 2. Anisotropic damage

In classical plasticity theory, the growth and coalescence of microdefects in material due to an increase in deformations were not considered. By using an anisotropic damage model, it is attempted to regard the growth and coalescence of microdefects, besides plastic deformation, in the material. In this article, two configurations; undamaged and damaged, are considered. The former are designated by a superimposed dash and the latter are designated without a superimposed dash. Throughout this work, superscript T indicates the transpose of the tensor as defined by  $A_{ijkl}^T = A_{klij}$ , superscript -1 indicates the inverse of the tensor as defined by  $A_{ijkl}^{-1}A_{mnkl} = \delta_{im}\delta_{jn}$ , and superscript -Tindicates the transpose of the inverse of the tensor  $(\delta_{ij}$ is Kronecker delta).

Cordebois and Sidoroff [12] proposed the stress relationship between undamaged and damaged configurations. That is, the damaged material is formulated by using the constitutive laws of the undamaged material, in which the Cauchy stress tensor in the damaged configuration ( $\sigma$ ) is replaced by the stress tensor in the undamaged configuration ( $\bar{\sigma}$ ) [12-16]:

$$\overline{\sigma}_{ij} = M_{ijkl}\sigma_{kl},\tag{1}$$

where  $M_{ijkl}$  is the fourth order damage effect tensor. In order to create a symmetrical stress tensor in the damaged configuration, many different expressions for  $M_{ijkl}$  have been proposed. In this work, the following expression for  $M_{ijkl}$ , proposed by Cordebois and Sidoroff [12], is used:

$$M_{ijkl} = \frac{1}{2} \left( \delta_{il} w_{kj} + w_{il} \delta_{kj} \right).$$
<sup>(2)</sup>

In this relationship,  $\delta_{ij}$  is Kronecker delta and  $w_{ij}$  is defined as follows:

$$w_{ij} = \left(\delta_{ij} - \varphi_{ij}\right)^{-1},\tag{3}$$

where  $\varphi_{ij}$  is the second order damage tensor. The second order damage tensor is defined as follows [2,13-14,17-20]:

$$\varphi_{ij} = \sqrt{d_i d_j},\tag{4a}$$

$$d_i = \frac{A_i^d}{A_i} (\text{no sum on } i), \tag{4b}$$

where  $d_i$  is the microdamage density vector, and defined in Eq. (4b).  $A_i^d$  (i = 1, 2, 3) is the total area of the defects and  $A_i$  is the total area of the surface, whose unit normal is  $n_i$ .

The explicit matrix representation for the fourth order damage tensor  $(M_{ijkl})$  is mentioned in [19].

One can write the linear elastic constitutive equations for the damaged material according to the principle of elastic strain energy equivalence between the undamaged and damaged material [12,18]:

$$\frac{1}{2}\sigma_{ij}\varepsilon^{e}_{ij} = \frac{1}{2}\overline{\sigma}_{ij}\overline{\varepsilon}^{e}_{ij}.$$
(5)

One of the main hypotheses of the small strain theory of plasticity is decomposition of the total strain tensor,  $\varepsilon_{ij}$ , into the sum of an elastic strain tensor (reversible part),  $\varepsilon_{ij}^{e}$ , and a plastic strain tensor (irreversible part),  $\varepsilon_{ij}^{p}$ . Therefore, the total strain tensor,  $\varepsilon_{ij}$ , in both configurations are defined as follows:

$$\varepsilon_{ij} = \varepsilon^e_{ij} + \varepsilon^p_{ij},\tag{6a}$$

$$\overline{\varepsilon}_{ij} = \overline{\varepsilon}_{ij}^e + \overline{\varepsilon}_{ij}^p. \tag{6b}$$

The relationships between stress and elastic strain tensors in damaged and undamaged configurations are:

$$\sigma_{ij} = E_{ijkl} \varepsilon^e_{kl}, \tag{7a}$$

$$\overline{\sigma}_{ij} = \overline{E}_{ijkl} \overline{\varepsilon}^e_{kl},\tag{7b}$$

where  $E_{ijkl}$  and  $\overline{E}_{ijkl}$  are, respectively, the stiffness tensors in damaged and undamaged configurations.

Substituting Eqs. (7a) and (7b) in Eq. (5) and using Eq. (1) gives:

$$E_{ijkl} = M_{ijmn}^{-1} \overline{E}_{mnpq} M_{pqkl}^{-T}.$$
(8)

Substituting Eqs. (7a) and (7b) in Eq. (1) and using Eq. (8) leads to the elastic strain tensor relationship in damaged and undamaged configurations.

$$\overline{\varepsilon}_{ij}^e = M_{ijkl}^{-T} \varepsilon_{kl}^e. \tag{9}$$

## 3. Thermodynamic framework

To explain the elastic, plastic and damage behavior of material, a thermodynamic framework can be used [21-26]. In this work, the deformations in the material are divided into elastic, plastic and damage parts. In this division, elastic deformation is a reversible process that does not result in an entropy increase in the system, while plastic and damage deformations are irreversible processes that lead to entropy production in the system.

Clausius-Duhem inequality is the result of substituting the content of the second law of thermodynamics in the notation of continuum mechanics; this inequality means the production of entropy within a system in an irreversible process [21], and can be expressed as follows:

$$\sigma_{ij}\dot{\varepsilon}_{ij} - \rho\dot{\psi} \ge 0,\tag{10}$$

in this equation,  $\psi$  is Helmholtz free energy,  $\rho$  is material density,  $\sigma_{ij}$  is stress tensor and  $\dot{\varepsilon}_{ij}$  is the rate of strain tensor.

In this work, the Helmholtz free energy function is defined based on four variables:

- 1. Elastic strain tensor  $(\varepsilon_{ij}^e)$ ;
- 2. Equivalent plastic strain variables in damaged configuration ( $\varepsilon^{ep}$ ), which is used to characterize isotropic hardening accumulated plastic strain;
- 3. Second order damage tensor  $(\varphi_{ij})$ ;
- 4. Equivalent damage variable  $(\varphi^{eq})$  used to characterize accumulated inelastic-damage strain, and which represents the creation and dissemination of microcracks in materials.

$$\psi = \psi \left( \varepsilon_{ij}^e, \varepsilon^{ep}, \varphi_{ij}, \varphi^{eq} \right). \tag{11}$$

Chaboche [27] expressed the thermodynamic conjugate forces of these variables as follows:

$$Y_{ij} = -\rho \frac{\partial \psi}{\partial \varphi_{ij}},\tag{12a}$$

$$K = \rho \frac{\partial \psi}{\partial \varphi^{eq}},\tag{12b}$$

$$C = \rho \frac{\partial \psi}{\partial \varepsilon^{\epsilon p}}.$$
 (12c)

If the mechanical flux vector is defined as J, and vector X is considered to be thermodynamic conjugated forces expressed as follows:

$$J = \rho \left\{ \dot{\varepsilon}_{ij}^p, \dot{\varphi}_{ij}, -\dot{\varepsilon}^{ep}, -\dot{\varphi}^{eq} \right\}^T,$$
(13a)

$$X = \{\sigma_{ij}, Y_{ij}, C, K\}.$$
(13b)

The production rate of entropy is expressed as [28,29]:

$$\sigma_{ij}\dot{\varepsilon}_{ij} - \rho\dot{\psi} = X.J \ge 0. \tag{14}$$

With assuming the components of J vector,  $(J_k)$  are defined, one to one, as a function of X vector  $(X_k)$ , and the existence of potential functions,  $F^P$  and g, are proved as follows [29,30]:

$$F^{p} = F^{p}\left(\sigma_{ij}, C, \dot{\varepsilon}^{p}_{ij}, \dot{\varepsilon}^{ep}\right), \qquad (15)$$

$$g = g\left(Y_{ij}, K, \dot{\varphi}_{ij}, \dot{\varphi}^{eq}\right).$$
(16)

Now, components of J vector  $(J_k)$  are expressed as follows [29,30]:

$$\dot{\varepsilon}_{ij}^p = \dot{\lambda}^p \frac{\partial F^p}{\partial \sigma_{ij}},\tag{17a}$$

$$\dot{\varphi}_{ij} = \dot{\lambda}^d \frac{\partial g}{\partial Y_{ij}},\tag{17b}$$

$$\dot{\varepsilon}^{ep} = \dot{\lambda}^p \frac{\partial F^p}{\partial C},\tag{17c}$$

$$\dot{\varphi}^{eq} = \dot{\lambda}^d \frac{\partial g}{\partial K},\tag{17d}$$

where  $\dot{\lambda}^p$  and  $\dot{\lambda}^d$  are obtained by plastic and damage consistency.

#### 4. Helmholtz free energy function

The Helmholtz free energy function is a part of the internal energy of a system that can do work under a constant temperature [21]. In this work, the Helmholtz free energy function is divided into elastic, plastic and damage parts. These three parts are expressed as follows:

$$\rho\psi^e = \frac{1}{2}\sigma_{ij}\varepsilon^e_{ij},\tag{18}$$

$$\rho\psi^p = Q\left(\varepsilon^{ep} + \frac{1}{Bc}e^{-Bc\varepsilon^{ep}}\right),\tag{19}$$

$$\rho\psi^d(\varphi^{eq}) = \frac{1}{2} K_d(\varphi^{eq})^2.$$
(20)

In these relationships, Q, Bc and  $K_d$  are material constants that are obtained from a uniaxial test.

Using Eqs. (12a), (12b), (12c), and the Helmholtz free energy function expressed in Eqs. (18), (19) and (20), thermodynamic conjugate forces can be obtained as follows:

$$Y_{rs} = -\sigma_{ij} \frac{\partial M_{ijmn}}{\partial \varphi_{rs}} \overline{E}_{mnpq}^{-1} M_{pqkl} \sigma_{kl}, \qquad (21)$$

$$C = \rho \frac{\partial \psi}{\partial \varepsilon^{e_p}} = Q \left( 1 - e^{-Bc\varepsilon^{e_p}} \right), \qquad (22)$$

$$K = \rho \frac{\partial \psi}{\partial \varphi^{eq}} = K_d \varphi^{eq}, \qquad (23)$$

where  $Y_{rs}$ , C and K are, respectively, the thermodynamic conjugate forces of  $\varphi_{rs}$ ,  $\varepsilon^{ep}$  and  $\varphi^{eq}$ .

# 5. Damage plastic model

To explain the behavior of iron based materials, such as high strength steel and aluminum, in triaxial loading, an appropriate constitutive model based on two yield surfaces is applied. One of the yield surfaces shows the plastic onset, and the other shows the damage onset of material.

## 5.1. Plastic yield surface

In order to describe the hydrostatic stress dependency of the plastic material, a yield surface based on  $I_1 - J_2 - J_3$ , taking into account isotropic nonlinear work hardening, is used.

Burzynski [31,32] gave the first consistent energybased formulation of a yield surface, accounting for the first invariant of the stress tensor, in 1928. Moreover, Vadillo et al. [33] presented a numerical approach based on the Burzynski yield condition, while Pecherski et al. [34] gave the extension of the energy-based Burzynski yield condition accounting for the third invariant of the stress deviator. The yield surface derived from experimental results on aluminum and high strength steels was proposed by Spitzig et al. [35,36] and Spitzig and Richmond [37], and is expressed as follows:

$$a\bar{I}_1 + \sqrt{\bar{J}_2} + b\sqrt[3]{\bar{J}_3} = \bar{C}_{(\bar{\varepsilon}^{ep})}.$$
 (24)

In this equation,  $\bar{I}_1 = \bar{\sigma}_{ii}$  is the first invariant of the stress tensor,  $\bar{J}_2 = \bar{S}_{ij}\bar{S}_{ij}/2$  is the second invariant of the deviatoric stress tensor,  $\bar{J}_3 = \bar{S}_{ij}\bar{S}_{jk}\bar{S}_{kl}/3$  is the third invariant of the deviatoric stress tensor, and  $\bar{S}_{ij} = \bar{\sigma}_{ij} - \bar{\sigma}_{kk}\delta_{ij}/3$  is the deviatoric stress tensor. All variables are in undamaged configuration. a is a coefficient relating the hydrostatic stress state, b describes the deviation of the yield condition from the von Mises circle in the deviatoric stress plane,  $\bar{\varepsilon}^{ep} = \int_{0}^{t} \bar{\varepsilon}^{ep} dt$  is equivalent plastic strain in the undamaged

configuration, and  $\overline{C}$  is the hardening parameter, which can be expressed as follows [16]:

$$\bar{C}_{(\bar{\varepsilon}^{e_p})} = \frac{C_{(\varepsilon^{e_p})}}{1 - \varphi^{e_q}}.$$
(25)

Experimental data from tests on steel and aluminum alloys by Spitzig et al. [35,36] have shown that the ratio of  $\alpha = a/\bar{C}$  is constant,  $\beta = b/\bar{C}$  is assumed constant, and the yield function is written in general form as:

$$f(\bar{\sigma}, \bar{\varepsilon}^{ep}) = \frac{\sqrt{\bar{J}_2}}{\left(1 - \alpha \bar{I}_1 - \beta \sqrt[3]{\bar{J}_3}\right)} - \bar{C}_{(\bar{\varepsilon}^{ep})} = 0.$$
(26)

The rate of plastic strain tensor in strain space is normal to plastic potential function  $(F^P)$  that is defined by Eq. (17a). This relationship in the undamaged configuration is expressed as follows:

$$\dot{\bar{\varepsilon}}_{ij}^p = \dot{\bar{\lambda}}^p \frac{\partial F^p}{\partial \bar{\sigma}_{ij}}.$$
(27)

In elasto-plastic deformed and damaged metals, irreversible volumetric strains are essentially caused by material damage and volumetric plastic strains are insignificant [35,36]. Thus, the non associated plastic potential function is expressed as:

$$F^p = \sqrt{\bar{J}_2}.$$
 (28)

The derivative of plastic potential function  $(F^p)$ , with respect to  $\bar{\sigma}_{ij}$ , leads to:

$$\frac{\partial F^p}{\partial \bar{\sigma}_{ij}} = \frac{\bar{S}_{ij}}{2\sqrt{\bar{J}_2}}.$$
(29)

Since material in a plastic state should always be on a plastic yield surface  $(\dot{f} = 0)$ , plastic consistency is expressed as follows [38]:

$$f \le 0, \qquad \dot{\bar{\lambda}}^p \ge 0, \qquad \dot{\bar{\lambda}}^p f = 0, \qquad \dot{\bar{\lambda}}^p \dot{f} = 0.$$
 (30)

The time derivative of plastic yield surface function (f) is:

$$\dot{f} = \frac{\partial f}{\partial \bar{\sigma}_{ij}} \dot{\bar{\sigma}}_{ij} + \frac{\partial f}{\partial \bar{\varepsilon}^{ep}} \dot{\bar{\varepsilon}}^{ep} = 0, \qquad (31)$$

where:

Eqs. (32) and (33) are shown in Box I.

Evolution of the isotropic hardening function  $\left(\frac{\partial \bar{C}}{\partial \bar{r}^{e_p}}\right)$  is defined by the following exponential law [16]:

$$\frac{\partial \bar{C}}{\partial \bar{\varepsilon}^{ep}} = Bc \left( Q - \bar{C} \right). \tag{34}$$

If effective stress in the undamaged configuration is assumed to be equal to the yield stress of material in uniaxial tests, this stress in the undamaged configuration is defined as:

$$\bar{\sigma}^{e} = \frac{\sqrt{3\bar{J}_{2}}}{1 - \alpha\bar{I}_{1} - \beta\sqrt[3]{\bar{J}_{3}} + \left(\alpha + \beta\frac{\sqrt[3]{2}}{3}\right)\sqrt{3\bar{J}_{2}}}.$$
 (35)

Assuming that the rate of effective plastic work per unit volume and rate of plastic work per unit volume are equal in the undamaged configuration, gives:

$$dw_p = \bar{\sigma}^e \dot{\bar{\varepsilon}}^{ep} = \bar{\sigma}_{ij} \dot{\bar{\varepsilon}}^p_{ij}.$$
(36)

By substituting Eqs. (27) and (35) in Eq. (36), the rate of equivalent plastic strain in the undamaged configuration is defined as follows:

$$\dot{\varepsilon}^{ep} = \frac{1 - \alpha \bar{I}_1 - \beta \sqrt[3]{\bar{J}_3} + \left(\alpha + \beta \frac{\sqrt[3]{2}}{3}\right) \sqrt{3\bar{J}_2}}{\sqrt{3\bar{J}_2}}$$
$$\dot{\bar{\lambda}}^p \bar{\sigma}_{ij} \frac{\partial F^p}{\partial \bar{\sigma}_{ij}}, \tag{37a}$$

$$\dot{\bar{\lambda}}^p = \frac{1}{\bar{h}} \frac{\partial f}{\partial \bar{\sigma}_{ij}} \bar{E}_{ijkl} \dot{\bar{\varepsilon}}_{kl}.$$
(37b)

The elastoplastic tangent operator in the undamaged configuration that is not principal symmetric, due to the assumption of a non associated plastic flow rule, it can be expressed as follows:

$$\dot{\bar{\sigma}}_{ij} = \bar{D}_{ijkl} \dot{\bar{\varepsilon}}_{kl}, \tag{38a}$$

$$\bar{D}_{ijkl} = \bar{E}_{ijkl} - \frac{1}{\bar{h}} \bar{E}_{ijrs} \frac{\partial F^p}{\partial \bar{\sigma}_{rs}} \frac{\partial f}{\partial \bar{\sigma}_{mn}} \bar{E}_{mnkl}, \qquad (38b)$$

where  $\bar{h}$  is equal to:

$$\begin{split} \bar{h} &= \frac{\partial f}{\partial \bar{\sigma}_{ij}} \bar{E}_{ijkl} \frac{\partial F^p}{\partial \bar{\sigma}_{kl}} + \frac{\partial f}{\partial \bar{C}} \frac{\partial \bar{C}}{\partial \bar{\varepsilon}^{ep}} \\ & \frac{1 - \alpha \bar{I}_1 - \beta \sqrt[3]{\bar{J}_3} + \left(\alpha + beta \frac{\sqrt[3]{2}}{3}\right) \sqrt{3\bar{J}_2}}{\sqrt{3\bar{J}_2}} \bar{\sigma}_{ij} \frac{\partial F^p}{\partial \bar{\sigma}_{ij}}. \end{split}$$

$$\frac{\partial f}{\partial \bar{\sigma}_{ij}} = \frac{\bar{S}_{ij} \frac{\left(1 - \alpha \bar{I}_1 - \beta \sqrt[3]{\bar{J}_3}\right)}{2\sqrt{\bar{J}_2}} + \left(\alpha \delta_{ij} + \frac{\beta}{3\bar{J}_3^{2/3}} \left(\bar{S}_{ik} \bar{S}_{kj} - \frac{2}{3} \bar{J}_2 \delta_{ij}\right)\right) \sqrt{\bar{J}_2}}{\left(1 - \alpha \bar{I}_1 - \beta \sqrt[3]{\bar{J}_3}\right)^2},$$

$$\frac{\partial f}{\partial \bar{\varepsilon}^{ep}} = \frac{\partial f}{\partial \bar{C}} \frac{\partial \bar{C}}{\partial \bar{\varepsilon}^{ep}} = -Bc \left(Q - \bar{C}\right).$$
(32)

## 5.2. Damage surface

To establish the onset and growth of damage in iron based materials, the damage surface proposed by Chow and Wang [18] can be used:

$$g = \sqrt{\frac{1}{2}Y_{ij}L_{ijkl}Y_{kl}} - (K_0 + K).$$
(40)

In this equation, g is the damage surface,  $Y_{ij}$  is the thermodynamic conjugate force of  $\varphi_{ij}$ ,  $L_{ijkl} = (\delta_{ik}\delta_{jl} + \delta_{il}\delta_{jk})/2$  is a symmetric fourth order tensor [38-41],  $K_0$  is the material constant that is described as the initial damage, and K is the thermodynamic conjugate force of  $\varphi^{eq}$ . Writing the consistency condition for the damage surface ( $\dot{g} = 0$ ) gives [16]:

$$\dot{g} = \frac{\partial g}{\partial Y_{mn}} \dot{Y}_{mn} + \frac{\partial g}{\partial K} \frac{\partial K}{\partial \varphi^{eq}} \dot{\varphi}^{eq} = 0, \qquad (41)$$

where:

$$\frac{\partial g}{\partial Y_{ij}} = \frac{L_{ijkl}Y_{kl}}{2\sqrt{\frac{1}{2}Y_{mn}L_{mnpq}Y_{pq}}},\tag{42}$$

$$\frac{\partial g}{\partial K} = -1,\tag{43}$$

$$\frac{\partial K}{\partial \varphi^{eq}} = K_d. \tag{44}$$

The time derivation of  $Y_{ij}$  gives:

$$\dot{Y}_{ij} = \frac{\partial Y_{ij}}{\partial \sigma_{kl}} \dot{\sigma}_{kl} + \frac{\partial Y_{ij}}{\partial \varphi_{kl}} \dot{\varphi}_{kl}.$$
(45)

And the rate of equivalent damage is defined as [9,16]:

$$\dot{\varphi}^{eq} = \sqrt{\dot{\varphi}_{ij}\dot{\varphi}_{ij}}.$$
(46)

Substituting Eq. (17b) in Eq. (46) gives:

$$\dot{\varphi}^{eq} = \left| \dot{\lambda}_d \right| \sqrt{\frac{\partial g}{\partial Y_{ij}} \frac{\partial g}{\partial Y_{ij}}}.$$
(47)

Substituting Eqs. (47) and (45) in Eq. (41) leads to an incremental relationship between the second order damage tensor and the stress tensor [16]:

$$\dot{\varphi}_{ij} = B_{ijkl}^{-1} A_{klmn} \dot{\sigma}_{mn},\tag{48}$$

where:

$$B_{ijkl} = \delta_{ki}\delta_{lj} - \frac{\frac{\partial g}{\partial Y_{mn}} \frac{\partial Y_{mn}}{\partial \varphi_{kl}} \frac{\partial g}{\partial Y_{ij}}}{-\beta \frac{\partial K}{\partial \varphi^{eq}} \frac{\partial g}{\partial K}},$$
(49)

and:

$$A_{klmn} = \frac{\frac{\partial g}{\partial Y_{ij}} \frac{\partial Y_{ij}}{\partial \sigma_{mn}} \frac{\partial g}{\partial Y_{kl}}}{-\beta \frac{\partial K}{\partial \varphi^{eq}} \frac{\partial g}{\partial K}},$$
(50)

$$\beta = \sqrt{\frac{L_{mnkl}Y_{kl}L_{mnpq}Y_{pq}}{2Y_{rs}L_{rstu}Y_{tu}}}.$$
(51)

The rate of stress tensor in the damage configuration obtained by the time derivative of Eq. (1) is:

$$\dot{\bar{\sigma}}_{ij} = \frac{\partial M_{ijkl}}{\partial \varphi_{mn}} \dot{\varphi}_{mn} + M_{ijkl} \dot{\sigma}_{kl}.$$
(52)

Substituting Eq. (48) in Eq. (52) leads to:

$$\dot{\sigma}_{ij} = P_{ijkl}^{-1} \dot{\bar{\sigma}}_{kl},\tag{53}$$

where:

$$P_{ijkl} = M_{ijkl} + \frac{\partial M_{ijpq}}{\partial \varphi_{rs}} B_{rsmn}^{-1} A_{mnkl} \sigma_{pq}.$$
 (54)

For mapping the elastoplastic tangent operator in the undamaged configuration to the damaged configuration, by substituting Eq. (53) into Eq. (38a), the elastoplastic damaged tangent operator is defined as follows:

$$C_{ijkl}^{epd} = P_{ijmn}^{-1} \bar{D}_{mnkl}.$$
(55)

The elastoplastic damage tangent operator  $(C_{ijkl}^{epd})$  represents the relationship between the rate of stress in the damaged configuration and rate of strain in the undamaged configuration.

$$\dot{\sigma}_{ij} = C^{epd}_{ijkl} \bar{\dot{\varepsilon}}_{kl}. \tag{56}$$

The elastoplastic damage tangent operator is used in the next section in order to obtain a relationship between the rate of stress in the damaged configuration and the rate of strain in the undamaged configuration (Newton Raphson iterations).

#### 6. Finite element simulation

In the finite element method, the Newton Raphson algorithm is mainly suitable for the solution of the nonlinear incremental equations. When a good initial guess of the solution is not available, this method results in divergence. To avoid divergence, the load must be applied in incremental form. Within each load increment, the procedure should be solved by the Newton Raphson algorithm. After the solution corresponding to the previous load increment has converged, the next load increment is applied.

The tangent stiffness matrix is written for each element using the incremental elastoplastic damage

stress strain law expressed in previous sections, assembled into the global matrix and then solved for increments in nodal displacements in the undamaged configuration ( $\Delta \bar{u}$ ). From the definition of the strain displacement matrix, the incremental strains in the undamaged configuration can be obtained as follows:

$$\Delta \bar{\varepsilon} = B \Delta \bar{u}. \tag{57}$$

The well known discrete symmetric gradient operator (or strain displacement matrix) relating the increments of strain and displacement can be written as:

$$B = \sum_{i=1}^{\text{Num Node}} \begin{bmatrix} \frac{\partial N_i}{\partial x} & 0 & 0\\ 0 & \frac{\partial N_i}{\partial y} & 0\\ 0 & 0 & \frac{\partial N_i}{\partial z}\\ \frac{\partial N_i}{\partial y} & \frac{\partial N_i}{\partial x} & 0\\ 0 & \frac{\partial N_i}{\partial z} & \frac{\partial N_i}{\partial y}\\ \frac{\partial N_i}{\partial z} & 0 & \frac{\partial N_i}{\partial x} \end{bmatrix},$$
(58)

where  $N_i$  is the standard shape function of each node in 3D elements. By computing the corresponding stress increments in the undamaged configuration  $(\Delta \bar{\sigma})$ , the new stress state is obtained  $(\bar{\sigma}_{\text{trial}} = \bar{\sigma}_{\text{prev}} + \Delta \bar{\sigma})$ . The state determination calculations must be used for each Gauss point, because, at each Gauss point, it is required to know whether or not the stress has reached the yield surface or the damage surface.

If the trial stress is not outside the plastic surface and the damage surface, the step is elastic and the assumption is correct. However, if the trial stress is outside the plastic surface or the damage surface, the intersection point for these must be calculated. If the trial stress is outside both the plastic and damage surfaces, two intersections must be calculated, and the lower one will be considered. The remaining portion of the trial stress that does not lie within the elastic domain, must be eliminated efficiently in some way, because, at all times, one must also make sure that the computed stresses do not drift away from the yield surface or damage surface.

The incremental constitutive equations are known as differential equations. The computational procedures are established upon numerically integrated differential equations, using methods such as the Euler method. Many researchers proposed several different explicit and implicit methods for numerical solution of these differential equations. In this work, a useful method proposed by M. Asghar Bhatti [42], based on the forward Euler integration, which introduces an automatic step size rule based on predictable error in the solution (modified forward Euler integration with error control), is used.

In general, there are two basic categories of step-by-step integration methods, i.e. explicit methods (forward Euler integration) and implicit methods (backward Euler integration). A method is explicit if the equation of motion of the current time step (i+1) is not used in determining the current step displacement (i+1), while it is implicit if the current time step (i+1) is used in computing the current step displacement (i+1).

The advantage of explicit methods is that it is not necessary to solve a system of equations, or to use iterative procedures in each time step. Hence, far less computational effort is needed per time step. This also leads to an easy implementation of explicit methods. Conditional stability is the major disadvantage of explicit methods. Consequently, a very small time step and, thus, a very large number of time steps may be needed in a time history analysis.

In implicit algorithms, nonlinear algebraic equations must be solved using the Newton Raphson scheme to advance the solution. For complicated yield functions, it may not even be possible to get an analytical expression for some derivatives needed in the Newton Raphson algorithm. Furthermore, numerical experiments suggest that with reasonable error control, the explicit method is fairly effective [42,43]. Modified forward Euler integration with error control introduce an automatic step size rule based on estimated error in the solution. The step size is denoted by  $\Delta T$ and the total interval denoted by  $0 \leq T \leq 1$ , with T = 0 representing the current known state and T = 1the final unknown state. Initially, the step size is set to  $\Delta T = 1$ . Thus, by using the known values at the current state, the solution at the final step is achived. An initial estimate for the new state is then obtained and the second final estimate is computed. The difference between the stress at the two points is used as an indicator of the local error in stress. By looking at the normalized value of this error, a decision is made whether to reduce the step size or accept the step results [42].

Since the elastic, plastic and damage evolution problem is of a strain driven nature, the integration process is split into an elastic predictor and plastic and damage return mapping, to restore plastic and damage consistency, as established in Table 1 with Eqs. (59-61).

According to the concept of operator split [44], Eq. (56) can be decomposed into elastic, plastic and damage parts, leading to the corresponding numerical algorithm, including elastic-predictor, plastic-corrector and damage-corrector steps.

It is noted that during the elastic predictor and the plastic corrector steps, the damage variables are

Elastic predictor Eq. (59)	Plastic corrector Eq. (60)	Damage corrector Eq. (61)
$\dot{\overline{\varepsilon}} = B \dot{\overline{u}}$	$\dot{\bar{\varepsilon}} = 0$	$\dot{\bar{\varepsilon}} = 0$
$\dot{\overline{\varepsilon}}^p = 0$	$\dot{\bar{\varepsilon}}^p = \dot{\bar{\lambda}}^p \frac{\partial F^p}{\partial \bar{\sigma}}$	$\dot{\bar{\varepsilon}}^p = 0$
$\dot{\bar{\varepsilon}}^{ep} = 0$	$\dot{\bar{\varepsilon}}^{ep} = \frac{1}{\bar{\sigma}^e} \dot{\bar{\lambda}}^p \bar{\sigma} : \frac{\partial F^p}{\partial \bar{\sigma}}$	$\dot{\bar{\varepsilon}}^{ep} = 0$
$\dot{\bar{\sigma}} = \bar{E} : \dot{\bar{\varepsilon}}$	$\dot{\bar{\sigma}} = -\bar{E} : \dot{\bar{\varepsilon}}^p$	$\dot{\bar{\sigma}} = 0$
$\dot{\sigma} = P^{-1}\bar{E} : \dot{\bar{\varepsilon}}$	$\dot{\sigma}$ is obtained from Eq. (61)	$\bar{\sigma} = -P^{-1} : \dot{\bar{\sigma}}$
$\dot{\varphi} = 0$	$\dot{\varphi} = 0$	$\dot{\varphi} = B^{-1} : A : \dot{\sigma}$
$\dot{\varphi}^{eq} = 0$	$\dot{\varphi}^{eq} = 0$	$\dot{\varphi}^{eq} = \sqrt{\dot{\varphi}:\dot{\varphi}}$

**Table 1.** Elastic predictor, plastic and damage corrector.

not changed. So, Eqs. (59) and (60) are decoupled with the damage part (Eq. (61)), constituting a usual elastoplastic problem in the undamaged stress space.

At the moment when  $\bar{\sigma}$  is updated in the elastic predictor and plastic corrector steps, the damage variables ( $\dot{\varphi}$  and  $\dot{\varphi}^{eq}$ ) are updated in the damage corrector. The stress in damaged configuration ( $\sigma$ ) is obtained from Eq. (53) that is coupled with the damage. It is notable that the consistent tangent stiffness is used to speed up the rate of convergence of the Newton Raphson method.

# 7. Application

In order to test the applicability of the proposed constitutive model in the previous sections, and evaluate their effectiveness, two nonlinear problems are presented in this section. As a means of validating, the software is produced for performing these analyses, and the obtained results are compared with the results cited in the literature. The solution is checked for mesh-dependency and, thus, a fine mesh, with considerable numerical effort, has been applied to the model for predicting the damage propagation. Furthermore, the results obtained from the presented constitutive model are compared with the results from Abaqus software with classical plasticity (von-Mises) and isotropic damage plasticity (ductile damage in Abaqus software).

Numerical tests were conducted by means of displacement control to apply the loads in order to predict hardening and softening in specimens.

# 7.1. Cylindrical notched bar

This specimen is a cylindrical bar with nominal diameter of 18 mm, height of 40 mm and a notch with a 4 mm radius. Figure 1 shows the geometry of the steel cylindrical notched bar; all dimensions are in millimeters. Numerical simulation on this specimen was done by Souza Neto et al. [43], with isotropic Lemaitre's coupled plasticity damage model [43,45,46].

Due to symmetry conditions, only one eighth of the tension specimen is considered in the finite element simulation. A spatially non-uniform finite element mesh, composed of 1216 higher order (27 nodes) 3D



Figure 1. Cylindrical notched bar.



Figure 2. Non uniform finite element mesh of cylindrical notched bar.

isoparametric elements, has been used in order to illustrate the stress and damage in the necking zone properly (see Figure 2). Table 2 presents the material parameters in finite element simulation.

Material parameters such as  $E, v, \sigma_y, Bc$  and

Property	Value
Initial Young's modulus, $\bar{E}$ (GPa)	210
Poisson's ratio, $v$	0.3
Initial yield strength, $\sigma_y$ (MPa)	620
Initial isotropic hardening $\bar{C}_{\text{initial}}$ (MPa)	174.2
Material constant in yield surface $\alpha$ (MPa <sup>-1</sup> )	$1.87 * 10^{-6}$
Material constant in yield surface $\beta$ (MPa <sup>-1</sup> )	$1.3 * 10^{-5}$
Initial damage surface $K_0$ (MPa)	0.22
Material constant in damage part of Helmholtz free energy function $K_d$ (MPa)	
Material constant in plastic part of Helmholtz free energy function $Q$ (MPa)	
Material constant in plastic part of Helmholtz free energy function $Bc$ (MPa)	0.4

Table 2. Material parameters considered in the simulation of tensile cylindrical notched bar.

Q are cited in the literature and others are obtained by using an inverse identification procedure. Therefore, only first estimates of the material parameters in Eq. (26) are obtained from the equivalent stressequivalent plastic strain curves. Afterwards, finite element simulations of the smooth tension tests have been performed, which leads to the final material parameters for the work-hardening function Eq. (26) using an inverse identification procedure.

The evolution of the damage variable obtained in the numerical simulation is shown in the contour plots in Figure 3(a)-(d). It can be seen that during the early stages of the loading process, maximum damage is detected near the edge of the notch. As the specimen is gradually pulled, the maximum damage zone moves slowly towards the middle of the specimen, and localizes there. At the end stage, damage is particularly localized around the centre.

In Figure 4, the contour distribution of von Mises stress is shown at the end of loading.

Furthermore, good correlation of the reaction forces against edge displacement obtained numerically by Souza Neto et al. [43] and predicted by finite element simulation, based on the presented model, can be seen



Figure 3. Damage contour plots in cylindrical notched bar: (a) At u = 0.05; (b) at u = 0.1; (c) at u = 0.25; and (d) at the end of loading.



Figure 4. Von Mises stress of the cylindrical notched bar.



Figure 5. Edge displacement against reaction force of the cylindrical notched bar.

in Figure 5. This prediction is in accordance with experimental observations by Hancock and Mackenzie [47], and Cescotto and Zhu [48], which show that for specific notched specimen configurations, damage initiates at the edge of the notch, and by increase in loading extends radially towards the center of the notch.

Figure 5 also shows that the presented model anticipates the force-displacement better than classical plasticity with isotropic damage, which is given by Abaqus software.

The reason for the rapid growth of damage at the centre of the specimen is the fact that damage growth in ductile metals is strongly dependent on the stress triaxiality ratio, which is highest at the centre of the specimen.

# 7.2. Flat shear specimen

The specimen is a 3.19 mm thick aluminum alloy plate, from which shear, pre notched specimens were



Figure 6. Flat shear specimen.



Figure 7. Non uniform finite element mesh of flat shear specimen.

machined. The specimen was tested in a screw driven machine, INSTRON3369, by Brunig [49]. Figure 6 shows the geometry of the flat shear specimen, and all dimensions are in millimeters.

Machining a circular channel of 4 mm diameter in the middle of the shear specimens is necessary in order to achieve a localized shear area where triaxiality is ideally zero.

For numerical simulation of the specimen, a spatially non-uniform finite element mesh, composed of 1288 higher order (27 nodes) 3D isoparametric elements, has been chosen (see Figure 7). Material parameters are presented in Table 3.

Figure 8(a)-(d) demonstrates the evolution of the equivalent damage variable field computed in finite element simulation in contour plots.

Damage occurrence is at u/l = 2.2% and, in this loading stage, maximum damage is detected near the circular channels. With gradual loading, damage initiates in the circles, and later reaches the area between the circles in the middle of the specimen. At the final stages of loading, damage expands in a diagonal direction, up to failure.

Figure 9 demonstrates Von Mises contour distribution at the end of loading. Figure 10 shows the comparison between the experimental [49] and Table 3. Material parameters considered in the simulation of flat shear specimen.

Property	Value
Initial Young's modulus, $\bar{E}$ (GPa)	65
Poisson's ratio, $v$	0.3
Initial yield strength, $\sigma_y$ (MPa)	340
Initial isotropic hardening $\bar{C}_{initial}$ (MPa)	189.7
Material constant in yield surface $\alpha$ (MPa <sup>-1</sup> )	$5*10^{-5}$
Material constant in yield surface $\beta$ (MPa <sup>-1</sup> )	$2.1^{*}10^{-6}$
Initial damage surface $K_0$ (MPa)	1
Material constant in damage part of Helmholtz free energy function $K_d$ (MPa)	24
Material constant in plastic part of Helmholtz free energy function $Q~({ m MPa})$	350
Material constant in plastic part of Helmholtz free energy function $Bc~({ m MPa})$	24



Figure 8. Damage contour plots in flat shear specimen: (a) At u/l = 2.8%; (b) at u/l = 3.5%; (c) at u/l = 3.8%; and (d) at the end of loading.

predicted results by numerical simulation. In this figure, the external force is plotted against strain in the critical area (12.5 centimeters from the middle of the specimen). It can be seen that the present model accurately describes the experimental results. Figure 10 also shows that the presented model anticipates the force-displacement better than classical plasticity with isotropic damage given by Abaqus software.

### 8. Conclusion

This paper presents a new anisotropic damage constitutive model for iron base materials based on a thermodynamics framework. Anisotropic damage is used to represent material degradation in all directions. The stress in the undamaged configuration is computed by a plastic surface proposed by Spitzig et al.



Figure 9. Von Mises stress of the flat shear specimen.



Figure 10. Load engineering strain curve of the flat shear specimen.

Following standard thermodynamics and using reasonable state variables, a complete set of constitutive equations were derived, wherein a plastic surface was used to determine the occurrence of plasticity, and a damage surface was used to determine the occurrence of damage.

The numerical algorithm for this coupled model was also given. A modified forward Euler integration with error control was developed to be solved in a Newton Raphson solution procedure. Comparison between the numerical and experimental results demonstrates convincingly that the proposed constitutive model is able to capture the damage behavior of metals accurately.

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